Appendix No 10

to ROSATOM

Procurement Standard

(Procurement Regulations)

**Methodology of establishing the requirements and criteria for application evaluation in the procurement documentation and of considering the applications of competitive bidding participants (supplier selection and evaluation stages)**

# Purpose and Scope

This Methodology of establishing the requirements and criteria for application evaluation in the procurement documentation and of considering the applications of competitive bidding participants (supplier selection and evaluation stages) (hereinafter – the Methodology) is developed:

in order to form a uniform approach to preparation of the procurement documentation for the establishment of requirements and criteria for application evaluation and methodology of application consideration at the evaluation stage,

to ensure a uniform approach in the work of the tendering committee, the expert advisory council (engaged experts) during consideration of applications at the supplier selection and evaluation stages when procuring products for the needs of ROSATOM and/or organizations of the nuclear industry.

# CHAPTER 1. PROCEDURE OF ESTABLISHING APPLICATION evaluation REQUIREMENTS and criteria AND APPLICATION EVALUATION METHODOLOGY WHEN PREPARING THE PROCUREMENT DOCUMENTATION

# General

1. During preparation of the procurement documentation, in compliance with this Methodology, the following shall be established:
2. the list of requirements to the participants of competitive bidding, subcontractors (joint contractors), manufacturers and documents confirming compliance with the specified requirements (SECTION 1 of this chapter);
3. the list of evaluation criteria and their weight (SECTION 2 of this chapter);
4. the methodology of application evaluation under the specified criteria (SECTION 2 of this chapter).

2. When the competitive bidding process is carried out according to "price inquiry” method, the documentation for the price inquiry

1. establishes the following:

a) the requirements for the participants of the price inquiry specified in items 1.1)-1.5), 1.7), 1.8), 1.9) of subsection 1.1 of this Methodology, regardless the subject of competitive bidding and the amount of IMP;

b) the requirements for the subcontractors performing work at the nuclear facilities stipulated by item 3.3) of subsection 1.2, presenting the documents according to item 3.3) of subsection 1.2 and of the plan of assignment of work types and scope between the participants of competitive bidding and subcontractors according to item 3.7) of subsection 1.2 – regardless the amount of IMP;

c) the requirement for the experience of the participant of the price inquiry - depending on the subject of competitive bidding and the amount of IMP in accordance with subsections 1.2-1.5 of this Methodology.

1. establishes no other requirements for participants of price inquiry, subcontractors (joint contractors), manufacturers, and developers, excluding competitive bidding with IMP exceeding 10 million rubles including VAT, performed according to the "price inquiry” method or on the basis of the decision of the approving body.
2. When the competitive bidding process is carried out, where the participants can only be small and medium-sized business entities, in compliance with subsection b) of section 4 of the Regulation on the specifics of the participation of small and medium-sized business entities in procurement of goods, work and services by special types of legal entities, the annual amount of such procurement and the procedure of calculation of the said amount, approved by the Decree of the Government of the Russian Federation dated December 11, 2014 No 1352 "On the specifics of the participation of small and medium-sized business entities in procurement of goods, work and services by special types of legal entities" (special bidding):
3. if the initial (maximum) price of the contract is not exceeding 200 million rubles, including VAT, the requirements provided by subsection 1.6 of this Methodology shall be applied;
4. if the initial (maximum) price of the contract exceeds 200 million rubles, including VAT, the requirements provided by the general rules of competitive bidding process in compliance with subsections 1.1 - 1.5 of this Methodology shall be applied;
5. the procedure of establishing criteria and appraisal methods is applied according to the general rules of procurement in compliance with section 2 regardless the amount of initial (maximum) contract price.

4. Upon procurement of auditing services the weight (value) of the criterion of assessment “Agreement price, unit price” should be not less than 30%. If the weight (value) of the criterion of assessment “Agreement price, unit price” is established in the procurement documentation:

a) in the amount within 30% (inclusively) and 55% –only the requirements, stipulated by subsection 1.1, subunits 3.1), 3.2), 3.3), 3.7) of subsection 1.2 of this Methodology should be established,

b) in the amount from 55% (inclusively) – the requirements, stipulated by subunit a) of this unit should be established, and it is also permitted to establish additional requirements in accordance with subsection 1.5 of this Methodology.

5. Upon conducting pre-qualifying selection of insurance organizations in accordance with provisions of Article 7.5 of the Standard, the procurement documentation must include the following provisions:

1) in case the participant of pre-qualifying selection is represented by several legal entities, acting for the same participant of the selection, each legal entity that presents such a participant must comply with mandatory requirements for the participants of pre-qualification selection, established in pre-qualification selection documentation. At that, these legal entities should have concluded an agreement, stipulating for joint responsibility.

2) assessment of each legal entity, acting for one participant of the pre-qualification selection, is performed separately according to the established criteria (subcriteria). Overall score of the application of a pre-qualification selection participant represented by several legal entities is defined as an average value of the sum of scores, appointed to each entity who is part of such a participant, defined according to the formula: Rio = (R1 + R2 +RN) / SN, where Rio is the score of the participant, represented by several legal entities, R (1, 2, N) are scores of each entity, acting for the i-participant of the procurement, SN - number of entities, acting for the i-participant of the procurement.

6. The comments provided for by the regulations of this Methodology are given in italics. During preparation of documentation for a certain purchase, the said comments shall be taken into consideration and the terms shall be adjusted in compliance with the said comments. In this case the comments themselves shall not be mentioned in the documentation.

If the procurement documentation includes other requirements for the participants of competitive bidding (subcontractors (joint contractors)/manufacturers) or criteria for application evaluation (the procedure of application evaluation) approved by the Standard, the procurement documentation shall also include the procedure of checking the compliance with such requirements, as well as the methodology of application evaluation taking into account the criteria which the customer decides to apply to the application evaluation.

# SECTION 1. REQUIREMENTS FOR PARTICIPANTS OF COMPETITIVE BIDDING, SUBCONTRACTORS (JOINT CONTRACTORS), MANUFACTURERS, PRODUCTS, ALLOWED FOR ESTABLISHMENT IN THE PROCUREMENT DOCUMENTATION, AND THE DOCUMENTS CONFIRMING COMPLIANCE WITH SUCH REQUIREMENTS

* 1. The mandatory requirements to the participants of competitive bidding, regardless of the subject of the competitive bidding, which are to be established in each procurement documentation:

| No. | Requirements | Documents confirming compliance with the established requirements |
| --- | --- | --- |
|  | The participant of competitive bidding shall have full civil legal capacity to conclude and to perform a contract according to the results of the competitive bidding, and: | |
|  | shall be registered as a legal entity in accordance with the procedure established in the Russian Federation (for Russian legal entities);  shall be registered as an individual entrepreneur, in accordance with the procedure established in the Russian Federation (for Russian individual entrepreneurs);  shall be registered as a subject of the civil law in accordance with the laws in force at the place of its location (for foreign participants of competitive bidding); | 1. copies of documents confirming state registration, including the following:  * for legal entities - a copy of extract from the Unified State Register of Legal Entities (extract from EGRUL (Unified State Register of Legal Entities)); * for individual entrepreneurs - a copy of extract from the Unified State Register of Individual Entrepreneurs (extract from EGRIP (Unified State Register of Individual Entrepreneurs)). * The extracts from EGRUL or EGRIP shall be obtained not earlier than 6 months (and in case of any changes - not earlier than such changes are entered into the related register) prior to the day of official publication of the public notice on competitive bidding it is permitted to provide the specified extracts, executed using the website http://egrul.nalog.ru/# ; * for other individual persons - copies of identification documents; * for foreign persons - copies of documents confirming their state registration as a subject of the civil law in accordance with the laws in force at the place of its location which shall be attached with translation into the Russian language; as part of hard copy applications, such documents shall be submitted in legalized form (they may be apostilled) with notarized translation into the Russian language; |
| 1. a copy of the document proving the person's authority to sign the application on behalf of the participant of competitive bidding (documents proving the authority of the person acting as sole executive body (for a legal entity); the power of attorney, if the application is signed under a power of attorney). If the application and (or) the documents included into it are signed by different entities, then the documents proving the person's authority to sign the application and (or) the documents included into it shall be provided for each signatory according to their authority; |
| 1. copies of constituent documents as amended from time to time (for legal entities); |
| 1. a copy of a notice of the competitive bidding participant’s capacity to use the simplified taxation system (for those participants of competitive bidding who apply such system); |
| 1. statement of liability filled by the participant of competitive bidding as per Form 1 "The Application” which ensures that the following will be provided before the conclusion of the contract in case of such conclusion:  * decision to approve or to close a major transaction if such decision is required for closing a major transaction in accordance with the laws of the Russian Federation and with the constituent documents of the legal entity and if conclusion of the contract or provision of a security for the application or for the contract is a major transaction for the participant of competitive bidding; * decision to approve or to close an interested-party transaction if such approval is required in accordance with the laws of the Russian Federation and with the constituent documents of the legal entity and if conclusion of the contract or provision of a security for the application or for the contract is an interested-party transaction for the participant of competitive bidding   or information confirming that this transaction is not a major transaction and/or an interested-party transaction for such a participant of competitive bidding, or that the competitive bidding participant’s organization is not subjected to the requirements of the legislation to provide the decision to approve or to close a major transaction and/or an interested-party transaction, since the sole participant (shareholder) is the sole executive body. |
|  | if the contract is planned to be executed in the territory of the Russian Federation:  shall have the right to perform activities in accordance with the laws of the Russian Federation (for Russian participants of competitive bidding);  shall have the right to perform activities in accordance with the laws in force at the place of location of such participant of competitive bidding and in accordance with the laws of the Russian Federation (for foreign participants of competitive bidding);  if the contract is planned to be executed in the territory of a foreign country:  shall have the right to perform activities in accordance with the laws of the Russian Federation (for Russian participants of competitive bidding);  shall have the right to perform activities in accordance with the laws in force at the place of location of such participant of competitive bidding (for foreign participants of competitive bidding);  shall have the right to perform activities in accordance with the laws in force at the place where the contract is performed (for Russian and foreign participants of competitive bidding). | 1. confirmation filled in by the participant of competitive bidding as per Form 1 "The Application" that there is the right to perform activities in accordance with the laws in force at the place of location of the participant of competitive bidding and at the place where the contract shall be performed.   Foreign participants of competitive bidding should additionally provide a brief explanatory note specifying the following:   * statutory provisions applicable in the country, where it is located or carries out activities, and regulating their legal capacity and conditions of the activity connected with fulfillment of obligations under the contract to be concluded as a result of the competitive bidding; * name and details (number and date of coming into force and number and date of the current version) of national regulatory legal acts, in accordance with which the foreign participant of competitive bidding carries out its activities. |
|  | the participant of competitive bidding should not be in the process of liquidation (for a legal entity), declared insolvent (bankrupt) by the decision of the arbitration court; | 1. confirmation filled in by the participant of competitive bidding as per Form 1 "The Application" that:  * the participant of competitive bidding is not undergoing the process of liquidation (for a legal entity); * the participant of competitive bidding is not recognized insolvent (bankrupt) by the arbitration court; * property of the participant of competitive bidding is not arrested by a decision of the court or administrative body; * the competitive bidding participant’s activity is not suspended. |
|  | shall not be an organization, the property of which in the part needed for performance of the contract is arrested under a decision of the court or administrative body; and (or) the activity of which is suspended; |
|  | **shall comply with the requirements established on the basis of orders of the Government of the Russian Federation:**  should disclose information about the entire chain of owners including beneficiaries (including ultimate ones).  *[this requirement is established with regard to all the contracts, which are neither transactions, made for business and administrative purposes, for ensuring operation of the customer, nor exchange transactions.*  *Upon transactions made for business and administrative purposes for ensuring operation of the customer and upon exchange transactions, the requirement shall not be established and the item shall be deleted, with correction of subsequent numbers of items]* | 1. statement of liability filled in by the participant of competitive bidding as per Form 1 "The Application" which ensures that information about the chain of owners including beneficiaries (as well as ultimate beneficiaries) according to the form of and in accordance with the instructions specified in the procurement documentation and documents confirming such information will be provided before the conclusion of the contact in case of such conclusion. |
|  | **level of financial resources availability** for the participant of competitive bidding must be at least 30 points, according to the calculation methodology  *[this requirement is set for competitive biddings with IMP (initial maximum contract price) at 10 million rubles or over including VAT; in addition, the Methodology of assessing financial resources available to participants of a competitive bidding, as per Appendix 3 hereto, must be included in the documents*.  If the required level of financial resources is not achieved (between 20 and 30 points, inclusive), the participant of a competitive bidding, in the event the contract is awarded to such participant, shall provide a higher contract performance security on the terms and in the order specified in Part 23.1 of the public notice on competitive bidding and in accordance with the Methodology of assessing financial resources available to participants of a competitive bidding (Appendix 3 hereto).  *[the option to allow a competitive bidding participant to provide a higher amount of contract performance security in the event such participant’s financial resources are insufficient shall be available for competitive bidding procedures with IMP at 10 million rubles or over, including VAT, and shall be used at the Customer’s discretion due to weak competition*; *if the Customer does not elect to use this option,* *the Part shall be deleted]* | 1. copies of accounting (financial) statements for the expired financial year and for the expired period of a financial year (6 months of the current financial year/9 months of the current financial year) in accordance with the requirements and with the procedure provided for by section 3 of the documentation.   *[If the Customer does elect to use the option to allow a competitive bidding participant to provide a higher amount of contract performance security in the event such participant’s financial resources are insufficient; if the Customer does not elect to use this option,* *the text below shall be deleted]*  ***Confirmation of provision of a higher amount of contract performance security, as per Form 1 “Application”, which is part of the procurement documentation.***  ***To confirm the level of financial resources availability, one must submit the following: copies of accounting (financial) statements for the expired fiscal year and for the expired period of the current fiscal year (6 months of the current fiscal year/ 9 months of the current fiscal year) in accordance with the requirements and procedure stipulated in Section 3 of the procurement documentation.*** |
|  | **shall have special legal capacity** in accordance with the applicable laws of the Russian Federation (or the laws of the country within the territory of which the products to be supplied under the contract will be used) connected with performance of the types of activities provided for by the contract, including the required licenses to carry out the works or to provide the services which have been obtained prior to the deadline for application submission initially specified in the public notice on competitive bidding, in the scope of performed work, services, namely:   1. shall have the respective authorization documents providing the right to perform activities and types of work required for performance of the contract, the right for which is the subject of this competitive bidding:    * + - * license for \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_;          * license for \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_;          * membership in a self-regulatory organization (SRO), required, pursuant to the Town-Planning Code of the Russian Federation (TPC RF), for the contract execution in the following area \_\_\_\_\_\_\_\_\_ *[to be specified based on the subject matter of the contract: engineering surveys / architectural & civil engineering design/ capital structures construction, reconstruction or renovation]* for the facilities \_\_\_\_\_\_\_\_\_ *[to be specified based on the nature of the facilities for which the works stated as the subject matter of the contract shall be performed: capital structures/ highly hazardous, technically complex and unique capital structures (except nuclear facilities)/ nuclear facilities (hereinafter referred to as NF)].* The SRO member must be incorporated in the same region of the Russian Federation in which such SRO is established, except as provided in part 3, Article 55.6 of TPC RF; 2. shall confirm a SRO status granted to a non-profit organization, if the competitive bidding participant is member in such SRO.   *[This requirement is established if authorization documentation are required for execution of the contract, all authorization documents shall be specified; however, it is recommended to specify what authorization documents are required for particular works in accordance with a technical assignment and to specify that the participant of competitive bidding and its/his/her engaged subcontractors must have the appropriate authorization documents depending on types of the works they perform according to the plan for assignment of types and scopes of work.].*  *In case the required licenses refer to the sphere of nuclear energy utilization and are issued by the Federal Service for Environmental, Technological and Nuclear Supervision, stipulated by the subject of the procurement licensed types of activities in the sphere of nuclear energy utilization, which are set forth in Article 26 of the Federal law dated November 21, 1995 No. 170-FZ “On the use of nuclear energy”* *should be specified.*  *The requirements for SRO membership shall be specified in accordance with TPC RF stipulations. Competitive bidding participants shall not be required to be SRO members if, as the result of the procurement procedure, the contract shall be awarded to an entity which is none of the following: a real estate developer; an owner technical representative; an entity which has been granted, pursuant to the Land Code of the Russian Federation, a permit to use lands or a land plot owned by the state or by a municipality (in order to perform engineering surveys); an entity in charge of operation of a building or structure, a regional operator, a subcontractor (joint contractor), and shall neither be so required in the following cases:*   * *for engineering surveys, as provided by item 2.1, part 2, Article 47 of TPC RF;* * *for architectural & civil engineering design, as provided by item 4.1, part 4, Article 48 of TPC RF;* * *for capital structures construction, reconstruction or renovation, as provided by items 2.1, 2.2, part 2, Article 52 of TPC RF].* | 1. copies of authorization documents providing the right to perform activities and types of work required for performance of the contract, as follows:    * + - * copies of the documents specified in the column "Requirements" of this item along with appendices describing certain types of activities and/or work.   *[if the column “Requirements” of this item specifies a requirement of membership in a self-regulatory organization, the following documents must be provided:]*   * + - * + if the Town-Planning Code of the Russian Federation requires the competitive bidding participant to be a SRO member, an extract from the member register of such participant’s SRO must be provided. The following extracts shall be accepted:         + an extract from the member register of the participant’s SRO established in the same region of the Russian Federation in which the participant is incorporated, if a SRO does exist in such region of the Russian Federation;         + an extract from the member register of the participant’s SRO established in any of the regions of the Russian Federation directly neighboring to the one in which the participant is incorporated, provided that no SRO is found in such region of the Russian Federation;         + an extract from the member register of the participant’s SRO established in any of the regions of the Russian Federation (for foreign participants);         + any of the following documents issued by a regulatory authority which supervises SRO activities to confirm a SRO status granted to a non-profit organization:   + resolution of the Federal Environmental, Industrial and Nuclear Supervision Service issued on the basis of Resolution No. 207 of the Government of the Russian Federation dated March 24, 2011,   + letter from the Federal Environmental, Industrial and Nuclear Supervision Service addressed to the SRO or to the participant of competitive bidding,   + extract made by the Federal Environmental, Industrial and Nuclear Supervision Service from the state register of self-regulatory organizations based on the membership of persons carrying out construction, reconstruction and overhaul of capital construction objects.   If the authorization document expires in the period from the initially specified deadline for application submission to the moment of defining a successful participant of competitive bidding, and a new authorization document has not been provided, then the application shall include: previous authorization and documents confirming application for a new authorization document with confirmation that such application has been accepted by the respective agency. |
|  | absence of information about the participant of competitive bidding in the following registers of bad-faith suppliers:   * in the register which is being kept in accordance with the provisions of Federal Law No. 223-FZ (223-ФЗ) "On procurement of goods, work, services by certain types of legal entities”; * in the register which is being kept in accordance with the statutory provisions of the Russian Federation on placement of state and municipal orders; * *[established additionally by the customers which are not covered by 223-FZ]* in the register of bad-faith suppliers of ROSATOM and organizations of ROSATOM; * *[established additionally by the customers registered outside of the Russian Federation, during competitive bidding, according to the results of which the contracts shall be executed in the territory of a foreign country or the products to be supplied under the contract shall be used in the territory of a foreign country, in case of availability of such register]* the register which is being kept in accordance with the statutory provisions of the country, in the territory of which the products to be supplied under the contract will be used. | no documents shall be submitted. The business owner of the procurement process (customer) shall check compliance with this requirement with respect to such registers by its own efforts. |
|  | no documented facts and events with regard to the participant of competitive bidding revealed during the competitive bidding process performed by ROSATOM and its organizations in accordance with the Standard, as follows:   1. avoidance of conclusion of contract based on the results of competitive bidding process the participant of which has been recognized as successful participant of competitive bidding or a decision was made on conclusion of the contract with it as a sole participant of competitive bidding:  * direct written refusal to sign the contract; * no signing of the contract draft within the period stipulated for it in the documentation; * raising of some counter claims when signing the contract as per the contract conditions in conflict with ones stated before in the procurement documentation and (or) in such participant’s application, and also conditions achieved during the pre-contractual negotiations; * failure to submit the documents required to be provided before entering into the contract and stipulated by the procurement documentation and liabilities recorded in such person’s application; * refusal by the foreign participant of competitive bidding of signing the contract under the conditions proposed during the competitive bidding process and specified at the E-commerce marketplace by such participant of competitive bidding;  1. failure to provide contract security, if such security is stipulated after the contract conclusion according to the contract concluded based on the results of competitive bidding; 2. submission of false information stated by the participant that affected the Tendering committee regarding admission of the participant to the competitive bidding process and (or) evaluation of its application that led to criminal conviction of guilty persons has been revealed; 3. termination of contract based on a court resolution or upon agreement between the parties due to essential violation of the contract conditions by the supplier.   *[this requirement shall be established by the customers only which are covered by 223-FZ]* | no documents shall be submitted. The check for the compliance with this requirement shall be performed by the business owner of the procurement process (customer) at its own efforts by using the name and INN (individual taxpayer number) of the participant of competitive bidding (website http://zakupki.rosatom.ru/Web.aspx?node=unscrupulous). |

* 1. Peculiarities in establishment of requirements for the procurement of work, except for the work under subsection 1.5.

For the purposes of application of this Methodology, the construction and installation work, which are also covered by this subsection and by subsection 2.3, mean construction and installation work to be performed:

1. in construction of buildings, structures and facilities;
2. in reconstruction of capital construction objects, including linear facilities;
3. in carrying out overhaul of capital construction objects, including linear facilities;
4. in improvement of buildings and structures;
5. in carrying out current repairs of buildings and structures;
6. in expansion of construction objects.

| No. | Requirements | Documents confirming compliance with the established requirements |
| --- | --- | --- |
|  | **Requirements to the participants of competitive bidding:** | |
|  | the participant of competitive bidding shall executes at least \_\_\_***%*** of total scope of the construction and installation works provided for by the contact (worth the following percentage share of the total value of construction and installation works) by its own efforts  *[for procurement of construction and installation works, the requirements must be established mandatorily in the following amount:*   * *if the general contractor for NPP is selected - 60 % by its own efforts;* * *in other cases - 20%;*   *for other works, including equipment installation, this requirement shall not be established and this item shall be deleted, with correction of subsequent items’ numbering]* | plan for assignment of types and scopes of work performance filled in by the participant of competitive bidding;  If the participant of competitive bidding is a management company heading the organizations which carry out construction, which are their subsidiary affiliated companies, and such management companies exercise functions related to organization, coordination, management and control over operations of such organizations, then in considering the applications’ compliance with this requirement the scopes of work performed by such organizations shall be accounted as work performed by the participant of competitive bidding using its own efforts, provided that the documents confirming that subcontractors belong to the subsidiary affiliated companies of such a participant of competitive bidding have been submitted and allow considering the scopes of work to be performed by the subcontractors as work to be performed by the participant of competitive bidding using its own efforts. |
|  | availability of the labor safety management system (LSMS). | confirmation of documentation on the competitive bidding, on availability of the competitive bidding participant’s labor safety management system (LSMS), filled in by the participant of competitive bidding as per Form 1 "The Application”; |
|  | **Requirements to the subcontractors performing works whose amount is over 5% of total price of the application of the participant of competitive bidding** (excluding the requirements in relation to the authorization documents which are established regardless of the scope of work to be performed by such subcontractor in relation to the total price of the application of the participant of competitive bidding) in the scope of the work to be performed: | |
|  | **availability of civil legal capacity**  *[established in compliance with subitem 1.1) of subsection 1.1 of Chapter 1]* | *the copies of the documents are established according to subitem 1.1) а), в) of subsection 1.1 of the Chapter 1* |
|  | *[established in compliance with subitems 1.3), 1.4) of subsection 1.1 of Chapter 1]* | confirmation from the **participant of competitive bidding**, as per Form 1 "The Application”, that the subcontractors are not undergoing the liquidation process (for a legal entity), that the subcontractors are not recognized insolvent (bankrupt) by the arbitration court, that property of the subcontractors is not being arrested under a decision of court or administrative body, and that the activities of the subcontractors are not being suspended; |
|  | **availability of special legal capacity**  *[as necessary, if authorization documents are required for execution of the contract, it shall be established in compliance with subitem 1.7) of subsection 1.1 of Chapter 1, otherwise no requirement is established and item is deleted with correction of the subsequent numeration]* | the copies of the documents are established according to subitem 1.7) к) of subsection 1.1 of Chapter 1;  however these authorization documents shall be provided regardless the scope of work to be performed by such subcontractor in relation to the total price of the application of the participant of competitive bidding |
|  | **not mentioned in the Register of Bad Faith Suppliers**  *[established at the customer's discretion in compliance with subitem 1.8) of subsection 1.1 of Chapter 1, otherwise item is deleted with correction of the subsequent numeration]* | no documents shall be submitted. The business owner of the procurement process (customer) shall check compliance with this requirement with respect to such registers by its own efforts. |
|  | *the requirement is established at the first group customer's discretion in compliance with subitem 1.9) of subsection 1.1 of Chapter 1, otherwise item is deleted with correction of the subsequent numeration* | The check for the compliance with this requirement shall be performed by the business owner of the procurement process (customer) at its own efforts by using the name and INN (individual taxpayer number) of the subcontractor (website http://zakupki.rosatom.ru/Web.aspx?node=unscrupulous) |
|  | **availability of LSMS**  *[established in compliance with subitem 2.2) of subsection 1.2 of Chapter 1* | confirmation of documentation on the competitive bidding, on availability of the engaged subcontractors’ labor safety management system (LSMS), filled in by the **participant of competitive bidding** as per Form 1 "The Application”; |
|  | The participant of competitive bidding shall confirm that each of the engaged subcontractors performing the work amounting to more than 5% from total price proposed by the participant of competitive bidding:   1. is informed of the fact that it is engaged as a subcontractor; 2. agrees with the list, scope and deadlines for the work performance assigned to such subcontractor. | Copies of contracts (including the drafts or sub modo), indicating the list, scope and deadlines for work performance assigned to the subcontractor.  If such contracts are not specified in the application, then the documents provided with respect to such subcontractor shall be deemed to have not been submitted and the information specified in such documents shall not be taken into account when considering such application.  Plan for assignment of types and scopes of work among the participant of competitive bidding and its subcontractors. This plan shall be completed and submitted both in the event of engagement of subcontractors by the participant of competitive bidding and in the event of failure to engage them; in the latter case, it shall be reflected in this form that no subcontractors are planned to be engaged. |
|  | **Requirements to the participants of competitive bidding and to subcontractors performing the work amounting to more than 5% from total price proposed by the participant of competitive bidding, in the scope of the work to be performed:** | |
|  | **necessary work experience:**  shall have within the concluded contracts for \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  *[Requirement for experience, as well as procedure of checking for compliance with it, is mandatorily established for procurement of work at NF or with IMP (initial (maximum) price) of 10 million rubles, including VAT, and more, in accordance with Appendix 2 depending on the procurement object.*  *For procurement with IMP less than 10 million rubles, including VAT, except for work at NF - no requirement shall be established and item shall be deleted and subsequent numbers of items shall be corrected]* | statement of experience in performing contracts which must be attached with copies of the following documents executed in accordance with the accounting rules:   * documents which confirm the scope of work, executed under the contract, are signed by the parties to the contract and specify the following data: * contract details (number and date); * list of performed work; * documents which confirm completion of works, are executed under the contract for the purposes of financial reporting, are signed by the parties to the contract and specify the following data: * contract details (number and date); * list of work delivered and accepted by the customer under the contract; * cost of work delivered and accepted by the customer under the contract. |
|  | **availability of material and technical resources (hereinafter - MTR):**  should have a sufficient amount of MTR in good working order (machinery and mechanisms, special devices and tools) required for performance of the works, which have duly passed statutory vehicle inspection test (for road vehicles, if so required under Federal Law 170-FZ enacted on July 1, 2011 “On vehicle inspection and on amendment of certain laws of the Russian Federation” (hereinafter referred to as Law 170-FZ) that sets forth such inspections’ procedure and frequency), or which have been found compliant by engineering inspections (for lifting gear, if so required under the rules of such lifting gear engineering inspections established by Federal Environmental, Industrial and Nuclear Supervision Service that set forth such inspections’ procedure and frequency), including:   * at least \_\_***;*** * at least \_\_; * …   *[Requirement for availability of the amount of material and technical resources (MTR) sufficient for performance of the contract shall be established in procurement of work with the IMP of 10 mln. rubles, including VAT, and more, and only in relation to main MTR required for complete and timely performance of the contract.*  *For competitive bidding with IMP less than 10 million rubles, including VAT, as well as if small mechanized equipment only is required to the contractor for performance of work, no requirement for MTR shall be established , item shall be deleted and subsequent numbers of items shall be corrected.*  *When establishing the requirement, the corresponding indicators of the participant of competitive bidding and subcontractors in the scope of work to be performed by them under the plan for assignment of types and scopes of work shall be taken into account.*  *The procurement documentation shall specify certain types (a bridge crane, an excavator, etc.), main characteristics (load-carrying capacity, height, etc.), and the amount of MTR.*  *This requirement shall be established only on the basis of the following documents and calculations provided from the customer to the business owner of the procurement process and justifying requirements for MTR: names (types (a bridge crane, an excavator, etc.), main characteristics (load-carrying capacity, height, etc.), and quantity of the required MTR which are justified by the construction organization project (COP), which constitutes a part of the design documentation approved according to the established procedure or executed in accordance with the terms specified in the concluded contracts, or in case of absence of MTR specification in the design documentation or in COP, by calculation on the basis of local cost estimates in compliance with the regulatory documents included in the scope of the documents for competitive bidding process, or by the work performance project (WPP) for similar facilities.*  *The requirement shall be established in the amount of 100% of the required MTR for the first year of work performance according to the construction organization project (COP), or to calculation on basis of local cost estimates included in the documents on сompetitive bidding process, or to the work performance project (WPP) for similar facilities.]* | statement confirming that the participant of competitive bidding and engaged subcontractors have the respective material and technical resources (MTR) required for complete and timely performance of the contract, and the following documents must be attached:   * for road vehicles: copies of vehicle registration certificates (for registered road vehicles) and copies of documents to confirm successful vehicle inspection tests (if so required under Law 170-FZ that sets forth the procedure and frequency of such vehicles’ inspections); * for lifting gear: copies of documents to confirm successful engineering inspections if so required under the rules of such lifting gear engineering inspections established by Federal Environmental, Industrial and Nuclear Supervision Service that set forth such inspections’ procedure and frequency; * copies of documents to confirm that such MTR are in good and working order (detailed information entered into an equipment maintenance and repair log kept in the format prescribed by \_\_\_\_\_\_\_\_\_ *[Specify the document (whether a GOST standard or another document approved by a relevant state authority) which prescribes the format for equipment maintenance and repair logs];* * for other MTR - copies of the documents confirming registration of items of the fixed assets in the accounting records (certificate of acceptance and delivery of the item of fixed assets as per form No. OS-1 (ОС-1) and/or inventory record cards of items of fixed assets as per form No. OS-6 (ОС-6) approved by Resolution No. 7 of Goskomstat (Federal State Statistics Service) of Russia dated 21.01.2003). * in case any leased/hired MTR are used, the following documents shall be provided for the entire MTR ownership chain, including the MTR owner: * copies of lease/hire agreements signed by both parties between the participant of competitive bidding (subcontractor) and each lessor, as well as copies of sublease/subhire contracts between the lessor and each sub-lessor (if sublease contracts are entered into) with indication of leased/hired MTR names, quantity and lease terms. * in case at the procurement stage there is only an intention to conclude a MTR sales contract and/or lease agreement between the participant of competitive bidding (subcontractor) and each seller or lessor/ sub-lessor, the following documents shall also be provided: * copies of draft MTR sales contract and/or lease agreement (letter of intent) creating unambiguous obligations for the parties regarding conclusion of general contract in case of win of the participant of competitive bidding or making the decision on conclusion of contract with such participant of competitive bidding based on the results of competitive bidding, indicating names and amount of MTR   or   * copies of MTR sales contract and/or lease agreement with suspensive condition creating unambiguous obligations for the parties regarding the entering of such agreement into force in case of win of the participant of competitive bidding or making the decision on conclusion of contract with such participant of competitive bidding based on the results of competitive bidding, indicating names and amount of MTR. |
|  | **availability of human resources:**  should have the amount of human resources with the appropriate qualification which is sufficient to perform the contract, including:   * at least \_\_; * at least \_\_; * ...   *[Requirement for human resources with required qualification sufficient for performance of the contract shall be established in procurement of work with the IMP of 10 mln. rubles, including VAT, and more, and only in relation to human resources required for complete and timely performance of the contract.*  *For procurement of work with IMP less than 10 million rubles, including VAT, no requirement for human resources shall be established, item shall be deleted and subsequent numbers of items shall be corrected.*  *When establishing the requirement, the corresponding indicators of the participant of competitive bidding and subcontractors in the scope of work to be performed by them under the plan for assignment of types and scopes of work shall be taken into account.*  *The procurement documentation shall specify the amount and names of certain specialties of workers, specialists, engineers and technicians.*  *This requirement shall be established only on the basis of the following documents and calculations provided from the customer to the business owner of the procurement process and justifying requirements for human recourses: names of specialties and their quantity confirmed by the construction organization project (COP) which constitutes a part of the design documentation approved according to the established procedure or executed in accordance with the terms specified in the concluded contracts, or in case of absence of human resources specification in the design documentation or in COP, by calculation of the required human recourses according to the unified standards and estimations on the basis of local cost estimates in compliance with the regulatory documents included in the scope of the documents for competitive bidding process, or by the work performance project (WPP) for similar facilities.*  *The requirement shall be established in the amount of 80% of the human recourses required for the first year of works performance according to the construction organization project (COP), or to calculation of human resources according to the unified standards and estimations on the basis of local cost estimates included in the documents on competitive bidding process, or to the work performance project (WPP) for similar facilities.]* | statement confirming that the participant of competitive bidding and/or engaged subcontractors have appropriate human resources required for complete and timely performance of the contract, and a copy of extract from the staff list signed by the following person must be provided:   * head of the human resources department; * chief executive officer or chief accountant.   statement of liability filled in by the participant of competitive bidding as per Form 1 "The Application” which ensures that copies of employment record cards and qualification certificates for employees engaged in performance of the contract will be provided before the conclusion of the contact in case of such conclusion. |

* 1. Peculiarities for establishment of requirements for procurement of the equipment related to safety elements of 1,2,3 safety class nuclear facilities in compliance with the federal standards and rules in the field of nuclear power use, as well as the equipment which is subject to manufacture control and conformity evaluation in the form of acceptance according to quality plans, developed in compliance with NP-071-06 (НП-071-06).

| No. | Requirements | Documents confirming compliance with the established requirements |
| --- | --- | --- |
|  | **Requirements to the participants of competitive bidding:** | |
|  | the right to dispose of the offered equipment (under the nomenclature of specifications of ***Volume 2*** "Technical part") and/or the consent of the equipment manufacturer or the manufacturer's authorized representative for the equipment being offered (under the nomenclature of specifications of ***Volume 2*** "Technical part") within the framework of this competitive bidding, ***including the confirmations of warranty obligations, execution of erection and/or adjustment supervision,*** in time and on conditions specified in this procurement documentation.  ***[if only a part of the equipment has impact on safety of NF under to the nomenclature of specifications of Volume 2, then it is required to specify what equipment needs to be confirmed in such way]*** | if the participant of competitive bidding is not a manufacturer of the offered equipment (under the nomenclature of specifications of Volume 2 "Technical part"):   * + - * + the documents confirming the right to dispose of the offered equipment of the specified manufacturer (sale and purchase agreement, certificates of acceptance and delivery, etc.)   and/or   * + - * + documents provided by the manufacturer and confirming the consent of such equipment manufacturer (under the nomenclature of specifications of Volume 2 "Technical part") for the offer of the equipment (under the nomenclature of specifications of ***Volume 2*** "Technical part") within the framework of this competitive bidding, ***including the confirmations of warranty obligations, execution of erection and/or adjustment supervision***, in time and on conditions specified in this procurement documentation, particularly: certificate in accordance with the instructions given in the procurement documentation   and/or   * + - * + documents provided by its authorized representative and confirming the consent of such authorized representative of this equipment manufacturer (under the nomenclature of specifications of Volume 2 "Technical part") for an offer of the equipment (under the nomenclature of specifications of ***Volume 2*** "Technical part") within the framework of this competitive bidding, ***including the confirmations of warranty obligations, execution of erection and/or adjustment supervision***, in time and on conditions specified in this procurement documentation, particularly:   copies of dealer contracts and/or copies of distribution agreements, etc.  and certificate in accordance with the instructions given in the procurement documentation.  These documents shall fully disclose the chain from the participant of competitive bidding to such manufacturer of the equipment (under the nomenclature of specifications of Volume 2 "Technical part") offered within the framework of this competitive bidding. |
|  | **necessary supply experience:**  (only experience of the participant of competitive bidding shall be considered; experience of other persons engaged by the participant of competitive bidding for performance of the contract shall not be considered): the participant of competitive bidding shall have the supplies of equipment for safety systems (of safety classes 1 and/or 2 and/or 3) \_\_\_\_ *(please, specify federal standards and rules depending on NF, safety of which is influenced by the offered equipment, for example, for NPP - according to OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97))) \_\_\_\_\_\_\_\_\_\_ (please, specify the required amount of accomplished supplies of equipment in the amount of 40% of the equipment cost based on calculation of IMP (initial (maximum) price) in money terms with rounding in accordance with general rules of rounding to thousands.)* accomplished in 20\_\_-20\_\_ (*last 3 years*) under the concluded contracts  *[Requirement for experience is established mandatorily at the competitive bidding with IMP 10 million rubles, including VAT, or more;*  *for competitive bidding with IMP less than 10 million rubles, including VAT, no requirement shall be established and item shall be deleted]* | statement of experience in performing contracts which must be attached with copies of the following documents executed in accordance with the accounting rules:   * documents which confirm the scope of supplies, are executed under the contract, are signed by the parties to the contract and specify the following data: * contract details (number and date); * list of supplied equipment; * safety class of the supplied equipment as per *\_\_\_\_ (e.g. for NPP* as per OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97)); * documents which confirm completion of supplies, are executed under the contract for the purposes of financial reporting, are signed by the parties to the contract and specify the following data: * contract details (number and date); * list of the equipment delivered and accepted by the customer under the contract; * safety class of the supplied equipment as per *\_\_\_\_ (e.g. for NPP* as per OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97)); * cost of the equipment delivered and accepted by the customer under the contract. |
|  | **Requirements to manufacturers (\_\_\_\_*[the term of the manufacturer shall be established and the corresponding requirements shall be specified thereto: “a legal entity which manufactures the equipment” or “a legal entity which coordinates operations of manufacturers of component parts of the equipment and which is responsible for manufacture of this equipment in general”]* (hereinafter referred to as “manufacturer”)) of the equipment related to the subject of the competitive bidding of at least safety class 3 according to \_\_\_\_\_\_ *[please, specify details of the federal standards and rules in the field of nuclear power use corresponding to the subject of the competitive bidding]* in the scope of works to be performed:** | |
|  | A Russian manufacturer of the equipment offered to be supplied and related to at least 3 safety class according to \_\_\_\_\_ ***[please, specify details of the federal standards and rules in the field of nuclear power use corresponding to the subject of the competitive bidding]*** shall have licences effective as of establishment of access to the applications (if the validity period has expired in connection with extension of the deadline for application submission - effective as on the deadline for application submission o initially specified in the public notice on competitive bidding and in the procurement documentation) which have been issued by the Federal Service for Environmental, Technological and Nuclear Supervision to the equipment manufacturer and which provide it with the right to manufacture the equipment related to the subject of the competitive bidding in accordance with Volume 2 "Technical part" of the procurement documentation. | Copies of the licenses issued to the manufacturer of the equipment and providing it with the right to manufacture the equipment in accordance with the technical part of the documentation with attached certificate application terms (CAT).  If the license is expiring within the period between the initial application submission deadline and the time the competitive bidding winner is selected, and no new license has been provided, then the application must include the previous license and documents confirming that a new license has been requested and that such request was accepted by Federal Service for Environmental, Technological and Nuclear Supervision. |
|  | existence of effective quality management system (quality management, provision and control) which corresponds to \_\_\_\_\_\_ ***[please, specify the standard the QMS shall comply with (with reference "or similar" or "equivalent") or specify main requirements to QMS].*** | documents confirming existence of the effective quality management system (management, provision and control of quality), particularly \_\_\_\_***[please, specify the list of documents which must be provided to confirm existence of QMS in accordance with the established requirement];*** |
|  | **necessary manufacturing experience:**  Manufacturer specified in the application of the participant of competitive bidding as a manufacturer of the offered equipment should have the work which are related to manufacture \_\_\_\_\_\_ (it may specify kind/ type of the equipment pursuant to the subject of the competitive bidding) of the equipment for safety systems (of safety classes 1 and/or 2 and/or 3) \_\_\_\_ (please, specify federal standards and rules depending on NF, safety of which is influenced by the offered equipment, for example, for NPP - according to OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97)) not less than \_\_\_\_\_\_\_\_ *(if 1 (one) item of the equipment is purchased, then specify “not less than 1 (one) item”; if more than 1 (one) item of the equipment is purchased, then it may specify the quantity of not more than 40% items of the equipment from total volume of the competitive bidding, or in money terms, but not more than 40% of the equipment value in accordance with IMP (initial (maximum) price))* and which were completed in 20\_\_-20\_\_ (*last 3 years*) under the concluded contracts  *Note.*  *Kind of equipment is a criterion of classification of the equipment by its technological or functional purpose (heat exchange equipment, vessel equipment, handling equipment, etc.)*  *Type of equipment is a criterion of classification of the equipment by the subject of the competitive bidding (a condenser, a reactor vessel, a bridge crane, etc.)*  *When establishing the requirements to the equipment manufacturer by kind of the equipment, it is necessary to take into account the similarity of the manufacture technologies for the equipment relating to the certain kind.* | statement of experience in performing contracts which must be attached with copies of the following documents:   * documents which confirm scope of the performed supplies, works, services under the contract, and which are executed under the contract, signed by the parties to the contract and specify the following data: * contract details (number and date); * list of manufactured equipment; * safety class of the manufactured equipment as per *\_\_\_\_ (e.g. for NPP* as per OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97)); * documents which confirm delivery of the manufactured equipment, are executed under the contract for the purposes of financial reporting, are signed by the parties to the contract and specify the following data: * contract details (number and date); * list of the equipment delivered and accepted by the customer under the contract; * safety class of the manufactured equipment as per *\_\_\_\_ (e.g. for NPP* as per OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97)); * *amount/cost of the manufactured equipment.* * documents which indicate the manufacturer, confirm manufacture of the specified equipment by this manufacturer, and specify the following data: * manufacturer name ; * signatures of a representative of the customer and of the said manufacturer under the contract for execution of process operations related to manufacture of the equipment and for quality control; * signature and stamp of a representative of the authorized organization (state agency for nuclear energy safety supervision) to confirm acceptance inspection, unless the contract between the contracting customer and the manufacturer rules out the involvement of such an authorized organization.   OR if the conformity assessment of the equipment was carried out in the form of compliance confirmation (mandatory certification) - a valid certificate of conformity in the area of nuclear energy or in the system of equipment, product and process certification; such certificate of conformity for the respective lot of equipment or individual items must be issued within three years prior to the time of application submission (only if "at least one (1) unit" is indicated in the requirement on manufacturing experience for each type of equipment). |

* 1. The specifics of establishing the requirements at procurement of goods which are not related to the equipment according to subsection 1.3 of this chapter

| No. | Requirements | Documents confirming compliance with the established requirements |
| --- | --- | --- |
|  | **Requirements to the participants of competitive bidding:** | |
|  | **Right to offer the goods (manufacturer’s consent)**  *[in procurement of goods having impact on safety of nuclear facilities with the price of 500 thousand rubles, including VAT, or more, the requirement and the documents confirming compliance with this requirement are established according to subitem 2.1) of subsection 1.3 of chapter 1]* | |
|  | **necessary supply experience:**  (only experience of the participant of competitive bidding shall be considered; experience of other persons engaged by the participant of competitive bidding for performance of the contract shall not be considered): the participant of competitive bidding shall have the supplies of goods in accordance with *class* ***XX*** */ subclass* ***XX.X*** *“All-Russian Classifier of Products by Types of Economic Activity" (OKPD 2) OK 034-2014 «КПЕС 2008»* in the total amount of at least \_\_\_\_\_\_\_\_\_\_ *[please, specify the required amount of accomplished supplies of the goods in the amount of 40% of the goods supply value based on calculation of IMP (initial (maximum) price) in money terms with rounding in accordance with general rules of rounding to thousands]* accomplished in 20\_\_-20\_\_ (*last 3 years*) under the concluded contracts  *[The requirement is established mandatorily for competitive bidding with IMP of 10 million rubles, including VAT, and more; for competitive bidding with IMP less than 10 million rubles, including VAT, no requirement shall be established and item shall be deleted.*  *Specify one or several classes/subclasses of the goods in accordance with the classifier OK 034-2014 when establishing the requirement.*  *If the required goods are not mentioned in this classifier and cannot be related to any of the classes/subclasses, then application of other similar classifier shall be allowed. However, the group/subgroup of the selected classifier shall be established at the level not lower than the subclass as per the classifier OK 034-2014.*  *Limitation of this requirement to name (subject) of the competitive bidding is not allowed.*  *In case of procurement of goods related to safety class 4, it shall be established additionally to and equally to the classifier that the equipment for the safety-related systems (of safety classes 1 and/or 2 and/or 3) shall also be taken into account in accordance with the federal standards and rules]* | statement of experience in performing contracts which must be attached with copies of the following documents executed in accordance with the accounting rules:   * documents which confirm the scope of supplies, are executed under the contract, are signed by the parties to the contract and specify the following data: * contract details (number and date); * list of supplied goods; * documents which confirm completion of supplies, are executed under the contract for the purposes of financial reporting, are signed by the parties to the contract and specify the following data: * contract details (number and date); * list of the goods delivered and accepted by the customer under the contract; * cost of the goods delivered and accepted by the customer under the contract. |

* 1. Peculiarities in establishment of the requirements for procurement of services, design and survey work (DSW), and research and development work (R&D work)

For the purposes of application of this Methodology, this subsection and subsection 2.6 shall also cover the following subjects of the procurement which are connected with:

1. development, introduction, replication of information system,
2. development of documents,
3. development of products design,
4. real estate lease.

Upon establishment of acceptable requirements to the participants of competitive bidding in accordance with this subsection, such requirements shall also cover the engaged joint contractors in the scope of services to be rendered and works to be performed.

| No. | Requirements | Documents confirming compliance with the established requirements |
| --- | --- | --- |
|  | **Requirements to the participants of competitive bidding:** | |
|  | **availability of LSMS**  *[At the customer's discretion, the requirement to confirm the compliance with this requirement in accordance with subitem 2.2) of subsection 1.2 of Chapter 1, shall be established]* | |
|  | **Requirements to the joint contractors rendering services/ performing works whose amount is over 5% of total price of the application of the participant of competitive bidding** (excluding the requirements in relation to the authorization documents which are established regardless of the scope of services to be rendered/ works to be performed by such joint contractor in relation to the total price of the application of the participant of competitive bidding) in the scope of the rendered services/ performed works:  *[requirements of this item are established if engagement of joint contractors is allowed, otherwise this item shall be deleted completely with correction of subsequent numeration]* | |
|  | ***The following requirements and confirming documents shall be established:***   * ***the requirements shall be established mandatorily in accordance with subitems 3.1), 3.2), 3.3), 3.7) of subsection 1.2 of Chapter 1*** * ***if the requirement for LSMS is established with respect to the participant of competitive bidding at the discretion of the customer (p. 2.1) of subsection 1.5 of Chapter 1), then the requirements must be established in accordance with subitem 3.6) of subsection 1.2 of Chapter 1*** * ***the requirements in compliance with subitems 3.4), 3.5) of subsection 1.2 of Chapter 1 shall be established at the customer's discretion (whereas regarding item 3.5) for the first group customers only).*** | |
|  | **Requirements to the participants of competitive bidding and to joint contractors rendering services/performing work amounting to more than 5% of total price proposed by the participant of competitive bidding, in the scope of the services to be rendered/work to be performed:**  *[requirements specified in subitems 4.1) - 4.3) shall be established at the discretion of the customer; however if it is allowed to engage joint contractors, then these requirements shall also cover the engaged joint contractors in the scope of services to be rendered and work to be performed; if there is no need to establish any requirement - such subitem shall be deleted and subsequent numbers of subitems shall be corrected]* | |
|  | **availability of experience:**  shall have performed/rendered work/services \_\_\_\_ [specified in the amount of not exceeding 40% of the cost of such works/services according to IMP calculation with rounding according to general rules of rounding to thousands, or no more than one accomplished project for rendering services matched to the subject of the contract] in the framework of concluded contracts in 20\_\_-20\_\_ (the last 3 years)]  *[This requirement is not allowed to be established with the wording which fails to allow determining unambiguously the compliance or non-compliance of the participant of competitive bidding with the specified requirements without establishing the strict parameters of required contracts. However, it is necessary to establish requirements which are sufficient for performance of the contract and are not limited exclusively to the name (subject) of the competitive bidding.*  *If it is allowed to engage joint contractors and the required amount of completed works/rendered services is established in money terms, then the following procedure for checking compliance with this requirement shall be established:*  *“In checking the compliance with this requirement, the following procedure shall be applied:*  *The participant of competitive bidding must have experience in performing this type of works/ services in the scope which is not less than the scope calculated according to the following formula: experience of the participant of competitive bidding = (amount of this requirement, in rub.) x ((cost of the type of works/ services to be performed/ rendered by the participant of competitive bidding, in rub. + cost of the type of works/ services to be performed/ rendered by the joint contractors which perform/ render 5% and less of the works/ services from total value of these works/ services pursuant to the application of the participant of competitive bidding, in rub.) / total value of this type of works/ services specified in the application of the participant of competitive bidding, in rub.)).*  *The engaged joint contractors, which perform works/render services of more than 5% of total value of the works/services pursuant to the application of the participant of competitive bidding, should have experience in performing/ rendering this type of works/ services, scope of which is not less than the scope calculated according to the following formula: experience of the joint contractor = (amount of this requirement, in rub.) x ((cost of the type of works/ services to be performed/ rendered by the joint contractor, in rub.) / total value of this type of works/ services specified in the application of the participant of competitive bidding, in rub.)).»]* | statement of experience in performing contracts which must be attached with copies of the following documents executed in accordance with the accounting rules:   * documents which confirm scope of services, are executed under the contract, are signed by the parties to the contract and specify the following data: * contract details (number and date); * list of rendered services; * documents which confirm completion of services, are executed under the contract for the purposes of financial reporting, are signed by the parties to the contract and specify the following data: * contract details (number and date); * list of the services delivered and accepted by the customer under the contract; * *cost of the services delivered and accepted by the customer under the contract.* |
|  | **availability of material and technical resources (MTR):**  should have the amount of material and technical resources (machines and mechanisms, special devices and tools) sufficient for performance of the contract, including:   * at least \_\_; * at least \_\_; * …   ***[The procurement documentation shall specify certain types of MTR (a crane, a loader, a dosimeter, etc.), main characteristics (load-carrying capacity, height, purpose, etc.), and the amount of MTR.***  ***This requirement shall be established on the basis of the documents provided by the customer to the business owner of the procurement process and impartially justifying the requirements to MTR needed for performance of the contract, based as well on the experience of earlier completed similar works/services]*** | statement confirming that the participant of competitive bidding and engaged joint contractors have the respective material and technical resources (MTR) required for complete and timely performance of the contract, and the following documents must be attached:   * for transport vehicles - copies of the vehicle registration certificates; * for other MTR - copies of the documents confirming the relevant fixed assets’ availability as per the accounting records (fixed asset acceptance and delivery certificates as per form No. OS-1 (ОС-1) and/or fixed asset inventory record cards as per form No. OS-6 (ОС-6) approved by Resolution No. 7 of Goskomstat (Federal State Statistics Service) of Russia dated 21.01.2003). * in case of the use of leased material and technical resources, copies of lease agreements signed by both parties between the participant of competitive bidding (subcontractor) and each lessor, with indication of names, quantity, and lease terms of the leased material and technical resources, shall be provided. * in case at the procurement stage there is only an intention to conclude a MTR sales contract and/or lease agreement between the participant of competitive bidding (subcontractor) and each seller or lessor there also should be provided: * copies of draft MTR sales contract and/or lease agreement (letter of intent) creating unambiguous obligations for the parties regarding conclusion of general contract in case of win of the participant of competitive bidding or making the decision on conclusion of contract with such participant of competitive bidding based on the results of competitive bidding, indicating names and amount of MTR   or   * copies of MTR sales contract and/or lease agreement with suspensive condition creating unambiguous obligations for the parties regarding the entering of such agreement into force in case of win of the participant of competitive bidding or making the decision on conclusion of contract with such participant of competitive bidding based on the results of competitive bidding, indicating names and amount of MTR. |
|  | **availability of human resources:**  should have the amount of human resources with the appropriate qualification which is sufficient to perform the contract, including:   * no less than \_\_; * at least \_\_; * …   ***[The procurement documentation shall specify the amount and names of certain specialties which are required to fulfill obligations under the contract. This requirement shall be established on the basis of the documents provided by the customer to the business owner of the procurement process and impartially justifying the requirements to human resources needed for performance of the contract, based as well on the experience of earlier completed similar works/services]*** | statement confirming that the participant of competitive bidding and engaged joint contractors have the appropriate human resources required for complete and timely performance of the contract, and the following must be provided:   1. ***[if any requirements are established to qualification of human resources]*** documents on specialists which confirm their qualification, particularly: \_\_\_\_\_\_ 2. if the engaged human resources are not employed by the participant of competitive bidding, then the application shall contain their written consent for being engaged in the services to be rendered under the contract, which (services) are the subject of the competitive bidding. |

* 1. The requirements to be established in the documentation for competitive bidding with initial (maximum) price of the contract less than 200 million rub., including VAT, the participants of which may be only small and medium-sized business entities (special bidding):

| No. | Requirements | Documents confirming compliance with the established requirements |
| --- | --- | --- |
|  | The participant of competitive bidding shall have full civil legal capacity to conclude and to perform a contract according to the results of the competitive bidding, and: | |
|  | shall be registered as a legal entity or an individual entrepreneur, in accordance with the procedure established in the Russian Federation (for Russian participants of competitive bidding); | The information about state registration of legal entities and individual entrepreneurs shall be obtained by the business owner of the procurement process (customer) at its own efforts using the web-site http://egrul.nalog.ru/# |
| 1. filled in by the participant of competitive bidding, as per Form 1 "The Application":  * confirmation that the person which signs the application has been authorized to sign the application on behalf of the participant of competitive bidding and understands the responsibility for all actions occurred on the basis of the signed application; * application for use of the simplified taxation system (when such system is applied). |
| 1. statement of liability filled by the participant of competitive bidding as per Form 1 "The Application" which ensures that the following will be provided before the conclusion of the contract in case of such conclusion:  * decision to approve or to close a major transaction if such decision is required for closing a major transaction in accordance with the laws of the Russian Federation and with the constituent documents of the legal entity and if conclusion of the contract or provision of a security for the application or for the contract is a major transaction for the participant of competitive bidding; * decision to approve or to close an interested-party transaction if such approval is required in accordance with the laws of the Russian Federation and with the constituent documents of the legal entity and if conclusion of the contract or provision of a security for the application or for the contract is an interested-party transaction for the participant of competitive bidding   or information confirming that this transaction is not a major transaction and/or an interested-party transaction for such a participant of competitive bidding, or that the competitive bidding participant’s organization is not subjected to the requirements of the legislation to provide the decision to approve or to close a major transaction and/or an interested-party transaction, since the sole participant (shareholder) is the sole executive body. |
|  | *requirements are established in compliance with subitems 1.3), 1.4) of subsection 1.1 of Chapter 1* | 1. confirmation filled in by the participant of competitive bidding as per Form 1 "The Application" that:  * the participant of competitive bidding is not undergoing the process of liquidation (for a legal entity); * the participant of competitive bidding is not recognized insolvent (bankrupt) by the arbitration court; * property of the participant of competitive bidding is not arrested by a decision of the court or administrative body; * the competitive bidding participant’s activity is not suspended. |
|  |
|  | **shall comply with the requirements established on the basis of orders of the Government of the Russian Federation:**  *[requirement is established in compliance with subitem 1.5) of subsection 1.1 of Chapter 1]* | 1. obligating document filled in by the participant of competitive bidding as per Form 1 "The Application" which ensures that information about the chain of owners including beneficiaries (as well as ultimate beneficiaries) according to the form of and in accordance with the instructions specified in this procurement documentation and documents confirming such information will be provided before the conclusion of the contact in case of such conclusion. |
|  | **level of financial resources availability** for the participant of competitive bidding must be at least 30 units, according to the calculation methodology  *[this requirement is established for competitive bidding with IMP (initial (maximum) price) of 10 million rubles, including VAT, and more, unless the requirement to secure performance of the contract has been established; whereas the Methodology of calculation of financial resources availability for the participant of competitive bidding is specified in the documentation in accordance with Appendix 3 to this Methodology;*  *in other cases, this requirement shall not be established and item shall be deleted and subsequent numbers of items shall be corrected]* | 1. copies of accounting (financial) statements for the expired financial year and for the expired period of a financial year (6 months of the current financial year/9 months of the current financial year) in accordance with the requirements and with the procedure provided for by section 3 of the documentation. |
|  | **availability of special legal capacity**  *[as necessary, if authorization documents are required for execution of the contract, it shall be established in compliance with subitem 1.7) of subsection 1.1 of Chapter 1, otherwise no requirement shall be established and the item shall be deleted with correction of the subsequent numeration]* | **the copies of the documents are established according to subitem 1.7) к) of subsection 1.1 of Chapter 1;** |
|  | **absence of information about the participant of competitive bidding in the following registers of bad-faith suppliers:**   * in the register which is being kept in accordance with the provisions of Federal Law No. 223-FZ (223-ФЗ) "On procurement of goods, work, services by certain types of legal entities”; * in the register which is being kept in accordance with the statutory provisions of the Russian Federation on placement of state and municipal orders. | no documents shall be submitted. The business owner of the procurement process (customer) shall check compliance with this requirement with respect to such registers by its own efforts. |
|  | *requirement is established in compliance with subitem 1.9) of subsection 1.1 of Chapter 1* | The check for the compliance with this requirement shall be performed by the business owner of the procurement process (customer) at its own efforts by using the name and INN (individual taxpayer number) of the participant of competitive bidding (website http://zakupki.rosatom.ru/Web.aspx?node=unscrupulous). |
|  | availability of the labor safety management system (LSMS).  *[the requirement is established in procurement of work/services; it shall not be established for supplies and item shall be deleted]* | confirmation of documentation on the competitive bidding, on availability of the competitive bidding participant’s labor safety management system (LSMS), filled in by the participant of competitive bidding as per Form 1 "The Application"; |
|  | **Requirements to** ***the subcontractors/ joint contractors performing works/ rendering services* whose** **amount is over 5% of total price of the application of the participant of competitive bidding** (excluding the requirements in relation to the authorization documents which are established regardless of the scope of works/ services to be performed/ rendered by such ***subcontractor/ joint contractor*** in relation to the total price of the application of the participant of competitive bidding) in the scope of the ***works to be performed/ services to be rendered***:  *[requirements of this item shall be applied for procurement of work/services, and shall be deleted completely in case of procurement of supplies]* | |
|  | **availability of special legal capacity**  *[as necessary, if the execution of the contract requires the authorization documents, the requirement is established in compliance with the requirement of subitem 1.6) of this subsection]* | ***the copies of the documents shall be established in compliance with subitem 1.6) of this subsection depending on the types and scope of performed work/rendered services;*** whereas the said authorization documents shall be provided regardless of **the scope of work/services performed/rendered by such subcontractor/joint contractor** in relation to the total price of the application of the participant of competitive bidding |
|  | **agree with engagement in the execution of the contract**  *[requirement is established in compliance with subitem 3.7) of subsection 1.2 of Chapter 1]* | *the copies of the confirming documents are established according to subitem 3.7) of subsection 1.2 of Chapter 1;* |

* 1. Requirements to products:

| No. | Requirements | Documents confirming compliance with the established requirements |
| --- | --- | --- |
| 1) | The products must meet the requirements specified in Volume 2 “Technical Part”.  *The participant of competitive bidding shall take into account that the references to trademarks, service marks, trade names, patents, utility models, industrial designs, names of place of origin of the goods or the manufacturer’s name stated in the procurement documentation are advisory rather than binding. The participant of competitive bidding may submit in his/its application any other trademarks, service marks, trade names, patents, utility models, industrial designs, places of origin of the goods or the goods of other manufacturers provided that the products replaced are compatible with each other, substantially equivalent [or exceed the quality of the goods listed in the technical specifications (analogues)].specifications (analogues)]* | Technical proposal confirming fulfillment of each requirement stated in the technical part of the procurement documentation (Volume 2), in accordance with the instructions given in the procurement documentation (the relevant Form), including *[to be specified in accordance with the subject of competitive bidding and the requirements for description of the technical proposal]* as follows:   * *the equipment and materials specifications, proposed technical solutions, estimates, drawings, diagrams;* * *manufacturer name and the country of the goods origin.* * *\_\_\_.*   *[if necessary, based on item 1) of Appendix 1 to this Methodology]* TA (Technical Assignment) (TS (Technical Specification)) or a draft TA (TS) for the equipment corresponding to the technical characteristics established in RTR shall be provided to confirm the requirements specified in the *Reference Technical Requirements (RTR)* of Volume 2 "Technical Part" of the procurement documentation.  If TA (TS) not corresponding to RTR requirements in full is provided as a part of the application of a competitive bidding participant, then analysis of the provided TA (TS), which (analysis) confirms fulfillment of RTR requirements, should be attached to the application.  *[in procurement of any goods] The participant of competitive bidding must specify the name of a manufacturer of each of the offered goods, exact technical solutions, brands, models, etc. and the place of origin of these goods in the technical proposal which is provided as part of the application for participation in the procurement, without indication of words “or analogue” (“or equivalent”)*.  ***[when carrying out a competitive bidding for the supply of goods, if Government Resolution No. 925 applies to the Customer]***  If the country of the goods origin is not specified, then, as the country-of-origin-related priorities are determined, in accordance with Resolution of the Government of the Russian Federation No. 925 issued on September 16, 2016, such application will be deemed to offer foreign goods.  *[for the procurement of goods affecting the safety of nuclear facilities, if the customer intends to carry out the on-site verification of manufacturers’ production during the time of consideration of the applications at the selection stage]* The Customer at the time of consideration of the applications at the selection stage has the right to inspect whether the production processes and production methods comply with the requirements of technical regulations stated in Volume 2 “Technical Part”, including through carrying out the on-site verification of the manufacturers’ production in accordance with the procedure set out in Part 4 of this documentation. The results of checking are executed in the form of certificate to be published at the official website together with the protocol of consideration of the applications, and can be contested according to the procedure stipulated by the Standard.  *[The procedure for carrying out the on-site verification of the manufacturers’ production is formed by the customer on its own; at the same time such procedure must contain the detailed technique and the deadline for performance of such check. In the absence of an appropriate procedure performance of such a check is not allowed, conditions of the check performance and part 4 shall be deleted.]* |
| 2) | The equipment proposed to be supplied and related to at least safety class 3 according to \_\_\_\_\_ [specify details of the federal standards and rules in the field of nuclear energy use corresponding to the subject of the procurement] shall be designed by the developer having a license valid at the time of granting access to the applications (if the license period of validity has expired due to an extension of the application submission deadline, such license must have been effective at the time of application submission deadline originally stated in the public notice on competitive bidding and in the procurement documentation), which have been issued by the Federal Service for Environmental, Technological and Nuclear Supervision, to the equipment designer (*\_\_\_\_\_\_[the term of the designer is to be specified as follows: “the legal entity performing design of the equipment”, or “the legal entity performing coordination of operation of executors of the design parts and bearing responsibility for design of the equipment in general”]*), and which provide it with the right to design the equipment related to the subject of the competitive bidding in accordance with Volume 2 "Technical part" of the procurement documentation.  *[the requirement shall be established for procurement of equipment related to safety elements of 1,2,3 safety class nuclear facilities in compliance with the federal standards and rules in the field of nuclear power use, if the corresponding licensed activity is required for the subject of competitive bidding, otherwise it shall be deleted]* | Copies of the licenses issued to the designer of the equipment and providing it with the right to design the equipment in accordance with the technical part of the documentation, attaching the CAT.  If the license is expiring within the period between the initial application submission deadline and the time the competitive bidding winner is selected, and no new license has been provided, then the application must include the previous license and documents confirming that a new license has been requested and that such request was accepted by Federal Service for Environmental, Technological and Nuclear Supervision. |
|  | In case of supply of any imported goods and/or in case of use of any imported equipment, components, materials and semi-finished products as part of the supplied goods the requirements of NP-071-06 (НП-071-06) “Regulations for assessment of the equipment, components, materials and semi-finished products to be delivered to nuclear facilities” must be met taking into account the requirements of RD 03-36-2002 (РД 03-36-2002) “Terms and conditions of supply of imported equipment, products, materials and components for nuclear facilities, radiation sources and storage facilities of the Russian Federation.”  *[the requirement is established for procurement of equipment related to safety elements of 1,2,3 safety class nuclear facilities in compliance with the federal standards and rules in the field of nuclear power use, otherwise it shall be deleted]* | confirmation filled in by the participant of competitive bidding as per Form 1 "The Application" that:   * in case of supply of any imported goods and/or in case of use of any imported equipment, components, materials and semi-finished products as part of the supplied goods - regarding the compliance with the requirements of NP-071-06 (НП-071-06) “Regulations for assessment of the equipment, components, materials and semi-finished products to be delivered to nuclear facilities”, taking into account the requirements of RD 03-36-2002 (РД 03-36-2002) “Terms and conditions of supply of imported equipment, products, materials and components for nuclear facilities, radiation sources and storage facilities of the Russian Federation.” * in the absence of supply of any imported goods and/or use of any imported equipment, components, materials and semi-finished products - regarding the absence of the imported equipment, products, materials and components as part of the products supplied. |

The procedure of forming the requirements for the products and technical part of procurement documentation is given in Appendix 1 of this Methodology.

SECTION 2. PROCEDURE FOR ESTABLISHING CRITERIA AND METHODOLOGY OF APPLICATION EVALUATION

## General provisions

2.1.1. The procurement documentation establishes the criteria of evaluation, their weight significance, as well as the methodology of application evaluation under the criteria established in the procurement documentation depending on the subject of competitive bidding in compliance with the provisions of this section.

2.1.2. The following criteria of application evaluation are used in the competitive bidding by accounting for the requirements of the Methodology:

1. cost criteria of evaluation:

a) contract price, unit price of products;

b) the term of goods delivery, performance of works, rendering of services;

c) conditions of payment for goods, works, services;

1. non-cost criteria of evaluation:

a) qualification of the participant of competitive bidding, as well as of its subcontractors (joint contractors) (if engagement of them is stipulated), including the following sub-criteria: experience, availability of material and technical resources, availability of human resources, presence and level of implementation of effective quality management system (management, support and control), additional sub-criteria (in procurement of work performance, service rendering by requesting the proposals);

b) experience in manufacture of the equipment;

c) functional specifics (consumer properties) and qualitative characteristics of goods;

d) certificate of manufacturer;

e) quality of the technical proposal of the participant of competitive bidding in case of work, services procurement;

f) other evaluation criteria specified in the administrative documents of the CEO of ROSATOM published at the official procurement portal.

2.1.3. The list of criteria (sub-criteria) is defined for each competitive bidding individually. The criterion "Contract price, product unit price" shall be an obligatory criterion in all cases.

In addition, the procurement documentation shall establish at least two criteria of evaluation. Excluding such competitive bidding process as auction, reverse auction, price inquiry, which shall have the only criterion for evaluation with the significance (weight) of 100% - the contract price (product unit price).

2.1.4. The possible criteria (sub-criteria) of evaluation of the competitive bidding participants’ applications shall be corrected at the execution of certain competitive bidding. Every criterion (sub-criterion) of application evaluation established in the procurement documentation shall be accompanied by the procedure (methodology) of evaluation under the said criterion (sub-criterion).

2.1.5. When holding a tender or a request for proposals based on information found in Negative Reputation Rating (http://rdr.rosatom.ru), the Final application rating shall be determined as prescribed by item 2.3.3, sub-section 2.3 hereof, which shall be incorporated in the procurement documentation.

2.1.6. Upon holding special bidding general principles of establishing criteria and methodology of evaluation are applied.

2.2 Terms and definitions

|  |  |
| --- | --- |
| Term | Definition |
| Evaluated price of the application proposed by the participant of competitive bidding | The sum of the price of the evaluated application and price adjustments which are calculated according to value criteria. The addition of the application price to the price adjustments on the basis of the value criteria shall be performed before applying the weight factor of the criterion. The evaluated price of the application proposed by the participant of competitive bidding is subject to application of the weight factor of "Contract price, product unit price" criterion. The evaluated price shall be applied only in order to evaluate the applications submitted by participants of competitive bidding and shall not affect the price of the concluded contract. |
| Value criterion of evaluation | The criterion evaluated together with the price in money terms |
| Score criterion of evaluation | The criterion, evaluation result of which is expressed in scores |
| The significance of a criterion (subcriterion) | The weight of the respective criterion (subcriterion) in the system of evaluation and comparison of applications, expressed as a percentage |
| The weight factor of a criterion (subcriterion) | The weight of the respective criterion (subcriterion) in the system of evaluation and comparison of applications, fractional (the significance of the criterion/100) |
| Evaluation | The process of evaluation and comparing the applications in compliance with the provisions of this methodology |
| Score | A numerical expression of the applications’ rating obtained as a result of evaluation |
| Application rating | The sum of scores under each criterion (sub-criterion) of application evaluation taking in account the weight factors |
| Final application rating | The Application rating adjusted based on information found in Negative Reputation Rating posted at the official website http://rdr.rosatom.ru |
| The participant of competitive bidding (the participant of competitive bidding) | For the evaluation order, the participant shall be regarded in relation to whom the tendering committee has taken the decision to allow for participation in a competitive bidding |
| The certification system (System) | The voluntary certification system of NPP equipment manufacturers production for the compliance with requirements of the operational organization "Rosenergoatom Concern" OJSC (registered under No РОСС RU.З1086.04ЖИЧ0 in the Unified Register of voluntary certification systems) |
| The certified manufacturer | The manufacturer whose production is certified in the System for equipment with safety class as per NP-001-97 (OPB 88/97) no lower than the procured one, and identical by type, including the main technical characteristics (e.g. shutoff gate valve DN 50 ... 300 mm, Pp from 2.5 to 10.0 MPa, t to 350°С). nomenclature group (e.g. pipeline fittings). |

## The procedure for establishing criteria, significance of criteria and methodology of evaluation for procurement of work, except for the work specified in subsection 1.5, as well as the procedure for determining Final application rating.

## The evaluation criteria and their significance

For procurement of works, only the following evaluation criteria (sub-criteria) shall be established, with the specified weight value:

1. contract price***, product unit price*** (significance of criterion Ц i (ОЦ i) – 95%);
2. deadline for works performance;

***If this criterion is applied, then the Customer’s written justification of the necessity to apply this evaluation criterion must be attached to the procurement documentation. This criterion may be only cost-based, and no weight value of this criterion shall be established.***

1. conditions of payment for works;

***It is applied in the cases when the customer allows the participants of competitive bidding to propose an amount of advance payment and to specify it in the application. This criterion may be only cost-based, and no weight value of this criterion shall be established.***

1. qualification of the participant of competitive bidding (significance of criterion Kv i is 5%) ***(if not evaluated at the pre-qualification stage)***, including:
   * + - experience of the participant of competitive bidding (significance of sub-criterion О i is 100%

The establishment of other criteria (sub-criteria) and other weight values is not allowed.

* + 1. Methodology of application evaluation

The rating of an application proposed by a participant of competitive bidding is a numerical score resulting from evaluation based on criteria (sub-criteria) and according to the significance (weight) of such criteria (sub-criteria).

If any criterion has sub-criteria, then each sub-criterion shall be given a score, and the total score for that criterion shall be determined as a sum of the scores of its sub-criteria according to the significance (weight) of the sub-criteria.

The rating of an application proposed by the participant of competitive bidding "i" shall be determined according to the following formula ***[all criteria established in the procurement documentation shall be specified]***:

R i = БЦ i \* Vц + БКв i \* VКв;

where V is the significance (weight) of the respective criterion,

БЦ, БКв are the scores (point) of the respective criterion.

The total significance of all criteria established in the procurement documentation shall be equal 100%. The highest numerical score for criteria Ц i, Кв i is 100 points.

1. Evaluation based on the criterion ***, product unit price***“ ***taking in account the cost-based criterion/criteria “deadline for goods delivery, for work performance, for services rendering", “conditions of payment for goods, work, services"***

***[if Government Resolution No. 925 applies to the Customer]*** In case a priority is granted in accordance with Resolution of the Government of the Russian Federation No. 925 issued on September 16, 2016, evaluation and comparison of applications under the criterion "price of the contract, ***unit price***" shall be carried out as per Part 2 thereof.

***\**** ***When preparing the procurement documentation, the customer shall analyze intended purpose of the procured goods (work, services) in order to determine the customer's right to apply for VAT deduction in compliance with Article 171 of the Tax Code of the Russian Federation. If the customer has right to apply for VAT deduction in relation to the procured goods (works, services), then the application prices of the participants of competitive bidding excluding VAT shall be used as the unified basis of comparison of price quotations, and the procurement documentation shall state the following:***

Due to the fact that in accordance with Article 171 of the Tax Code of the Russian Federation the customer has the right to apply for VAT deduction in relation to the procured ***goods (work, services)***, the unified basis of comparison of the price quotations shall be the following: excluding VAT.

The price quotations proposed by the participants of competitive bidding shall be adjusted to the unified basis by deducting VAT amount from the prices proposed by the participants of competitive bidding which are VAT payers.

***If the customer has no right to apply for VAT deduction, then the application prices proposed by the participants of competitive bidding including all taxes, levies and other charges in accordance with the legislation of the Russian Federation shall be used as the unified basis of comparison of price quotation. The established procedure shall be applied also in the cases when the intended purpose analysis results provide no opportunity to determine precisely whether the customer has the right to apply for VAT deduction, or whether VAT deduction is applied only with respect to a part of the procured goods (works, services).***

***The procedure for determining and justification of the unified basis of comparison of price quotations should be specified in the procurement documentation. If the procurement documentation contains no information about the rules for determination of the unified basis of comparison of price quotations, then the comparison shall be carried out on the basis of the application prices proposed by the participants of competitive bidding including all taxes, levies and other charges in accordance with the legislation of the Russian Federation.***

***If evaluation based on the criterion "contract price" is carried out regardless of the cost-based criteria, then the following formula shall be used:***

|  |  |  |
| --- | --- | --- |
| БЦ i = | Ц min | \* 100 |
| Ц i |

where: БЦ i  – evaluation based on the criterion "contract price, ***product unit price***" of the participant of competitive bidding "i", in points,

Ц i – competitive bidding participant’s proposal of the contract price and of the product unit price specified in the application proposed by the participant of competitive bidding "i" which is adjusted to the unified basis of comparison of price quotations, in rub.,

Ц min – is the minimum contract price and the minimum product unit price specified in the application proposed by the participant of competitive bidding allowed to participate in the competitive bidding ***which is adjusted to the unified basis of comparison of price quotations***, in rub.

***If the procurement documentation provides for application of cost-based criteria, then the following formula shall be used to carry out evaluation based on the criterion "contract price, product unit price”:***

|  |  |  |  |
| --- | --- | --- | --- |
| БЦ i | = | OЦ min | \* 100 |
| OЦ i |

where: ОЦ i – evaluated price of the application proposed by the participant of competitive bidding, in rub.,

ОЦ min – is the lowest estimated value of an application from among estimated values of the applications proposed by the participants of competitive bidding allowed to participate in the competitive bidding, in rub.

ОЦ i = Ц i + OЦC i + OЦП i *+ ОЦn*

where: ОЦС i  – is the price adjustment on the basis of the criterion “deadline for goods delivery, for work performance, for services rendering”, in rub.,

ОЦП i  – is the price adjustment on the basis of the criterion “conditions of payment for goods, work, services”, in rub.

*ОЦn are other price adjustments stipulated by the Standard (evaluation of lifecycle cost, etc.).*

ОЦС i = Ц i \* M i /100 \* (C i – Ср min )

where: M i – is the amount of penalty specified in the draft contract and charged for delays in supply of goods, in performance of work and in rendering of services and specified in the procurement documentation for ***the time measurement unit (year, month, day, hour)***, in % from the contract price,

С i – is the deadline for goods delivery, for work performance and for services rendering specified in the application proposed by the participant of competitive bidding "i", ***in time measurement units (year, month, day, hour),***

Ср min – is the shortest deadline for goods delivery, for work performance and for services rendering from among those specified in the applications proposed by the participants of competitive bidding allowed to participate in the competitive bidding, ***in time measurement units (year, month, day, hour)***

ОЦП i = АВ i \* Сa i \* СРф/100/365

where: АВ i – is the amount of advance payment from the participant of competitive bidding "i", in rub.

Сa i – is the repayment date of the advance payment proposed by the participant of competitive bidding "i" and specified in the draft contract, in days

СРф – is the refinancing rate established by the Central Bank of the Russian Federation as of the last day for application submission, %

The estimated value shall be calculated only for the purposes of evaluation of applications and shall not affect price of the concluded contract according to the proposal made by the winner with respect to the contract price.

1. Evaluation based on the criterion “qualification of the participant of competitive bidding”\*

***-\* criterion “qualification of the participant” shall be applied if qualification of the participant was not evaluated at the pre-qualification stage***

БКв i = БО i \* Vо

where: V is the significance (weight) of the respective sub-criterion,

БО is the score (point) of the respective sub-criterion

Total significance of all sub-criteria of one and the same criteria is equal to 100 percent.

The highest numerical score for the sub-criterion Оi is 100 points

1. Evaluation based on the sub-criterion “experience of the participant of competitive bidding”:
2. ***For objects according to the List of objects (Appendix 2), or for objects which are not specified in that list, provided that it is impossible to determine their similarity to the objects specified in the following list and having the I category of responsibility for radiation and nuclear safety according to PiN AE-5.6 (ПиН АЭ-5.6) (2 safety class according to NP-001-97 (НП -001-97) (OPB-88/97 (ОПБ-88/97))):***

work completed in 20\_\_-20\_\_ yy (the last 3 years) \_\_\_\* and aimed to the construction and/or reconstruction and/or major repair\*\* of objects related, according to the item 1 part 1 article 48.1 Of Urban Planning Code of the Russian Federation, to the nuclear facilities ((NF) in compliance with Article 3 of the Federal Law No 170 FZ "On Use of Nuclear power" dated November 21, 1995)

1. ***For objects according to the List of objects (Appendix 2), or for objects which are not specified in that list, provided that it is impossible to determine their similarity to the objects specified in the following list and having the II category of responsibility for radiation and nuclear safety according to PiN AE-5.6 (ПиН АЭ-5.6) (2, 3 safety class according to NP-001-97 (НП -001-97) (OPB-88/97 (ОПБ-88/97))):***

work completed in 20\_\_-20\_\_ yy (the last 3 years) \_\_\_\* and aimed to the construction and/or reconstruction and/or major repair\*\* of objects related, according to the item 1 part 1 article 48.1 Of Urban Planning Code of the Russian Federation, to especially hazardous and technically complex objects

1. ***For objects according to the List of objects (Appendix 2), or for objects which are not specified in that list, provided that it is impossible to determine their similarity to the objects specified in the following list and having the III category of responsibility for radiation and nuclear safety according to PiN AE-5.6 (ПиН АЭ-5.6) (4 safety class according to NP-001-97 (НП -001-97) (OPB-88/97 (ОПБ-88/97))):***

work under the concluded contracts for construction and/or reconstruction and/or overhaul\*\* of industrial and civil construction (ICC) objects and/or objects related to extremely hazardous and technically complex facilities or to unique facilities under Part 1 and/or Part 2 of Article 48.1 of the Town Planning Code of the Russian Federation which were completed in 20\_\_-20\_\_ (*last 3 years*) \_\_\_\_\_\*.

Evaluation (points) of subcriterion “experience” (БОi) is calculated:

Step 1. Calculation of БОуi is held according to the following procedures:

In carrying out evaluation on the basis of this subcriterion, the respective experience of the participant of competitive bidding confirmed by copies of the following documents executed in compliance with the accounting rules shall be taken in account:

***[list of confirming documents shall be specified in compliance with subitem 4.1) of subsection 1.2 of Chapter 1]***

Only experience of the participant of competitive bidding shall be evaluated (experience of other legal entities of individuals, including individual entrepreneurs, engaged by the participant of competitive bidding for performance of the contract shall not be taken into account), according to the following formula:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Experience | | | | | Points |
| The participant of competitive bidding has not performed in 20\_\_-20\_\_ yy (the last 3 years) \_\_\_\_\* works in the framework of concluded contracts for construction and/or reconstruction and/or major repair\*\*\_\_\_\_ ***[the object according to items 1) – 3) shall be specified depending on the subject of competitive bidding]*** | | | | | 0 |
| БОу i | = | Оу i | \* 100 |  | |
| Оу max |
| Оу max | | | | | 100 |

where:

Оуi is a total price of work completed by the participant of competitive bidding in 20\_\_-20\_\_ yy (the last 3 years) \_\_\_\_\* in the framework of concluded contracts for construction and/or reconstruction and/or major repair\*\*\_\_\_\_ ***[the object of construction according to items 1) – 3) depending on the subject of competitive bidding shall be specified],*** of participant of competitive bidding "i" in rubles.

Oуmax is a maximum value of experience (price of work completed by the participant of competitive bidding in 20\_\_-20\_\_ yy (the last 3 years) \_\_\_\_\* in the framework of concluded contracts for construction and/or reconstruction and/or major repair\*\*\_\_\_\_ ***[the object according to items 1) – 3) depending on the subject of competitive bidding shall be specified]****)*from the values of experience of all allowed participants of competitive bidding, but not exceeding the limit value, in rubles.

The limit value of experience in performance of \_\_\_\_\* work under the concluded contracts for construction and/or reconstruction and/or major repair\*\* ***[specify the object pursuant to items 1) – 3) depending on the subject of the competitive bidding]***: \_\_\_\_\_, in rub. ***[The following value shall be established as limit one:***

* ***no more than 2 IMPs;***

***]***

If Oуi exceeds the limit value, then Oуi shall be deemed equal to such limit value.

***\**** ***type of work shall be specified depending on the subject of the competitive bidding:***

* ***for procurement of CIW (construction and installation works), the type of works shall be specified as "construction and installation",***
* ***for procurement of SCW (start-up and commissioning works), the type of works shall be specified as "start-up and commissioning”.***

***In the event of procurement of other works, the similar principle of establishment of the evaluation methodology, which is based on types of works in accordance with the subject of the competitive bidding, and also the principles of similarity and sufficiency for the contract performance shall be applied.***

***\*\**** ***In the event of procurement of CIW or SCW to be performed in modernizing buildings and structures or in expanding objects, the wording "contract(s) for construction and/or reconstruction and/or overhaul" shall be changed by "contract(s) for construction and/or reconstruction and/or overhaul and/or modernization and/or expansion”.******In the event of procurement of other works, the similar principle of establishment of the evaluation methodology, which is based on contracts in accordance with the subject of the competitive bidding, shall be applied.***

Step 2. Calculation of final evaluation (points) БОi according to the following procedures:

In evaluating the participant of competitive bidding on the basis of this subcriterion, total amount of points accrued for experience (БОуi) in accordance with the above procedure shall be reduced in compliance with the table specified below, depending on total amount of penalties under all court decisions, including settlement agreements published during two years prior to the date, when the public notice on competitive bidding was placed on the official web-site, which were rendered not in favor of the participant of competitive bidding acting as a defendant and which substantiated circumstances of failure of the participant of competitive bidding to fulfill or circumstances of improper fulfillment of the obligations of a supplier (subcontractor, executor) aroused from the contracts for goods delivery, for works performance and for services rendering which relate to manufacture, supply and operation of goods, performance of works, rendering of services and are concluded with ROSATOM or its organizations (the nuclear industry enterprises). When calculating this final БОi value (score), the amount of penalties under all court decisions which have been entered into Negative Reputation Rating system (http://rdr.rosatom.ru) shall not be taken into account.

The amount of penalties means total amount of monetary funds which have been collected or are subject to collection from the participant of competitive bidding under court decisions, including settlement agreements (debts, forfeits, fines, penalties, interests for use of other persons’ monetary funds, state duty expenses). Upon calculation of the amount of penalties under court decisions, the published decisions of the superior authorities shall be taken in account.

| Penalties to be applied | Points (БСi) |
| --- | --- |
| No penalties were applied | БСi =БОуi  100% of points assigned on the basis of experience of the participant of competitive bidding shall be accounted in total |
| Amount of penalties applied to the participant of competitive bidding shall be less than 10% of IMP of this competitive bidding | БСi =БОуi / 2  Amount of points assigned on the basis of experience of the participant of competitive bidding shall be reduced by 50% |
| Amount of penalties applied to the participant of competitive bidding shall be 10 % of IMP, or more | БСi =0  0 points shall be assigned to the participant of competitive bidding on the basis of this subcriterion |

The final score (point) based on the subcriterion "experience" shall be deemed equal to the respective value (БСi) obtained with due consideration of existence of the said penalties (БОi = БСi).

### Determining the Final application rating

Final application rating (FAR) shall be determined as follows:

FAR i = Ri – BRi

where:

FARi is the Final application rating (score) of competitive bidding participant i.

Ri is the Application rating (score) of Competitive bidding participant i determined through evaluation against the applicable criteria (sub-criteria) taking into account each criterion’s (sub-criterion’s) weight.

BRi is the Business reputation score of Competitive bidding participant i determined as follows:

|  |  |
| --- | --- |
| Business reputation (BR) | Score |
| At the time of granting access to the applications (tender opening), no data concerning such competitive bidding participant are available at the official website or such participant’s Negative Reputation Rating posted therein is zero | 0 |
| |  |  |  |  | | --- | --- | --- | --- | | BRi | = | Rei | \* 5 | | Re max | | |
| Re max | 5 |

where:

Rei is the value of Negative Reputation Rating of a Competitive bidding participant i posted at Negative Reputation Rating official website by the time of granting access to the applications (tender opening).

Remax is the highest Negative Reputation Rating value from among all suppliers admitted to participate in the respective competitive bidding process, as posted at Negative Reputation Rating official website by the time of granting access to the applications (tender opening).

1. Procedure for establishing criteria, significance of criteria and evaluation methodology in procurement of equipment related to safety elements of 1, 2, 3 safety class nuclear facilities in compliance with the federal standards and rules in the field of nuclear power use, as well as the equipment which is subject to manufacture control and conformity evaluation in the form of acceptance according to quality plans, developed in accordance with NP-071-06 (НП-071-06)
2. The evaluation criteria and their significance

For procurement of this equipment, the following evaluation criteria (subcriteria) shall be established, with the specified weight value:

1. contract price***, product unit price*** (significance of criterion Ц i (ОЦ i) is 90% ***(from 80% to 85% upon application of the criterion “certification of the manufacturer")***);
2. deadline for equipment delivery;

***If this criterion is applied, then the Customer’s written justification of the necessity to apply this evaluation criterion must be attached to the procurement documentation. This criterion may be only cost-based, and no weight value of this criterion shall be established.***

1. conditions of payment for equipment;

***It is applied in the cases when the customer allows the participants of competitive bidding to propose an amount of advance payment and to specify it in the application. This criterion may be only cost-based, and no weight value of this criterion shall be established.***

1. qualification of the participant of competitive bidding ***(if it has not been evaluated in terms of the evaluation stage of the pre-qualification stage)*** (significance of the criterion Kvi is 5% ***(3.5% if the criterion "functional characteristics (consumer properties) of goods or qualitative characteristics of the product” is applied)***), including:

* experience of the participant of competitive bidding (significance of subcriterion Оi is 100%);

1. experience of the equipment manufacturer (significance of the criterion Оиi is 5%);
2. functional characteristics (consumer properties) of goods or qualitative characteristics of the product (significance of the criterion ФТi is 1.5%). ***This criterion is applied at the customer's discretion, for procurement of technically complex equipment.***
3. certification of the manufacturer (significance of the criterion Сиi is ***\_%***). ***This criterion is applied for procurement of equipment, when there are at least 2 (two) certified manufacturers of such equipment in accordance with the Register of issued, suspended and cancelled certificates of conformity placed on the website of Rosenergoatom Concern JSC (http://www.rosenergoatom.ru/about/management\_system/quality\_management/system\_dobrovol\_sert/reestr/). In case of absence of such manufacturers, or if there is only 1 (one) certified manufacturer, this criterion is not applied.***

***The significance (weight) of the criterion is established depending on a number of certified manufacturers:***

* ***if there are 2 (two) certified manufacturers, it shall be 5%;***
* ***if there are 3 (three) certified manufacturers, it shall be 7.5%;***
* ***if there are 4 (four) and more certified manufacturers, it shall be 10%.***

***If the subject of the competitive bidding includes several positions of products, and not all positions have certified manufacturers, or if a number of certified manufacturers differs, then such positions shall be allocated to different competitive bidding (lots). If there is the need to procure such positions by one lot, then the customer's justification and reasonable arguments for inclusion of such products in one and the same lot shall be attached to the procurement documentation. If there is such justification, then significance of this criterion shall be established in proportion to the scope of each position of the procured products, but shall not exceed the maximum allowable value of the criterion depending of a number of certified manufacturers of all procured positions of equipment.***

***However the procurement documentation shall establish the procedure for evaluation with indication of figures and the scale of possible score values, or the procedure for its determination allowing to reasonably compare the applications of the participants with due consideration of whether a manufacturer of the products proposed by the participant of competitive bidding has an industrial base certified in the Certification System.***

The establishment of other criteria (subcriteria) and their weight values is not allowed.

1. Methodology of application evaluation

The rating of an application proposed by a participant of competitive bidding is a numerical score resulting from evaluation based on criteria (subcriteria) and according to the significance (weight) of such criteria (subcriteria).

If any criterion has subcriteria, then each subcriterion shall be given a score, and the total score for that criterion shall be determined as a sum of the scores of its subcriteria according to the significance (weight) of the subcriteria.

The rating of an application proposed by a participant of competitive bidding "i" shall be determined according to the following formula ***[all criteria established in the procurement documentation shall be specified]***:

R i = БЦ i \* Vц + БКв i \* VКв + БОи i \* VОи + БФТi \* Vфт + БСиi \* VСи ;

where V is the significance (weight) of the respective criterion,

БЦ, БФТ, БКв, БОи, БСи are the scores (point) of the respective criterion.

The total significance of all criteria established in the procurement documentation shall be equal 100%. The highest numerical score for each of criteria Ц i ,Кв i,Оиi , ФТi, Сиi is 100 points.

1. Evaluation based on the criterion "contract price, product unit price" ***taking in account the cost-based criterion/criteria “deadline for goods delivery, for works performance, for services rendering", “conditions of payment for goods, works, services"***

*Specified in item* 2.3.2.1

1. Evaluation based on the “participant of competitive bidding qualification” criterion

*Specified in item* 2.3.2.2

* + - 1. Evaluation based on the subcriterion “experience of the participant of competitive bidding”:

Evaluation (points) of subcriterion “experience” (БОi) is calculated:

Step 1. Calculation of БОуi is held according to the following procedures:

In carrying out evaluation on the basis of this subcriterion, the respective experience of the participant of competitive bidding confirmed by copies of the following documents executed in compliance with the accounting rules shall be taken in account:

***[list of confirming documents shall be specified in compliance with subitem 2.2) of subsection 1.3 of Chapter 1]***

Only experience of the participant of competitive bidding shall be evaluated (experience of other legal entities of individuals, including individual entrepreneurs, engaged by the participant of competitive bidding for performance of the contract shall not be taken into account), according to the following formula:

| Experience | | | | Points |
| --- | --- | --- | --- | --- |
| The participant of competitive bidding has no supplies of equipment \_\_\_\_ ***(it may specify a particular kind or type of the equipment pursuant to the subject of the competitive bidding)*** for safety systems (of safety classes 1 and/or 2 and/or 3) \_\_\_\_ ***[please, specify federal standards and rules depending on NF, safety of which is influenced by the offered equipment, for example, for NPP - according to OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97))]*** which were completed in 20\_\_-20\_\_ (*last 3 years*) under the concluded contracts. | | | | 0 |
| БОу i | = | Оу i | \* 100 |  |
| Оу max |
| Оу max | | | | 100 |

where:

Оуi is total cost of the supplies of equipment \_\_\_\_ ***(it may specify a particular kind or type of the equipment pursuant to the subject of the competitive bidding)*** for safety systems (of safety classes 1 and/or 2 and/or 3) \_\_\_\_ ***[please, specify federal standards and rules depending on NF, safety of which is influenced by the offered equipment, for example, for NPP - according to OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97))],*** which were completed in 20\_\_-20\_\_ (*last 3 years*) by the participant of competitive bidding “i”, in rub.

Oуmax is maximum experience (cost of the supplies of equipment \_\_\_\_ ***(it may specify a particular kind or type of the equipment pursuant to the subject of the competitive bidding)*** for safety systems (of safety classes 1 and/or 2 and/or 3) \_\_\_\_ ***[please, specify federal standards and rules depending on NF, safety of which is influenced by the offered equipment, for example, for NPP - according to OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97))]***, which were completed in 20\_\_-20\_\_ (*last 3 years*)) in comparison with experience of all admitted participants of competitive bidding but not more than the limit value, in rub.

Limit value of experience of the participant of competitive bidding: \_\_\_, in rub. ***[no more than 2 IMPs shall be established]***.

If Oуi exceeds the limit value, then Oуi shall be deemed equal to such limit value.

Step 2. Calculation of final evaluation (points) БОi according to the following procedures:

*Specified in subitem Step 2 of item*2.3.2.2.1

2.4.2.2.2. Evaluation based on the criterion “experience of the equipment manufacturer”\*

***\**** ***If experience of a manufacturer of the equipment related to safety elements of 1,2,3 safety class nuclear facilities in compliance with the federal standards and rules in the field of nuclear power use, as well as the equipment which is subject to manufacture control and conformity evaluation in the form of acceptance according to quality plans, developed in compliance with NP-071-06 (НП-071-06), by type of the equipment (criterion of classification of a type of equipment by the subject of the competitive bidding (a condenser, a reactor vessel, a bridge crane, etc.)) is the selection criterion, then the wording and formula shall be subject to change. If more than 1 (one) unit of equipment is procured and this criterion is evaluated in money equivalent, values of indicators used in the formula are changed from quantitative to the price one.***

Respective experience of the manufacturer itself specified in the application as the manufacturer of the offered equipment, to be evidenced by the copies of the following documents, shall be evaluated:

***[list of confirming documents shall be specified in compliance with subitem 3.3) of subsection 1.3 of Chapter 1]***

***The respective experience of the manufacturer specified in the application as the manufacturer of the offered equipment shall be evaluated according to the following formula:***

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Experience | | | | | Points |
| The manufacturer has no equipment \_\_\_\_ ***[please, specify a particular type of the equipment pursuant to the subject of the competitive bidding]*** for safety systems (of safety classes 1 and/or 2 and/or 3) \_\_\_\_ *[please, specify federal standards and rules depending on NF, safety of which is influenced by the offered equipment, for example, for NPP - according to OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97))]* which has been manufactured in 20\_\_-20\_\_ (*last 3 years*) under the concluded contracts. | | | | | 0 |
| БОи i | = | Ои i | \* 100 |  | |
| Ои max |
| Ои max | | | | | 100 |

where:

Оиi is total amount of the equipment \_\_\_\_ ***(please, specify a particular type of the equipment pursuant to the subject of the competitive bidding)*** for safety systems (of safety classes 1 and/or 2 and/or 3) \_\_\_\_ *[please, specify federal standards and rules depending on NF, safety of which is influenced by the offered equipment, for example, for NPP - according to OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97))*] which has been manufactured in 20\_\_-20\_\_ (*last 3 years*) by all manufacturers of the participant of competitive bidding “i”, in pcs.

Oиmax is maximum experience of manufacturers (total amount of the equipment\_\_\_\_ ***(please, specify a particular type of the equipment pursuant to the subject of the competitive bidding)*** for safety systems (of safety classes 1 and/or 2 and/or 3) \_\_\_\_*[please, specify federal standards and rules depending on NF, safety of which is influenced by the offered equipment, for example, for NPP - according to OPB 88/97 (ОПБ 88/97) (NP-001-97 (НП-001-97))]*, which has been manufactured in 20\_\_-20\_\_ (*last 3 years*)) by all manufacturers) in comparison with experience presented by all admitted participants of competitive bidding but not more than the limit value, in pcs.

Limit value of experience of all manufacturers: \_\_\_, in pcs. ***[it shall be established not in excess of the doubled quantity of required equipment in accordance with the procurement terms, or not to exceed doubled price of equipment in accordance with IMP]***.

If Oиi exceeds the limit value, than Oиi shall be deemed equal to such limit value.

1. Evaluation based on the criteria “functional characteristics (consumer properties) of goods or qualitative characteristics of the product”\*

***-\* criterion “functional characteristics (consumer properties) of goods or qualitative characteristics of the product” - it may be applied for procurement of technically complex equipment.***

The criterion ФТi shall be evaluated by the members of the tendering committee and by engaged experts, on the basis of the degree of excess of functional characteristics ***(consumer properties) or qualitative characteristics*** of proposed products over the respective characteristics specified in the procurement documentation ***(e.g. motor power, capacity, etc.).***

***In this case the procurement documentation shall establish the procedure for evaluation based on the criterion with indication of figures and the scale of possible score values (points), or the procedure for its determination allowing to reasonably compare the applications of the participants with due consideration of informative characteristics of the technical proposal presented by the participant of competitive bidding.***

***Evaluation of the mere fact of submission or non-submission of certain information and documents by participants of competitive bidding as part of such applications shall not be allowed.***

***The customer has the right to use the subcriteria under the said criterion.***

1. Evaluation based on the criterion “certification of the manufacturer”\*

***\* the criterion “certification of the manufacturer”*** ***is applied for procurement of equipment, when there are at least 2 (two) certified manufacturers of such equipment. The evaluation methodology mentioned below is applied to procurement of one lot of equipment.***

***If the subject of the competitive bidding includes several lots of products and if the customer has justified and reasonable arguments about inclusion of products in one and the same lot, then the procedure for evaluation with indication of figures and the scale of possible score values, or the procedure for its determination allowing to reasonably compare the applications of the participants with due consideration of whether manufacturers of the products proposed by the participant of competitive bidding have an industrial base certified in the Certification System shall be established.***

*In carrying out evaluation on the basis of this criterion, it is required to take into account the fact of whether a manufacturer of the equipment proposed by the participant of competitive bidding has an industrial base certified in the System of Voluntary Certification of Industrial Bases related to Manufacturers of Equipment for NPPs and whether it meets requirements of the operating organization JSC Rosenergoatom Concern (hereinafter referred to as “the System”) that shall be confirmed by a copy of the Certificate of Conformity issued by JSC Rosenergoatom Concern, and/or the fact of whether information about a valid certificate (not suspended and not cancelled) is kept in the “Register of issued, suspended and cancelled certificates of conformity” placed on the website of JSC Rosenergoatom Concern (http://www.rosenergoatom.ru/about/management\_system/quality\_management/system\_dobrovol\_sert/reestr/) (hereinafter referred to as “the Register”).*

|  |  |
| --- | --- |
| **Certification of industrial base of manufacturer of the offered equipment** | **Points** |
| The equipment of the manufacturer is offered, whose industrial base is not certified in the Certification System, or the certificate of conformity is suspended or cancelled in accordance with the information specified in the Resister | 0 |
| The equipment of the manufacturer is offered, whose industrial base is not certified in the Certification System with respect to the following equipment: \_\_\_\_\_\_\_ ***[please, specify name and main technical specifications pursuant to the subject of the competitive bidding (for example, shutoff gate valve DN 50 ... 300 mm, Pp from 2.5 to 10.0 MPa, T up to 350°С)]*** not lower than \_\_\_ safety class according to NP-001-97 (НП-001-97) (OPB 88/97 (ОПБ 88/97)) ***[please specify safety class pursuant to the subject of the competitive bidding]*** of the nomenclature group ***\_\_\_\_\_\_\_\_ [please, specify name of the nomenclature group, to which the procured equipment relates in accordance with Appendix А of RD EO 1.1.2.29.0952-2014 (РД ЭО 1.1.2.29.0952-2014) (for example, piping valves)]*** | 100 |

2.4.3. Procedure for determining the Final application rating

*Specified in item 2.3.3*

1. The procedure for establishing criteria, significance of criteria and evaluation methodology for procurement of goods which are not the equipment pursuant to subsection 2.4 of this Chapter
   * 1. The evaluation criteria and their significance

For procurement of goods, the following evaluation criteria (subcriteria) shall be established, with the specified weight values:

1. contract price***, product unit price*** (significance of criterion Ц i (ОЦ i) is 95% ***(from 85% to 90% upon application of the criterion “certification of the manufacturer")***);
2. deadline for goods delivery;

***If this criterion is applied, then the Customer’s written justification of the necessity to apply this evaluation criterion must be attached to the procurement documentation. This criterion may be only cost-based, and no weight value of this criterion shall be established.***

1. conditions of payment for goods;

***It is applied in the cases when the customer allows the participants of competitive bidding to propose an amount of advance payment and to specify it in the application. This criterion may be only cost-based, and no weight value of this criterion shall be established.***

1. qualification of the participant of competitive bidding (if not evaluated at the pre-qualification stage) (significance of criterion Kvi is 5%) , including:
   * + - experience of the participant of competitive bidding (significance of subcriterion Оi is 100%);
2. certification of the manufacturer (significance of the criterion Сиi is ***\_%***). ***Application of this criterion and its weight values shall be similar to those of subitem 7) item 2.4.1 of this Methodology.***

The establishment of other criteria (subcriteria) and other weight values is not allowed.

* + 1. Methodology of application evaluation

The rating of an application submitted by a participant of competitive bidding is a numerical score resulting from evaluation based on criteria (subcriteria) and according to the significance (weight) of such criteria (subcriteria).

If any criterion has a subcriteria, then each subcriterion shall be given a score, and the total score for that criterion shall be determined as a sum of the scores of its subcriteria according to the significance (weight) of the subcriteria.

The rating of the application proposed by the participant of competitive bidding "i" shall be determined according to the following formula ***[all criteria established in the procurement documentation shall be specified]***:

R i = БЦ i \* Vц + БКв i \* VКв + БСиi \* VСи;

where V is the significance (weight) of the respective criterion,

БЦ, БКв, БСи are the scores (point) of the respective criterion.

The total significance of all criteria established in the procurement documentation shall be equal 100%. The highest numerical score for each of criteria Ц i ,Квi , Сиi is 100 points.

1. Evaluation based on the criterion "contract price, product unit price" ***taking in account the cost-based criterion/criteria “deadline for goods delivery, for works performance, for services rendering", “conditions of payment for goods, works, services"***

*Specified in item* 2.3.2.1

1. Evaluation based on the “participant of competitive bidding qualification” criterion

*Specified in item* 2.3.2.2

* + - 1. Evaluation based on the subcriterion “experience of the participant of competitive bidding”:

***\* - if the subcriterion ”experience of the participant of competitive bidding’” is evaluated on the basis of quantitative characteristics (e.g. a number of contracts), then values should be changed from price-based ones to quantitative ones.***

Evaluation (points) of subcriterion “experience” (БОi) is calculated:

Step 1. Calculation of БОуi is held according to the following procedures:

In carrying out evaluation on the basis of this subcriterion, the respective experience of the participant of competitive bidding confirmed by copies of the following documents executed in compliance with the accounting rules shall be taken in account:

***[list of confirming documents shall be specified in compliance with subitem 2.2) of subsection 1.4 of Chapter 1]***

Only experience of the participant of competitive bidding shall be evaluated (experience of other legal entities of individuals, including individual entrepreneurs, engaged by the participant of competitive bidding for performance of the contract shall not be taken into account), according to the following formula:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Experience | | | | Points |
| The participant of competitive bidding has no supplies of \_\_\_\_ ***[it may specify the exact type of kind, according to the subject matter of the contract]*** which were completed in 20\_\_-20\_\_ (last 3 years) under the concluded contracts  ***[It is not allowed to establish the score with the wording which fails to allow unambiguous determination where the corresponding point have been accounted/ have not been accounted to the participant of competitive bidding (e.g. "experience in performance of similar contracts", "experience of comparable nature and scope", etc.) without specifying definite parameters.]*** | | | | 0 |
| БОу i | = | Оу i | \* 100 |  |
| Оу max |
| Оу max | | | | 100 |

where:

Оуi is total cost of the supplies of \_\_\_\_ ***[it may specify the exact type of kind, according to the subject matter of the contract]*** which were completed by the participant of competitive bidding “i” in 20\_\_-20\_\_ (*last 3 years*), in rub.

Oуmax is maximum experience (cost of the supplies of \_\_\_\_ ***[it may specify the exact type of kind, according to the subject matter of the contract]*** which were completed in 20\_\_-20\_\_ (*last 3 years*), in comparison with experience of all admitted participants of competitive bidding but not more than the limit value, in rub.

Limit value of experience of the participant of competitive bidding: \_\_\_, in rub. ***[no more than 2 IMPs shall be established]***.

If Oуi exceeds the limit value, then Oуi shall be deemed equal to such limit value.

Step 2. Calculation of final evaluation (points) БОi according to the following procedures:

*Specified in subitem Step 2 of item*2.3.2.2.1

1. Evaluation based on the criterion “certification of the manufacturer”\*

***\*The application of this criterion and its evaluation methodology shall be similar to those of item 2.4.2.5 of this Methodology.***

2.5.3. Procedure for determining the Final application rating

*Specified in item 2.3.3*

1. The procedure for establishing criteria, significance of criteria and evaluation methodology for procurement of services, design and survey work (DSW), and research and development work (R&D work)
   * 1. The evaluation criteria and their significance
2. contract price***, product unit price*** (significance of criterion Ц i (ОЦ i) is \_\_\_%);

***Significance (weight) of the criterion may not be less than 75%, excluding tenders or requests for proposals which shall result in the conclusion of a contract related to any of the following work, services:***

1. ***significance of this criteria is from 30% to 55%:***

* ***auditing services on the condition of application of Subitem а) of Item 4 of Section “General Provisions” of Chapter 1 of this Methodology;***

1. ***significance of this criterion shall not be lower than 55%:***

* ***related to development and introduction of information systems,***
* ***information or consultation services,***
* ***education services;***
* ***legal services,***
* ***auditing services upon establishing additional requirements in accordance with Subitem b) of Item 4 of Section “General Provisions” of Chapter 1 of this Methodology; services related to evaluation of assets and liabilities,***
* ***services related to organization of exhibition activities,***
* ***services related to sociological surveys,***
* ***scientific research, research and development or technological works and services,***
* ***experiments and tests,***
* ***development of products design,***
* ***services connected with the securing of visits of official delegations and representatives,***
* ***services connected with sending the consumer's employees to business trips,***
* ***real estate lease,***

1. deadline for works performance, services rendering;

***If this criterion is applied, then the Customer’s written justification of the necessity to apply this evaluation criterion must be attached to the procurement documentation. This criterion may be only cost-based, and no weight value of this criterion shall be established.***

1. conditions of payment for works and services;

***It is applied in the cases when the customer allows the participants of competitive bidding to propose an amount of advance payment and to specify it in the application. This criterion may be only cost-based, and no weight value of this criterion shall be established.***

1. quality of technical proposal (significance of criterion Т i is\_\_\_%);
2. qualification of the participant of competitive bidding ***(subcontractors (joint contractors))*** (significance of criterion Квi is \_\_\_%) ***(if not evaluated at the supplier selection stage)***, including:
   * + - experience (significance of subcriterion О i is\_\_\_%);
       - availability of material and technical resources (significance of subcriterion М i is \_\_\_%);
       - availability of human resources (significance of subcriterion К i is \_\_\_%);
       - availability, degree of introduction of the existing quality management system (management, assurance and control) (significance of subcriterion СМКi is \_\_\_%);
       - ***additional subcriteria established for procurement of work and services (this subcriterion shall be applied to request for proposals) (significance of subcriterion ДОП i is \_\_\_%).***

***In this case the additional subcriteria specified in the procurement documentation shall be supplemented with the established procedure of evaluation, parameters and the scale of possible score values, or the procedure of its determination.***

1. ***other evaluation criteria specified in the administrative documents of the CEO of the ROSATOM published at the official procurement portal (significance of subcriterion Х i is \_\_\_%).***

***The customer shall have right to establish the subcriteria for the criteria specified in the items 4)*** ***and 5)*** ***in the procurement documentation, provided that there is an established procedure of evaluation for each of such subcriteria with specified parameters and the scale of possible values or the procedure of its determination.***

* + 1. Methodology of application evaluation

The rating of an application submitted by a participant of competitive bidding is a numerical score resulting from evaluation based on criteria (subcriteria) and according to the significance (weight) of such criteria (subcriteria).

If any criterion has subcriteria, then each subcriterion shall be given a score, and the total score for that criterion shall be determined as a sum of the scores of its subcriteria according to the significance (weight) of the subcriteria.

The rating of an application submitted by the participant of competitive bidding "i" shall be determined according to the following formula ***[all criteria established in the procurement documentation shall be specified]***:

R i = БЦ i \* Vц + БТ i \* Vт + БКв i \* VКв + БХ i \* VХ;

where V is the significance (weight) of the respective criterion,

БЦ, БТ, БКв, БХ are the scores (point) of the respective criterion.

The total significance of all criteria established in the procurement documentation shall be equal 100%. The highest numerical score for each of criteria Ц i ,Т i,Кв i , Х i is 100 points.

1. Evaluation based on the criterion "contract price***, product unit price***" ***taking in account the cost-based criterion/criteria “deadline for goods delivery, for work performance, for services rendering", “conditions of payment for goods, work, services"***

*Specified in item* 2.3.2.1

1. Evaluation based on the criterion “qualification of the participant of competitive bidding”\*

***[all subcriteria established in the procurement documentation shall be specified]***

***-\* criterion “qualification of the participant” shall be applied if qualification of the participant was not evaluated at the pre-qualification stage***

БКвi = БОi \* Vо + БМi \* Vм + БКi \* Vк + БСМКi \* Vсмк, + БДОПi \* Vдоп

where: V is the significance (weight) of the respective subcriterion,

БО, БМ, БК, БСМК, БДОП – are the scores (point) of the respective subcriterion

Total significance of all subcriteria of one and the same criteria is equal to 100 percent.

The highest numerical score for each of subcriteria Оi, Мi , Кi,, СМКi, БДОПi is 100 points ***[all subcriteria established in the procurement documentation shall be specified]***

1. Evaluation based on the subcriterion “experience”\*:

***\* it is not recommended to specify it as a selection and experience evaluation criterion with respect to similar objects of works and services. If the subcriterion ”experience’” is evaluated on the basis of quantitative characteristics (e.g. a number of contracts), then О max value should be changed from price-based one to quantitative one.***

Evaluation (points) of subcriterion “experience” (БОi) is calculated :

Step 1. Calculation of БОуi is held according to the following procedures:

In carrying out evaluation on the basis of this subcriterion, the respective experience of the participant of competitive bidding confirmed by copies of the following documents executed in compliance with the accounting rules shall be taken in account:

***[list of confirming documents shall be specified in compliance with subitem 4.1) of subsection 1.5 of Chapter 1]***

Only experience of the participant of competitive bidding shall be evaluated (experience of other legal entities of individuals, including individual entrepreneurs, engaged by the participant of competitive bidding for performance of the contract shall not be taken into account), according to the following formula:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Experience | | | | Points | |
| The participant of competitive bidding has no ***works (services)*** completed in 20\_\_-20\_\_ (*last 3 years*) under the contracts \_\_\_\_\_\_\_ ***[please, specify contracts of comparable nature and scope]*** | | | | 0 | |
| БОу i | = | Оу i | \* 100 | |  |
| Оу max |
| Оу max | | | | 100 | |

where:

Оуi – cost of ***work*** ***(services)*** completed in 20\_\_-20\_\_ (*last 3 years*) under the contracts \_\_\_\_\_\_\_ ***[please, specify contracts of comparable nature and scope],*** by the participant of competitive bidding “i”, in rub.

Оуmax is maximum experience (cost of ***works*** ***(services)*** completed in 20\_\_-20\_\_ (*last 3 years*) under the contracts \_\_\_\_\_\_\_ ***[please, specify contracts of comparable nature and scope])***, in comparison with experience of all admitted participants of competitive bidding but not more than the limit value, in rub.

Limit value of experience of the participant of competitive bidding: \_\_\_, in rub. ***[no more than 2 IMPs shall be established]***.

If Oуi exceeds the limit value, then Oуi shall be deemed equal to such limit value.

Step 2. Calculation of final evaluation (points) БОi according to the following procedures:

*Specified in subitem Step 2 of item*2.3.2.2.1

1. Evaluation based on the subcriterion “availability of material and technical resources”\*

***\* in case of specification of similar types of MTR with respect to the same persons (participant of competitive bidding, subcontractor (joint contractor)) and exact amount of exact types of MTR as a selection and evaluation criterion. In other cases, the rectifications (alterations) of wordings or formula are required. The procurement documentation shall clearly specify certain types of MTR (a crane, a loader, a dosimeter, etc.) and their main characteristics (load-carrying capacity, height, purpose, etc.) which shall be evaluated on the basis of this criterion. The application of this subcriterion shall not be allowed without specification of parameters (by kind, technical characteristics, etc.) of MTR under evaluation.***

***In carrying out evaluation of the participant of competitive bidding on the basis of this subcriterion, it is eligible to take in account the respective indicators of the subcontractors (joint contractors) specified in the application of the participant in proportion to the scope of works (services) to be performed (to be rendered) by them***

|  |  |
| --- | --- |
| Material and technical resources (MTR) engaged in the performance of the contract | Points |
| М wmin | 0 points |
| |  |  |  |  | | --- | --- | --- | --- | | БМi | =∑ ( | М wi – М wmin | \* 100)/ w | | М wmax– М wmin | | |
| М wmax | 100 points |

where:

М wi – amount of MTR of w type for the participant of competitive bidding “i”, in pcs.

М wmin  – minimum specified amount of MTR of w type established as a mandatory requirement, in pcs.

М wmax  – maximum amount of MTR of w type exceeding М wmin by w type of MTR, but no more than in 2 times (М wmin х2): \_\_\_, in pcs.

w – number of types of MTR: \_\_\_

If М wi is more than М wmax, then М wi shall be deemed equal to М wmax.

1. Evaluation based on the subcriterion “availability of human resources”

***In carrying out evaluation of the participant of competitive bidding on the basis of this subcriterion, it is eligible to take in account the respective indicators of the subcontractors (joint contractors) specified in the application of the participant in proportion to the scope of works (services) to be performed (to be rendered) by them, if it is expressly specified in the procurement documentation.***

***The procurement documentation shall clearly specify certain specialties of human resources which shall be evaluated on the basis of this criterion. The application of this subcriterion shall not be allowed without specification of certain specialists under evaluation.***

***In case of absence of the selection criterion on the basis of availability of human resources, or if the specialists of different professions and/or in respect to different entities (participant of competitive bidding, subcontractor (joint contractor)) are specified as a selection criterion, the following formula shall be applied:***

|  |  |
| --- | --- |
| Human resources engaged in the performance of the contract | Points |
| Failure to engage the following specialists in the performance of the contract: \_\_\_\_\_\_\_\_\_ ***[please, specify certain specialist]*** | 0 points |
| |  |  |  |  | | --- | --- | --- | --- | | БКi | =∑( | К wi | \* 100)/ w | | К wmax | | |
| К wmax | 100 points |

where:

К wi – number of specialists of w-profession for the participant of competitive bidding "i", in a number of persons

К wmax – maximum number of specialists of w-profession,: \_\_\_\_ persons.

w – number of professions: \_\_\_

If К wi is more than К wmax, then К wi shall be deemed equal to К wmax.

***In case of specification of similar professions with respect to the same persons (participant of competitive bidding, subcontractor (joint contractor)) as a selection and evaluation criterion, the following formula shall be used:***

|  |  |
| --- | --- |
| Human resources | Points |
| К wmin | 0 points |
| |  |  |  |  | | --- | --- | --- | --- | | БКi | =∑( | К wi – К wmin | \* 100)/ w | | К wmax– К wmin | | |
| К wmax | 100 points |

where:

К wi – number of specialists of w-profession for the participant of competitive bidding "i", in a number of persons

К wmin  – the minimal specified number for w-profession, in a number of persons

К wmax  – limit number of specialists of w-profession, exceeding К wmin by w-profession, but no more than in 1.5 times (К wmin х1,5): \_\_\_ persons.

w – number of professions: \_\_\_

If К wi is more than К wmax, then К wi shall be deemed equal to К wmax.

1. Evaluation on the basis of the criterion “availability, degree of introduction of the effective quality management system (management, assurance and control)”\*:

***\* The procurement documentation shall specify the methodology of evaluation of availability and/or degree of introduction of the effective quality management system (management, assurance and control):***

***Upon application of this subcriterion, the procurement documentation shall establish the evaluation scale of assigned scores.***

***In carrying out evaluation on the basis of this subcriterion, it shall be taken into account whether he participant of competitive bidding has the quality management system (quality management, assurance and control) corresponding to the requirements of GOST R ISO 9001 or to international standard ISO 9001 (or a similar standard).***

***In carrying out evaluation on the basis of this criterion of degree of introduction of the effective quality management system, the following may be used as such scale:***

***In carrying out evaluation on the basis of this subcriterion, the score shall not be summed up, and the highest possible score shall be assigned, according to the following table and on the basis of the documents provided as part of the application.***

|  |  |
| --- | --- |
| ***Fact of availability of the competitive bidding participant’s effective quality management system (quality management, assurance and control) corresponding to the requirements of GOST R ISO 9001 or to international standard ISO 9001 (or a similar standard).*** | ***Points*** |
| ***The participant of competitive bidding has no effective quality management system (quality management, assurance and control) corresponding to the requirements of GOST R ISO 9001 or to international standard ISO 9001 (or a similar standard).*** | ***0*** |
| ***The participant of competitive bidding has an effective quality management system (quality management, assurance and control) corresponding to the requirements of GOST R ISO 9001 or to international standard ISO 9001 (or a similar standard) that is confirmed by a copy of the quality manual*** | ***50*** |
| ***The participant of competitive bidding has an effective quality management system (quality management, assurance and control) corresponding to the requirements of GOST R ISO 9001 or to international standard ISO 9001 (or a similar standard) that is confirmed by a copy of the quality manual, and by the document marked to show that the certification body has accepted the documents submitted for certification of this quality management system (quality management, assurance and control) of the participant of competitive bidding.*** | ***70*** |
| ***Fact of availability of the competitive bidding participant’s certified effective quality management system (quality management, assurance and control) corresponding to the requirements of GOST R ISO 9001 or to international standard ISO 9001 (or a similar standard), and that is confirmed by Certificate of GOST К ISO 9001 or by internationally recognized Certificate of ISO 9001 (or a similar document).*** | ***100*** |

### Evaluation based on the subcriterion “quality of technical proposal”

The criterion Тi shall be evaluated by members of the tendering committee, by engaged experts, in view of degree of excess of technical proposal qualityover the respective characteristics specified in procurement documentation ***(e.g. the works performance/services rendering organization, including the applied technologies, methods, ways of performing works and rendering services in order to ensure their best quality).***

***In this case the procurement documentation shall establish the procedure for evaluation with indication of figures and the scale of possible score values (points), or the procedure for its determination allowing to reasonably compare the applications of the participants with due consideration of informative characteristics of the technical proposal presented by the participant of competitive bidding.***

***Evaluation of the mere fact of submission or non-submission of certain information and documents by participants of competitive bidding as part of such applications shall not be allowed.***

***The customer has the right to use the subcriteria under the said criterion.***

* + 1. Procedure for determining the Final application rating

*Specified in item 2.3.3*

CHAPTER 2. TYPICAL PROCEDURE OF APPLICATION CONSIDERATION (SUPPLIER SELECTION AND EVALUATION STAGES)

SECTION 3. SUPPLIER SELECTION STAGE OF APPLICATION CONSIDERATION

1. Information to be checked and the list of reasons for rejection of the competitive bidding participant’s admission to competitive bidding (rejection of an application)

The list of information considered by the tendering committee and needed to be checked for compliance with the requirements, and the list of possible reasons for rejection of admission to participation in competitive bidding.

***Essence of requirements and list of information to be checked for a certain competitive bidding are established in table, in accordance with the requirements set in the procurement documentation for this procedure. The completed table shall be used at the expert consideration of submitted applications.***

***If in compliance with the provisions of Standard during the consideration of applications, the tendering committee shall decide to inquire about an application, the rejection/admission under the specified reasons shall be provided with taking in consideration earlier inquires and competitive bidding participant’s responses to such inquires.***

| No. | The content of the requirement | The examined information | The list of reasons for rejection the competitive bidding participant’s admission to competitive bidding (rejection of an application) |
| --- | --- | --- | --- |
|  | The application's compliance, including contract terms, with the requirements of the procurement documentation: | | |
|  | Correctness of the application execution and compliance with the submission procedure | The application contents, validity of its execution | * The contents of the application or its execution fail to comply with the requirement of procurement documentation |
|  | The price stated in the competitive bidding participant’s application is within the initial (maximum) price of the contract (price of product unit) | The price proposed by the participant of competitive bidding for the contract (product unit) specified in the application  In case, if the customer applies for VAT deduction in relation to the procured products and the procurement documentation establishes initial (maximum) price excluding VAT, the proposed price shall be checked for compliance with IMP in the submitted price basis | * The competitive bidding participant’s proposal exceeds the initial (maximum) price for the contract (product unit). * The price proposed by the participant of competitive bidding fails to comply with the requirements of the price structure, the procedure of price assessment for the contract (procurement lot) established in the procurement documentation (with or without taking in consideration the expenses for transportation, custom clearance charge, taxes, and other obligatory payments).   If the procurement documentation additionally specifies initial (maximum) price, excluding VAT:   * The basis of quotation submitted by the participant of competitive bidding exceeds the initial (maximum) price of the contract (product unit). |
|  | Provision of the application security (if required in the procurement documentation) | The receipt of funds to the account.  In case of absence of funds or the receipt of lesser amount of funds than required in the procurement documentation for application security:  The availability of an irrevocable bank guarantee.  The amount of funds specified in the irrevocable bank guarantee.  The validity period and terms of bank guarantee.  The compliance of the bank which has issued the guarantee with the requirements established in the procurement documentation | In case the application security is provided with monetary funds:   * The payment order or a copy of the payment order is not submitted, provided that the full amount of funds was not received by the customer/business owner of the procurement process in due time * The amount of monetary funds transferred to the account is less than the security required in the procurement documentation   In case the application security is provided with a bank guarantee:   * The copy of the bank guarantee is not submitted as a part of an application. * The amount of money specified in the bank guarantee is less than required in the procurement documentation * The validity period of the bank guarantee fails to comply with the requirements of the procurement documentation * The terms specified in the bank guarantee do not comply with the requirements of the procurement documentation * The bank which has issued the bank guarantee fails to comply with the requirements of the procurement documentation (if the procurement documentation establishes the requirements to guaranteeing banks).   In case the application security is provided in several ways and it fails to comply with the requirements, the list of reasons for rejection admission for competitive bidding (rejection of an application) is similar to the respective way of providing security, excluding the amount of the security provided in the application:   * The total amount of funds transferred to the account and/or amount specified in bank guarantees is less than the amount established by the procurement documentation |
|  | Meeting the time frames for delivery of goods/performing works/rendering services under the contract | The compliance of the schedule and time frames for delivery of goods/performing works/rendering services with the requirements of the procurement documentation | * The documents with the information about time frames for delivery of goods/performing works/rendering services under the contract are not submitted * The required information is absent (presented incompletely) in the documents * The time frames for delivery of goods/performing work/rendering services (including the time frames for execution of stages) do not comply with the requirements of the procurement documentation (or limit requirements, ***if the procurement documentation specifies "no later than", i.e. the delivery of goods/performing works/rendering services is possible before the established time).***   If the application specifies the time in periods (days, weeks, months), and the procurement documentation establishes a certain calendar date, the proposed time of delivery for the application compliance check against the requirements shall be calculated from the intended date of conclusion of the contract according to the date of confirmation of the results of competitive bidding and conclusion of the contract. |
|  | Meeting the payment terms under the contract (if there is such requirement in the procurement documentation) | The compliance of payment amount and schedule for delivery of goods/performing works/rendering services proposed by the participant of competitive bidding with the terms established in the procurement documentation | * The document providing the information about payment terms under the contract is not submitted * The payment amount and schedule for delivery of goods/performing works/rendering proposed by the participant of competitive bidding do not comply with the terms established in the procurement documentation - ***if the procurement documentation allow other payment terms, i.e. the preferred payment terms (e.g. advance payment 30%) and limit terms (e.g. advance payment not exceeding 50%) are specified)*** |
|  | Application validity term: | Application validity term: | * The validity term of the application specified in the application is shorter than the period established in the procurement documentation |
|  | Declarative confirmation of compliance and obligation to submit the required information and copies of the documents prior to conclusion of the contact, in case of such conclusion | Declaration confirmation of compliance and existing obligation to submit:  The information or documents for a major deal,  Information or documents for an interested party deal  Information or documents for beneficiaries  Documents for LPMS  Documents confirming the qualification of proposed specialists | * The declaration confirmation is absent * The obligation to submit the required information prior to the conclusion of the contract is not submitted |
|  | The competitive bidding participant’s meeting the requirements of the procurement documentation: | | |
|  | The legal capacity of the participant of competitive bidding to conclude and execute the contract | The legal capacity of the person signing the application | * The document specified in the subsection 1.1 of Chapter 1 is not submitted * The legal incapacity of the person signing the application * The submitted incorporation documents do not comply with the documents specified in the extract from URSLE or URSIE |
| The actual information about founders, the current status of the legal entity (liquidation, reorganization, receivership, bankruptcy, or other information about effective limitations of legal capacity) | * The document specified in the subsection 1.1 of Chapter 1 is not submitted * The notary certification of the document is absent, if such certification is required in the procurement documentation (for competitive bidding in electronic form) * There is information about the legal entity undergoing the process of liquidation, reorganization, receivership, bankruptcy, or other information about effective limitations of legal capacity |
| The confirmation of legal capacity of entities signing the application and(or) included electronic documents according to their authority | * No submission of documents specified in the subsection 1.1 of Chapter 1, * The person signing the application has no respective authority * The submitted documents confirming the authority of the head of the participant of competitive bidding do not comply with the information specified in the extract from URSLE or URSIE |
|  | The availability of the permitting documents for carrying out activities, kinds of works required in the procurement documentation for the execution of the contract, the right for which is the subject of the competitive bidding: | The date of issue and validity of the documents.  The organization which has issued the document and the authority of that organization to issue the document  The kinds of activity permitted by the documents | * The permitting documents are not submitted * The organization which has issued the document has no the authority to issue the document (for self-regulatory organizations in compliance with Urban planning code of the Russian Federation and the Federal law No 315 "On Self-Regulatory Organizations”: confirmation of a SRO status granted to a non-profit organization * The participant of competitive bidding is absent in the Register of self-regulatory organizations * The appendix to the permitting document fails to specify the kinds of works required to be performed in compliance with the subject of contract * The validity time of the documents fails to comply with the requirements of the procurement documentation, including the case when the documents are issued to the participants of competitive bidding after the deadline for application submission. |
|  | The level of financial state and financial resource availability for the participant of competitive bidding (is required in the procurement documentation) | The level of the competitive bidding participant’s financial state and financial resource availability shall be not lower than the threshold required in the procurement documentation | * Copies of accounting (financial) statements are not submitted * The acceptance note made by tax inspection is absent on the documents, or in case of reporting to tax inspection in electronic format, a copy of the notice of receipt is absent (for the accounting (financial) statements for the previous year). * No signature of the head (for the accounting statements for the previous period). * The level of the competitive bidding participant’s financial state and financial resource availability is lower than 30 units, according to the calculation methodology (appendix 3) |
|  | Meeting the requirements for the experience of executing contracts (if there is such requirement in the competitive bidding documentation) | The competitive bidding participant’s having the experience of executing contracts of manner and scope comparable to the subject of competitive bidding | * The information is not submitted. * The confirming documents are not submitted * The participant of competitive bidding has not accomplished supplies, performed works (rendered services) for the last 3 years in the frameworks of contracts comparable to the subject of competitive bidding. * The total price of accomplished supplies, performed works (rendered services) in the frameworks of contracts comparable to the subject of competitive bidding is lower than the price established in the procurement documentation |
|  | The availability of personnel resources (if required in the procurement documentation) | The availability for the participant of competitive bidding of personnel resources and their sufficiency for every profession specified in the procurement documentation | * The documents mandatory required in the procurement documentation are not submitted or submitted incompletely * The participant of competitive bidding has no personnel resources for every profession specified in the procurement documentation * The amount of specialists of respective qualification for every profession specified by the participant of competitive bidding is lower than the minimal limit values established in the procurement documentation |
|  | The availability of material and technical resources (if required in the procurement documentation) | The availability for the participant of competitive bidding of MTR and the sufficiency for every kind of MTR | * The documents required by the procurement documentation as mandatory are not submitted or submitted incompletely * The amount of any kind of MTR specified by the participant of competitive bidding is lower than the minimal limit values established in the procurement documentation, or some kind of MTR is absent |
|  | The competitive bidding participant’s absence in the registers of bad-faith suppliers:  in the register kept according to the Federal Law No. 223-FZ "On procurement of goods, works, services by special types of legal entities"; dated July 18, 2011  - in the register which is being kept in accordance with the statutory provisions of the Russian Federation on placement of state and municipal orders;  - in the register of bad-faith suppliers of ROSATOM and organizations of ROSATOM (for the customers which are not covered by 223-FZ only)  in the register which is being kept in accordance with the statutory provisions of the country, in the territory of which the products to be supplied under the contract will be used (*for customers registered outside of the Russian Federation, during competitive bidding, according to results of which the contracts shall be executed in the territory of a foreign country or the products to be supplied under the contract shall be used in the territory of a foreign country)* | The participant of competitive bidding is listed in the registers of bad-faith suppliers | The information about the participant of competitive bidding is present in the register kept according to provisions of the Federal Law No. 223-FZ dated July 18, 2011  The information about the participant of competitive bidding is present in the register kept in compliance with the provisions of the legislation of the Russian Federation on the placement of state and municipal orders (http://rnp.fas.gov.ru/)  The information about the participant of competitive bidding is present in the register of bad-faith suppliers of ROSATOM and ROSATOM organizations (http://zakupki.rosatom.ru/) - for customers not covered by 223-FZ  The information about the participant of competitive bidding is present in the register which is being kept in accordance with the statutory provisions of the country, in the territory of which the products to be supplied under the contract will be used - for customers registered outside of the Russian Federation, during competitive bidding, according to results of which the contracts shall be executed in the territory of a foreign country or the products to be supplied under the contract shall be used in the territory of a foreign country |
|  | no documented facts and events for the last 2 years with regard to the participant of competitive bidding revealed during the competitive bidding process performed by ROSATOM and its organizations in accordance with the Standard, specified in item 1.9) of subsection 1.1) (for the customers which are not covered by 223-FZ) | Presence of such events and/or facts for the participant of competitive bidding | The participant of competitive bidding is included into the list (matching of name and INN (taxpayer identification code) according to the web-site http://zakupki.rosatom.ru/Web.aspx?node=unscrupulous) |
|  | The compliance of goods/works/services with the requirements of procurement documentation: | | |
|  | The compliance of technical proposal with the technical assignment of the procurement documentation | The compliance of technical proposal with the technical assignment of the procurement documentation | * The technical proposal is not submitted * The proposed goods/performed works/rendered services do not comply with the subject of the competitive bidding and the technical assignment of the procurement documentation both in list and in scope (goods/works/services are not delivered/performed/rendered or delivered/performed/rendered incompletely). * The technical characteristics of the equipment proposed by the participant of competitive bidding fail to comply with the characteristics established in the procurement documentation, or do not match the range of characteristics stipulated by the procurement documentation; * The quality guarantee term for goods, works, services (if required in the procurement documentation) specified by the participant of competitive bidding is lower than limit term values specified in the procurement documentation. |
|  |  |  |  |
|  | The compliance with requirements of NP-071-06 taking in consideration the requirements of RDD 03-36-2002 (In case of supply of any imported goods and/or use of any imported equipment, components, materials and semi-finished products within the scope of supplied goods) (if required in the procurement documentation) | The declarative confirmation:  of meeting such requirement (in the event of supply of any imported goods and/or use of any imported equipment, components, materials and semi-finished products as part of the goods supplied), or  of absence of the imported equipment, items, materials and components as part of the products supplied | * The declaration confirmation is absent |
|  | The offered equipment is designed by the developer, having design certificates for the said equipment (if required in the procurement documentation) | The certificate holder  Certificate validity:  Area of application  The specification in the certificate application terms:   * of certain name of equipment which is the subject of competitive bidding, either * by the type of equipment (the classification indication of the equipment by the subject of competitive bidding (a condenser, a reactor vessel, a bridge crane, etc.)), or * by the kind of equipment (the classification indication of the equipment by its technological or functional purpose (heat exchange equipment, vessel equipment, handling equipment, etc.)) | * The certificates are not submitted * The certificate is issued to other developer of equipment * The validity of the certificate fails to meet the requirements of the procurement documentation * The certificate application terms do not include any of the following: * the certain name of equipment which is the subject of competitive bidding, * the equipment by its type, * the equipment by its kind. |
|  | The compliance with the engaged subcontractors (joint contractors) (in case of their engagement) with the requirements of procurement documentation: | | |
|  | The legal capacity of a subcontractor (joint contractor) (if required in the procurement documentation) | The actual information about founders, the current status of the legal entity (liquidation, reorganization, receivership, bankruptcy, or other information about effective limitations of legal capacity) | * The document specified in the subsection 1.1 of Chapter 1 is not submitted * The notary certification of the document is absent, if such certification is required in the procurement documentation (for competitive bidding in electronic form) * There is information about the legal entity undergoing the process of liquidation, reorganization, receivership, bankruptcy, or other information about effective limitations of legal capacity |
|  | The availability of the permitting documents for carrying out activities, kinds of works/services stipulated by the legislature of the Russian Federation and required in the procurement documentation for the execution of the contract, the right for which is the subject of the competitive bidding, in the scope of works/services performed/rendered by the subcontractor (joint contractor) | The date of issue and validity of the documents.  The organization which has issued the document and the authority of that organization to issue the document  The kinds of activity permitted by the documents  The available permissions for the kinds or works performed by the subcontractor, regardless of the scope of works, services performed by the subcontractor (joint contractor) in relation to the total price of the application proposed by the participant of competitive bidding | * The permitting documents are not submitted * The organization which has issued the document has no authority to issue the document (for self-regulatory organizations in compliance with Urban planning code of the Russian Federation and the Federal law No 315 "On Self-Regulatory Organizations”: authority of SRO to issue certificates for certain types of activities, types of work) * The subcontractor (joint contractor) is absent in the Register of self-regulatory organizations * The appendix to the permitting document fails to specify the kinds of activity and kinds of works, services required to be performed in compliance with the subject of contract * The validity time of the documents fails to comply with the requirements of the procurement documentation, including the case when the documents are issued to the subcontractor (joint contractor) after the deadline for application submission. |
|  | The availability for engaged subcontractors (joint contractors) of experience, material and technical and personnel resources, LPMS, if the scope of works (services) performed (rendered) by the subcontractor (joint contractor) exceeds 5% of the application price proposed by the participant of competitive bidding (if respective requirements are established in the procurement documentation) | similar to the respective requirement to the participant of competitive bidding, including the declarative confirmation of availability of LPMS and obligations to provide  Documents for LPMS  Documents confirming the qualification of proposed specialists | * Any of the documents required by the procurement documentation for any of the engaged subcontractors (joint contractors) performing more than 5% of works or services is not submitted * The declaration confirmation regarding the any of the engaged subcontractors (joint contractors) performing more than 5% of works or services is absent (for requirements confirmed in declaration form, according to the procurement documentation) * Any of the engaged subcontractors (joint contractors) performing more than 5% of works or services fails to meet the requirements of the procurement documentation. |
|  | The absence in the registers of bad-faith suppliers (as per item 2.7 subsection 3.1)(if respective requirements are established in the procurement documentation) | The subcontractor (joint contractor) is listed in the registers of bad-faith suppliers | * The subcontractor (joint contractors) performing more than 5% of work, services is listed in the register of bad-faith suppliers and the participant of competitive bidding refuses to assign other subcontractor (joint contractor) |

1. The specifics of application consideration at the supplier selection stage at the procurement of construction and installation works.

In procurement of construction and installation works, the list of information considered by the tendering committee and needed to be examined for the compliance check with the requirements, and the list of possible reasons for rejection the admission to participation in competitive bidding shall be established in compliance with subsection **3.1** of this Chapter, taking in consideration the specifics of consideration of additional requirement listed below:

| No. | The content of the requirement | The examined information | The list of reasons for rejection the competitive bidding participant’s admission to competitive bidding |
| --- | --- | --- | --- |
| 2.1) | The execution by the competitive bidding participant’s own resources no less than 20 % of CIW (60% for CIW for NPP, if the prime contractor for NPP is chosen) | The scope of construction and installation works performed by the competitive bidding participant’s own resources | The scope of construction and installation works performed by the participant of competitive bidding is less than 20 % of total price of CIW (60% for CIW for NPP, if the prime contractor for NPP is chosen) |

1. The specifics of application consideration at the supplier selection stage at the procurement of goods and equipment for the safety-related elements of NF of safety classes 1,2,3 in compliance with federal regulations and rules in the area of nuclear power use

At the procurement of equipment, the list of information considered by the tendering committee and needed to be examined for the compliance check with the requirements, and the list of possible reasons for rejection the admission to participation in competitive bidding shall be established in compliance with subsection 3.1 of this Chapter, taking in consideration the specifics of consideration of additional requirements listed below (subsections 1.3, 1.4 of Chapter 1):

| No. | The content of the requirement | The examined information | | The list of reasons for rejection the competitive bidding participant’s admission to competitive bidding |
| --- | --- | --- | --- | --- |
| 2.1) | the competitive bidding participant’s possession of the right to dispose of the equipment and/or the consent of the equipment manufacturer (its authorized representative) for the equipment being proposed in the framework of this competitive bidding, including the confirmations of warranty obligations, execution of erection and adjustment supervision, in time and on conditions of this procurement documentation (if required in the procurement documentation) | the possession of the right to dispose of the equipment and/or the consent of the equipment manufacturer (or its authorized representative) for the equipment being proposed in the framework of this competitive bidding, including the confirmations of warranty obligations, execution of erection and adjustment supervision, in time and on conditions of this procurement documentation (if required). | | * The documents for any of the goods which were not manufactured by the participant of competitive bidding were not submitted, if the procurement documentation required the submission of such documents for this kind of goods * The necessary information required as per Form 1 "The Application" is not found in the document submitted as per such form.   If the participant of competitive bidding proposes goods produced by a manufacturer and submits the document confirming the competitive bidding participant’s right to dispose of the proposed goods produced by such manufacturer, the competitive bidding participant’s right for such goods shall be deemed to be confirmed, and other documents shall not be required.  If the participant of competitive bidding submits the document signed by the authorized representative of the manufacturer of the proposed goods (the dealer, distributor, etc.), as well as the document confirming the right of such representative to sell the goods of such manufacturer (the dealership or distribution agreement, or other document signed by such manufacturer), and these documents fully disclose the chain from the participant of competitive bidding to the manufacturer, the manufacturer's agreement for the proposal of its goods in the framework and on terms of the competitive bidding shall be deemed to be confirmed.   * The execution of erection and/or adjustment supervision is not confirmed (if the confirmation was required)   If the participant of competitive bidding submits a document signed by an authorized representative of the manufacturer of the proposed goods (the dealer, distributor, etc.), the confirmation of warranty obligations, the execution of erection and/or adjustment supervision in time and on conditions of the procurement documentation shall be deemed confirmed. |
| 3) | Each manufacturer's meeting the requirements established in the documentation (for procurement of equipment for the safety-related elements of NF of safety classes 1,2,3 in compliance with federal regulations and rules in the area of nuclear power use) | | | |
| 3.1) | Availability of licenses of manufacturers of offered equipment for manufacture of such equipment | | The certificate holder  Certificate validity:  Area of application  The specification in the certificate application terms:   * of certain name of equipment which is the subject of competitive bidding, either * by the type of equipment (the classification indication of the equipment by the subject of competitive bidding (a condenser, a reactor vessel, a bride crane, etc.)), or * by the kind of equipment (the classification indication of the equipment by its technological or functional purpose (heat exchange equipment, vessel equipment, handling equipment, etc.)) | * The certificates are not submitted * The certificate is issued to other manufacturer of equipment * The validity of the certificate fails to meet the requirements of the procurement documentation * The certificate application terms do not include any of the following" * the certain name of equipment which is the subject of competitive bidding, * the equipment by its type, * the equipment by its kind. |
| 3.2) | The availability for the manufacturer of effective quality management system (management, provision and control of quality) (hereinafter QMS) | The availability for the manufacturer of effective QMS  The compliance of QMS with the standard or main requirements to such system, according to the requirement established in the procurement documentation | | * Documents confirming the availability of QMS are not submitted. * Information specified in the documents fails to comply with the requirements of the procurement documentation |
| 3.3) | The manufacturer's possession of the equipment production experience | The information about the manufacturer in accordance with the procedure established in the procurement documentation  The manufacturer's possession of the experience of producing the similar equipment | | * Any of the documents for any of the manufacturers required in the procurement documentation is not submitted, * Any of the manufacturers fails to meet the requirement established in the procurement documentation |

1. The specifics of application consideration at the supplier selection stage at competitive bidding with initial (maximum) price of the contract less than 200 million rub., including VAT, wherein only small and medium-sized business entities may participate

In case of special bidding, the list of information considered by the tendering committee and needed to be examined for the compliance check with the requirements, and the list of possible reasons for rejection the admission to participation in competitive bidding shall be established in compliance with subsection 3.1 of this Chapter, taking in consideration the specifics of consideration of additional requirements listed below (subsection 1.6 of Chapter 1):

|  |  |  |  |
| --- | --- | --- | --- |
| No. | The content of the requirement | The examined information | The list of reasons for rejection the competitive bidding participant’s admission to competitive bidding |
| 1.1) | The legal capacity of the participant of competitive bidding to conclude and execute the contract | The information about the participant of competitive bidding in URSLE or URSIE, according to the web-site http://egrul.nalog.ru/# | * No information about the participant of competitive bidding in URSLE or URSIE, according to the web-site http://egrul.nalog.ru/# |
| the declaration confirmation that the person signing the application is authorized to sign the application on behalf of the participant of competitive bidding and understands the responsibility for all actions occurred on the basis of the signed application; | * The declaration confirmation is absent |

SECTION 4. THE EVALUATION STAGE OF APPLICATION CONSIDERATION

The evaluation and comparison of the applications submitted by the participants of competitive bidding shall be performed in relation of the applications admitted to further participation in the competitive bidding during the supplier selection stage. Application evaluation and comparison shall be performed in compliance with the criteria, the methodology and the order of determining the Final application rating specified in the procurement documentation and established in compliance with Section 2 of Chapter 1 of this Methodology.

Appendix 1

**The procedure of forming the requirements for products and technical part of procurement documentation\*:**

1. It is allowed to establish the requirements for participants of competitive bidding to provide TS (TA) or the design of TS (TA) as a part of the application, provided that the Technical part includes the reference technical requirements (RTR) to the equipment established by the General Designer, or TS (TA) established by the customer as technical requirements. If the requirements are established in compliance with the Technical design/Working construction documentation and designs, the establishment of requirements for participants of competitive bidding to provide TS (TA) or the design of TS (TA) for equipment is not allowed. When the requirement of TA (TS) or the design of TA (TS) is established in the procurement documentation, there shall be stipulated a possibility for the participant of competitive bidding to submit TA (TS) which fails to fully meet the requirements for the technical assignment in the procurement documentation, provided that there shall be performed the analysis of TA (TS) and confirmation of its compliance with the requirements for technical assignment.
2. In case, if the technical requirements to the procured equipment, materials, components, and semi-finished products (hereinafter collectively referred to as Products), technical assignment for work performance, service rendering include references for such technical documents as technical specifications (TS), design, local cost estimates (LCE), detailed engineering documentation (DED), detailed documentation (DD), statements of scope of work, drawings, etc. as a part of technical part of procurement documentation, the consumer shall attach the said documents, or include the extracts of the said documents into the technical part of procurement documentation, or the references to open source of information shall be given, where required information is available, or form any other document, having no references to the documents, which includes the necessary and sufficient information about established technical requirements for Products, work, services, based on which the participants of competitive bidding may prepare technical proposals, with further performance of compliance analysis of applications submitted by participants of competitive bidding against the requirements of the procurement documentation.
3. In case the provided TS, WCD and technical requirements to the procured Products include the references to materials, components and semi-finished products included into the scope of procured Product (or applied at its manufacture), with numbers and titles of TS and other technical documents, directly indicated in the body of legal acts and regulatory documents related to the sphere of the Federal Service for environmental, process and nuclear supervision (PNAE G, NP or similar), it is permitted not to specify in the procurement documentation such TS and other technical documents for materials, components and semi-finished products included into the scope of procured Product or applied at its manufacture.
4. In case the materials, components and semi-finished products are the subject of competitive bidding and the procurement documentation specifies TS as reqirements for procured materials, components and semi-finished products which are referred to in the body of legal acts and regulatory documents related to the sphere of the Federal Service for environmental, process and nuclear supervision (PNAE G, NP or similar), the procurement documentation shall stipulate the possibility for the suppliers to propose similar/equivalent materials, components and semi-finished products as per TS developed by the suppliers with necessary agreement of such TS with the Head material organizations of the industry and with the Federal Federal Service for environmental, process and nuclear supervision. Whereas the terms upon which TS shall be agreed with such organizations, including the cost of these services, shall be established in the procurement documentation.
5. In case of availability of references to certain marks of the Product in the design or detailed documentation and application of the grounds of p.5е) of article 5.2.1 of the Standard in the procurement documentation upon the customer’s discretion, the customer is recommended to include the condition into the procurement documentation regarding possibility of presentation by the participants of competitive bidding of analogs (or equivalents), if payment for changes in the design or detailed documentation is made directly by the supplier. In this case, the procurement documentation additionally shall include the procedure, periods and cost of making amendments to the design or detailed documentation, as well as include the condition that the competitive bidding participant’s application price which represents the analog (or equivalent) shall include the cost of such re-design.
6. If the contract and technical requirements to the procured Product, technical assignment for work performance, service rendering refers to necessity to agree upon technical documents with the third-party organizations, then the terms of agreement upon, specifically the time frames and cost of these services, shall be established in the procurement documentation. If there is an established control procedure for agreement upon equivalents between operation and development organizations, including in part of cost of such agreements, available to the public, the agreement upon supplied "equivalents" of classifiable equipment for NPP with design organizations, including the payment of cost and time frames of such agreement, at the discretion of operation organization, shall be performed by the supplier.
7. If the subject of competitive bidding is a newly developed Product and the subject of the contract stipulates the development of TS, CDW, designs and any other technical documentation, the contract draft shall include the provisions of obligations to transfer the intellectual property on such technical documents as TS, CDW, designs, etc, which accompany the processes pf design, construction and manufacture of the supplied new Product to the customer, including the unequivocal transfer of right to the customer to make such documents available to public in order to arrange further procurement of similar Products.

***\**** ***This procedure shall cover the parts which are not in conflict with the national legislation of the country in the territory of which the products to be supplied under the contract will be used, or with the legislation of the Russian Federation.***

Appendix 2

**Appropriate wording of the selection criterion based on experience of the participant of competitive bidding or subcontractor in performing works, depending on objects**

1. A**ppropriate wording of the selection criteria depending on objects:**
   1. *For objects, with respect to which this selection criteria are appropriate in accordance with the following List of the objects, or for the objects which are not specified in that list, provided that it is impossible to determine their similarity to the objects specified in the following list and having* ***I category*** *of responsibility for radiation and nuclear safety as per PiN AE - 5.6 (ПиН АЭ-5.6) (****2 safety class*** *as per NP-001-97 (НП -001-97) (OPB-88/97 (ОПБ-88/97))):*

**Experience in work performance1:** shall have concluded contract(s) for construction and/or reconstruction and/or major repair2 of objects according to item 1 of part 1 of article 48.1 of the Town Planning Code of the Russian Federation **related** **to nuclear facilities (NF)**3 with the work in the total amount of at least \_\_\_\_\_ 5 which were completed in 20\_\_-20\_\_ (*last 3 years*) \_\_\_\_\_4

* 1. *For objects, with respect to which this selection criterion is appropriate in accordance with the following List of the objects, or for the objects which are not specified in that list, provided that it is impossible to determine their similarity to the objects specified in the following list and having* ***II category*** *of responsibility for radiation and nuclear safety as per PiN AE - 5.6 (ПиН АЭ-5.6) (****2d, 3d safety class*** *as per NP-001-97 (НП -001-97) (OPB-88/97 (ОПБ-88/97))):*

**Experience in work performance1:** shall have concluded contract(s) for construction and/or reconstruction and/or major repair2 of objects according to part 1 of article 48.1. of the Town Planning Code of the Russian Federation **related** **to especially hazardous and technically complex facilities** with the work in the total amount of at least \_\_\_\_\_ 5 which were completed in 20\_\_-20\_\_ (*last 3 years*) \_\_\_\_\_4

* 1. *For objects, with respect to which this selection criterion is appropriate in accordance with the following List of the objects, or for the objects which are not specified in that list, provided that it is impossible to determine their similarity to the objects specified in the following list and having* ***III category*** *of responsibility for radiation and nuclear safety as per PiN AE - 5.6 (ПиН АЭ-5.6) (****4th safety class*** *as per NP-001-97 (НП -001-97) (OPB-88/97 (ОПБ-88/97))):*

**Experience in work performance1:** shall have concluded contract(s) for construction and/or reconstruction and/or major repair2 of objects of industrial and civil construction (ICC) and/or the objects, according to part 1 of article 48.1. of the Town Planning Code of the Russian Federation related to especially hazardous and technically complex facilities and/or unique ibjects with the work in the total amount of at least \_\_\_\_\_ 5 which were completed in 20\_\_-20\_\_ (*last 3 years*) \_\_\_\_\_4

*1* *When checking the compliance with this requirement, the following procedure shall be applied:*

*The participant of competitive bidding must have experience in performing this type of works in the scope which is not less than the scope calculated according to the following formula: experience of the participant of competitive bidding = (amount of this requirement, in rub.) x ((cost of the type of works to be performed by the participant of competitive bidding, in rub. + cost of the type of works to be performed by the subcontractors which perform 5% and less of the works from total value of these works pursuant to the application of the participant of competitive bidding, in rub.) / total value of this type of works specified in the application of the participant of competitive bidding, in rub.)).*

*The engaged subcontractors, which perform over 5% of total value of the works pursuant to the application of the participant of competitive bidding, must have experience in performing this type of works in the scope which is not less than the scope calculated according to the following formula: experience of the subcontractor = (amount of this requirement, in rub.) x ((cost of the type of works to be performed by the subcontractor, in rub.) / total value of the type of works specified in the application of the participant of competitive bidding, in rub.)).*

*2* *In procurement of CIW or CW to be performed in modernizing buildings and structures or in expanding objects, the wording "contract(s) for construction and/or reconstruction and/or major repair" shall be substituted with "contract(s) for construction and/or reconstruction and/or major repair and/or modernization and/or expansion”. In the event of procurement of other works, the similar principle of establishment of the requirements, which is based on contracts in accordance with the subject of the competitive bidding, shall be applied.*

*3* *(NF) in accordance with Article 3 of Federal Law No.170-FZ (170-ФЗ) “On nuclear power use” dated November 21, 1995*

*4* *type of work shall be specified depending on the subject of the competitive bidding:*

* *for procurement of CIW (construction and installation works), the type of works shall be specified as "construction and installation",*
* *for procurement of SCW (start-up and commissioning works), the type of works shall be specified as "start-up and commissioning”.*

*In the event of procurement of other works, the similar principle of establishment of the requirements, which is based on types of works in accordance with the subject of the competitive bidding, and also the principles of similarity and sufficiency for the contract performance shall be applied.*

*5* *required amount of completed works in the amount of 40% of IMP in money terms with rounding in accordance with general rules of rounding to thousands shall be specified.*

1. **List of facilities**

***For NPPs and facilities not mentioned in this list the selection and evaluation criteria shall be applied which are established for similar facilities given in this List.***

| **No.** | **Facility Description** | **Categories of the responsibility for radiation and nuclear safety according to PiN AE-5.6 /**  **Safety classes as per NP-001-97 (OPB-88/97)** | | | | | **Allowed selection criteria according to item 1 of this Appendix/ evaluation subcriteria in relation to the object according to** item 2.3.2 of section 2 of Chapter 1 of this Methodology |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Novovoronezh**  **NPP-2** | **Baltic**  **NPP** | **Leningrad**  **NPP** | **Beloyarsk**  **NPP** | **Rostov**  **NPP** |
|  | Reactor building 10UJA, 20UJA | Ι/2 | Ι/2 | Ι/2 | I/3 | Ι/2 | 1.1 / 1) |
|  | Transport Portal 10UJG, 20UJG | Ι/2 | II/3 | II/3 | - | - | 1.2 / 2) |
|  | Auxiliary reactor building 10UKA, 20UKA | II/3 | Ι/2 | Ι/2 | - | - | 1.1 / 1) |
|  | Turbine building 10UMA, 20UMA | II/3 | II/4 | II/4 | II/3 | II/3 | 1.2 / 2) |
|  | 10UBA, 20UBA Normal Operation Power Supply Building | II/3 | II/3 | II/3 | - | - | 1.2 / 2) |
|  | Building of a standby diesel power station of the emergency power supply system  11-12UBN, 21-22UBN, UBS | Ι/2 | Ι/2 | Ι/2 | I/3 | - | 1.1 / 1) |
|  | Common-unit standby diesel power station (CUSDPS) | - | - | - | - | II/4 | 1.2 / 2) |
|  | Diesel fuel intermediate storage 11-12UEJ, 21-22UEJ, UBQ | Ι/2 | Ι/2 | Ι/2 | I/3 | - | 1.1 / 1) |
|  | Protected control room 00UCB | Ι/2 | Ι/2 | Ι/2 | I/4 | - | 1.1 / 1) |
|  | Control building 10 UCB | - | Ι/2 | - | - | - | 1.1 / 1) |
|  | Radioactive solid waste treatment and storage building 00UKS | II/3 | II/3 | II/3 | I/3 |  | 1.1 / 1) |
|  | Solid waste repository 10 UKT | - | II/3 | - | - | II/3 | 1.2 / 2) |
|  | Solid wastes and handling and process equipment storage building 20 UKT | - | II/3 | - | - | - | 1.2 / 2) |
|  | Fresh fuel storage 00UFC, 3 UKS, 10 UKT | Ι/2 | Ι/1 | Ι/2 | I/3 | - | 1.1 / 1) |
|  | Pump station of essential loads 11-12URF, 21-22URF | I/2 | I/3 | I/3 | - | - | 1.1 / 1) |
|  | Switchover chamber 13-14URR, 23-24URR, 11-12URS, 21-22URS | I/2 | I/3 | I/3 | - | - | 1.1 / 1) |
|  | Spray pond 11-12URR, 21-22URR, 11- 12URR, 21-22URR | I/2 | I/3 | I/3 | - | - | 1.1 / 1) |
|  | Tunnel for essential loads pipelines 11-12URZ, 21-22URZ | I/2 | I/3 | I/3 | II/3 | I/2 | 1.1 / 1) |
|  | Main control panel building 00UAC | ΙΙ/4 | - | - | ΙΙ/4 | - | 1.2 / 2) |
|  | Switchgear building 00UAD | ΙΙ/4 | ΙΙ/4 | ΙΙ/4 | ΙΙ/4 | - | 1.2 / 2) |
|  | Open Switchgear 00UAJ | ΙΙ/4 | ΙΙ/4 | ΙΙ/4 | ΙΙ/4 | - | 1.2 / 2) |
|  | Standby diesel-generator plant building of the normal operation system, 13UBN, 23UBN | ΙΙ/4 | ΙΙ/3 | ΙΙ/3 | II/3 | - | 1.2 / 2) |
|  | Unit diesel-generator station building with diesel fuel intermediate storage 10UBN | - | ΙΙΙ/3 | - | - | - | 1.3 / 3) |
|  | Diesel-generator, pump houses of essential loads and compressor houses of pneumatically driven isolation valves (SDGP) | - | - | - | - | I/2 | 1.1 / 1) |
|  | Intermediate diesel fuel storage 13UEJ, 23UEJ | ΙΙ/4 | ΙΙ/3 | ΙΙ/3 | II/3 | - | 1.2 / 2) |
|  | Makeup pump station 00, 80UGA | ΙΙ/4 | ΙΙ/4 | ΙΙ/4 | - | - | 1.2 / 2) |
|  | Standby makeup pipelines (GHE)  – Ø 219 х 7 | - | ΙΙΙ/4 | - | - | - | 1.3 / 3) |
|  | Demineralizer building 00UGD | ΙΙ/4 | ΙΙΙ/4 (II/3) | ΙΙΙ/4 (II/3) | - | ΙΙΙ/4 | 1.2 / 2) |
|  | Heat distribution station 00UNA (heat distribution center with accumulator tank) | ΙΙΙ/4 (II/3) | ΙΙΙ/4 | ΙΙΙ/4 | - | - | 1.2 / 2) |
|  | Standby makeup pump station 00URE | ΙΙ/2 | - | - | - | - | 1.1 / 1) |
|  | Unit pump station 10URS, 20URS | ΙΙ/4 | - | - | - | - | 1.2 / 2) |
|  | Standby tank 00, 01,02URX, 10 URX | ΙΙ/4 | ΙΙ/4 | ΙΙ/4 | - | ΙΙ/4 | 1.2 / 2) |
|  | Process pipelines trestle 00USY | ΙΙ/4 | - | - | ΙΙ/4 | ΙΙ/4 | 1.2 / 2) |
|  | Equipment lock trestle 10 UJG | - | ΙΙ/3 | - | - | - | 1.2 / 2) |
|  | Tower evaporative cooling tower, 10URA, 20URA | ΙΙ/4 | ΙΙ/4 | ΙΙ/4 | - | ΙΙ/4 | 1.2 / 2) |
|  | Gallery of gas-insulated bus ducts 10UAY | ΙΙ/4 | - | - | - | - | 1.2 / 2) |
|  | Diesel fuel and oil pump station 00UEL | ΙΙΙ/4 | ΙΙ/4 | ΙΙ/4 | - | - | 1.3 / 3) |
|  | Sludge Damp 00UGR | ΙΙΙ/4 | - | - | - | ΙΙΙ/4 | 1.3 / 3) |
|  | Controlled Access Area Workshops 00UKU, 1 UKS | ΙΙΙ/4 | - | - | II/3 | - | 1.3 / 3) |
|  | Heating network makeup plant 00UNE | ΙΙΙ/4 | - | - | - | - | 1.3 / 3) |
|  | Common access area workshops with central materials storage facility, 00UST | ΙΙΙ/4 | ΙΙΙ/4 | ΙΙΙ/4 | - | - | 1.3 / 3) |
|  | Engineering and amenity building 00USV | ΙΙΙ/4 | ΙΙΙ/4 | ΙΙΙ/4 | II/3 | ΙΙΙ/4 | 1.3 / 3) |
|  | Compressor house building 00UTF | ΙΙΙ/4 | - | - | - | - | 1.3 / 3) |
|  | Controlled access area health-physics building 00UYB | ΙΙΙ/4 | - | - | II/3 | - | 1.3 / 3) |
|  | Administration building 01UYC | ΙΙΙ/4 | III/I /4/2 | III/I /4/2 | - | - | 1.3 / 3) |
|  | Building of complex of engineering technical structures of physical protection of the security service center 02UYC | ΙΙΙ/4 | - | - | - | - | 1.3 / 3) |
|  | Facility of complex of engineering technical structures of physical protection Military guard center 03UYC | ΙΙΙ/4 | - | - | - | - | 1.3 / 3) |
|  | Canteen 00UYD  Canteen with conference hall 04UYC | ΙΙΙ/4 | ΙΙΙ/4 | ΙΙΙ/4 | - | - | 1.3 / 3) |
|  | Facility of complex of engineering technical structures of physical protection Guard house 00UYE | ΙΙΙ/4 | ΙΙΙ/4 | ΙΙΙ/4 | - | - | 1.3 / 3) |
|  | Facility of complex of engineering technical structures of physical protection Vehicle access control point 01UYF, 02UYF, 05UYF, 06UYF | ΙΙΙ/4 | ΙΙΙ/4 | ΙΙΙ/4 | - | - | 1.3 / 3) |
|  | Facility of complex of engineering technical structures of physical protection Combined access control point 03UYF | ΙΙΙ/4 | ΙΙΙ/4 | ΙΙΙ/4 | - | - | 1.3 / 3) |
|  | Training Center 00UYH | ΙΙΙ/4 | ΙΙΙ/4 | ΙΙΙ/4 | - | - | 1.3 / 3) |
|  | Steam cell 10UJE, 20UJE | - | I/2 | I/2 | - | - | 1.1 / 1) |
|  | Safety building 10UKD, 20UKD | - | I/2 | I/2 | - | - | 1.1 / 1) |
|  | Ventilation stack 10UKH, 20UKH | - | II/3 | II/3 | - | - | 1.2 / 2) |
|  | Nuclear service building with utility rooms of controlled access area 10UKC, 20UKC | - | II/3 | II/3 | - | - | 1.2 / 2) |
|  | Heat cogeneration building 10UNC, 20UNC | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Structure of Unit transformers 10UBF, 20UBF | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Tank for emergency oil drains from transformers with pumping station 10UBH, 20UBH | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Water treatment building 10UGB, 20UGB | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Chemical water treatment auxiliary tanks 10UGD, 20UGD | - | III/3 | III/3 | - | - | 1.3 / 3) |
|  | Automatic water fire-fighting pumping station 10USG | - | II/3 | II/3 | II/3 | - | 1.2 / 2) |
|  | Water storage tank for automatic fire-fighting 11UGF, 12UGF | - | II/3 | II/3 | II/3 | - | 1.2 / 2) |
|  | Pump station of turbine building consumers 10URD, 20URD | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Essential loads pump house 11UQC, 12UQC | - | I/3 | - | - | - | 1.1 / 1) |
|  | Closed feed channel from cooling towers to the turbine building pump station consumers 11-12URJ, 21-22URJ, 10URJ, 20URJ | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Reinforced concrete feed channel | - | - | - | - | II/4 | 1.2 / 2) |
|  | Turbine hall reinforced concrete discharge channel | - | - | - | - | II/4 | 1.2 / 2) |
|  | Main cooling water system pipelines (PAB) 1UUP Ø 2440 х 14 | - | III/4 | - | - | - | 1.3 / 3) |
|  | Pipelines of auxiliary cooling water system (PCB) 1UUP | - | III/4 | - | - | - | 1.3 / 3) |
|  | Additional water pipeline (GAC) 1UUS | - | III/4 | - | - | - | 1.3 / 3) |
|  | Blowdown pipeline (GMS) 1UUF | - | III/4 | - | - | - | 1.3 / 3) |
|  | Pipeline tunnels of the safety systems from UKD building to UQC and URS buildings  11-14UQZ, 21-24UQZ,  from URS buildings to spray ponds URR, 11-18URZ, 21-28URZ, | - | I/3 | I/3 | II/3 | - | 1.1 / 1) |
|  | Cable tunnels of the Safety Systems from UKD buildings to building UBS, 11-14 UBZ, 21-24UBZ | - | I/2 | I/2 | I/3 | - | 1.1 / 1) |
|  | Cable tunnels from UBA building to URD building,10URZ, 20URZ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Cable tunnel from UKT building to UBS building, 10UBZ, 15UBZ, 20UBZ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Combined personnel cable-pipeline tunnel from steam cell to SDGP building, 10UJZ, 20UJZ  (- for cable and pipeline)  (-for personnel) | - | II/3  III/4 | II/3  III/4 | - | - | 1.2 / 2) |
|  | Combined two-floor personnel and cable-pipeline tunnel, 10UKZ, 20UKZ  (- for cable and pipeline)  (-for personnel) | - | II/3  III/4 | II/3  III/4 | - | - | 1.3 / 3) |
|  | Combined two-floor personnel and cable-pipeline tunnel, from 10UKZ tunnel to the building of fire pump station of automatic water fire-fighting 10USG.  (- for cable and pipeline)  (-for personnel)  10USZ, 20USZ | - | II/3  III/4 |  | - | - | 1.3 / 3) |
|  | Common access area personnel tunnel to the normal power supply building 10UNZ, 20UNZ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Standby power supply cables gallery  10UAY, 20UAY | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Gallery of gas-insulated pipelines, 10UAY, 20UAY | - | - | II/4 | - | - | 1.2 / 2) |
|  | Turbine hall feed pipelines | - | - | - | - | ΙΙ/4 | 1.2 / 2) |
|  | Discharge pipelines to the cooling tower | - | - | - | - | ΙΙ/4 | 1.2 / 2) |
|  | Cooling tower blowdown pipelines | - | - | - | - | ΙΙ, III/4 | 1.2 / 2) |
|  | Cooling tower makeup water pipelines | - | - | - | - | ΙΙ/4 | 1.2 / 2) |
|  | Essential loads cooling system pipelines | - | - | - | - | Ι/2 | 1.1 / 1) |
|  | Service Water Pipelines of distillation and demineralization plant building | - | - | - | - | III/4 | 1.3 / 3) |
|  | CUSDPS service water pipelines | - | - | - | - | ΙΙ/4 | 1.2 / 2) |
|  | Stratum drainage, 11UZX , 21UZX | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Annular drainage 12UZX, 22UZX | - | - | III/4 | - | - | 1.3 / 3) |
|  | Drainage pump station (for pumping of ground water), 11-13UGS, 21-23UGS | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Ground water drainage pipelines Ø325 х 8, 1UUX | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Waste Water Disposal Pump House for pumping of domestic wastes of the controlled access area 10UGQ, 20UGQ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | ARSMS inspection wells, 11-18UCK, 21-24UCK | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Control posts, 11-14UCJ, 21-22UCJ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Building of water treatment for pre-start flushing with auxiliary tank, 00ULD | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Pre-start flushing water receiving tanks, 00ULC | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Building of mineralized discharge water treatment with tank facilities, 00ULG | - | III/4 | - | - | - | 1.3 / 3) |
|  | Mineralized water and blowdown water discharge pipeline (GMS) – Ø 1200 х 12 | - | III/4 | - | - | - | 1.3 / 3) |
|  | Personnel and process tunnel, 00UKZ | - | III/4 | - | - | - | 1.3 / 3) |
|  | Service and amenity building of common access area, 00USV | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Combined two-floor personnel and cable-pipeline tunnel from the service and amenity building of the common access area to 10UKZ tunnel, 01UKZ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Combined two-floor personnel and cable-pipeline tunnel from the 10UKZ tunnel to 20UKZ tunnel, 02UKZ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Personnel bridges between main buildings | - | - | - | - | III/4 | 1.3 / 3) |
|  | Heated parking area for specialized vehicles, 00UKX | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Empty tare warehouse for solid radioactive wastes, 00USL | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Fence of power units, 04UZJ, 07UZJ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Gallery of common access areas , 01-04UYY, 00UKY | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Transformer tracks between units No.1 and No.2, 01-02UBJ | - | - | III/4 | - | - | 1.3 / 3) |
|  | Administrative and laboratory building (in the basement - NP SCRAM), 00UYA , 01-02UYA  (-for above-ground section)  (-for underground section) | - | III/4  I/2 | III/4  I/2 | - | - | 1.3 / 3) |
|  | Laboratory and amenity building (modernization of grid control center) | - | - | - | - | III/4 | 1.3 / 3) |
|  | Combined gas building, 00UTF | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Open plant cargo-handling terminal in the fence, 00UZF | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Heat cogeneration trestle, 00UNY | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Process Trestle from the combined gas building, 00UTY | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | 10 kV APSG building of common-plant and standby power supply, 00UBV | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Open yard for standby and common-plant power supply transformers with equipment and flexible bus arrangement of 330 kV, 00UBF | - | III/4 | II/4 | - | II/4 | 1.2 / 2) |
|  | 330 kV relay panels building, 00UAC | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | 750 kV relay panels building, 00UAC | - | - | III/4 | - | - | 1.3 / 3) |
|  | Building of GIS-330 kV, 00 UAB 01UAB | - | II, III /4 | II/4 | - | - | 1.2 / 2) |
|  | Building of GIS-750 kV, 02UAB | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Cable tunnel from 00UAC building to 00UBV building, 00UBV, 01UUZ, 00UUZ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Cable channels and tunnels | - | - | - | - | 3,4/ III | 1.3 / 3) |
|  | Open installation of equipment 00UAM | - | III/4 | - | - | - | 1.3 / 3) |
|  | Open installation of 330 kV lines equipment, 01UAM | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Open installation of 750 kV lines equipment, 02UAM | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Structure for 330kV/750kV connection transformers, 00UAG | - | - | II/4 | - | - | 1.2 / 2) |
|  | Building of transformers and APSG 0.4 kV, 00UBD | - | III/4 | II/4 | - | - | 1.2 / 2) |
|  | Building of transformers 10/0.4 kV and APSG 0.4 kV of oil and diesel fuel facilities, 02UEL | - | - | II/4 | - | - | 1.2 / 2) |
|  | Open installation of 500/220 kV coupling autotransformers UAP | - | - | - | II/4 | - | 1.2 / 2) |
|  | Open Switchgear 500 kV | - | - | - | - | II/4 | 1.2 / 2) |
|  | Open installation of shunt reactors UAQ | - | - | - | II/4 | - | 1.2 / 2) |
|  | Open oil warehouse, 00UEK | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Open Diesel fuel storage facility, 00UEJ | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Oil and diesel fuel intake facility, 00UEH | - | II/4 | II/4 | - | - | 1.2 / 2) |
|  | Gas cylinder storage, 00USK | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Transformer substation for buildings 00UEL, 00UBD | - | - | II/4 | - | - | 1.2 / 2) |
|  | Startup Auxiliary Electric Boiler House, 00UTH | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Combined pump station of main equipment cooling system | - | - | - | - | II/4 | 1.2 / 2) |
|  | Pump station of additional water of units 3,4 with water feeding bucket | - | - | - | - | II/4 | 1.2 / 2) |
|  | Pump station of CUSDPS with water intake bucket | - | - | - | - | II/4 | 1.2 / 2) |
|  | Combined pump station of fire-fighting and process water supply, 01USG, 00USG | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Water storage tank for household and drinking water supply, 01UGG, 02UGG | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Chamber of filter-absorbers, 01UGT, 02UGT | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Water storage tank for fire-fighting and service water supply, 01UGF,02UGF | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Combined pump station of fire-fighting water supply and automatic water fire-fighting, 02USG | - | - | II/3 | - | - | 1.2 / 2) |
|  | Tank for emergency oil drains from transformers with pump station, 01UBH, 02UBH, 00UBH | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Main Waste Water Disposal Pump House for pumping of domestic wastes of the common access area, 01UGQ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Network and structures of household sewerage of common access areas GQA | - | III/4 | - | - | III/4 | 1.3 / 3) |
|  | Network and structures of household sewerage of controlled access area | - | - | - | - | III/4 | 1.3 / 3) |
|  | Network and structures of contaminated drain sewerage | - | - | - | - | III/4 | 1.3 / 3) |
|  | Network and structures of process and rainwater sewerage with treatment facilities GUC | - | III/4 | - | - | III/4 | 1.3 / 3) |
|  | Cathode protection of utility infrastructure | - | - | - | - | III/4 | 1.3 / 3) |
|  | Waste Water Disposal Pump House for pumping of household drains of common access area, 02UGQ, 03UGQ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Pump station for pumping of process and storm water wastes, 01UGU, 02UGU, 03UGU | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Pump station for pumping of oil containing wastes, 01UGM, 02UGM, 03UGM | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Complex of treatment facilities for production and storm water wastes and oil containing wastes, 00UGV | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Treatment facilities for household waste water of common access area, 01UGR | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Household waster water treatment facilities of controlled access area, 02UGV | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Drainage pump station building (for pumping of drainage water), 00USG | - | - | III/4 | - | - | 1.3 / 3) |
|  | Physical protection center building, 00UXR | - | III/4 | III/4 | II/4 | - | 1.2 / 2) |
|  | Building of diesel-generator plant, 00UXS | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Departmental security service (DSS) motor transport garage, 00UXT | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | DSS service dog breeding building, 00UXU | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Railways ACP, 03UYF, 04UYF | - | - | III/4 | - | - | 1.3 / 3) |
|  | Cable channel under roads in the ACP area, 0\*UUB | - | - | III/4 | - | - | 1.3 / 3) |
|  | Cable channels in the territory of complex of buildings and structures of physical protection automated system, 0\*UUB | - | - | III/4 | - | - | 1.3 / 3) |
|  | Examination trestle for railway cars at the ACP railway examination area | - | - | III/4 | - | - | 1.3 / 3) |
|  | Examination pits at the ACP railway examination area | - | - | III/4 | - | - | 1.3 / 3) |
|  | Exclusion area of the perimeter - Fencing of NPP (external warning fencing, main reinforced concrete fencing, internal warning alarm fencing, engineering fence) 01UZJ, 02UZJ, 03UZJ, 04UZJ, 05UZJ | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Watch Towers, 00CZA11AB901-0CZA11AB910 | - | - | III/4 | - | - | 1.3 / 3) |
|  | Protective and defense structures 00CZA11AB951-0CZA11AB965 | - | - | III/4 | - | - | 1.3 / 3) |
|  | Fencing of the complex of buildings and structures, 06UZJ | - | - | III/4 | - | - | 1.3 / 3) |
|  | Armored cabin with airlock passage of DSS ACP, 00CZA13AB901 | - | - | III/4 | - | - | 1.3 / 3) |
|  | Fencing of plant cargo handling terminal, 08UZJ | - | - | III/4 | - | - | 1.3 / 3) |
|  | Main fence of bridge between power unit No. 1 and No. 2, 91UZJ | - | - | III/4 | - | - | 1.3 / 3) |
|  | Internal alarm fencing of bridge between power unit No. 1 and No. 2, 92UZJ | - | - | III/4 | - | - | 1.3 / 3) |
|  | Physical protection system, including patrol building – 3 with structures of civil defense | - | - | - | - | III/4 | 1.3 / 3) |
|  | Civil defense shelter, 01UZM, 02UZM | - | III/4 | III/4 | I/4 | III/4 | 1.3 / 3) |
|  | Potable water storage tank, 03-06UGG | - | III/4 | - | - | - | 1.3 / 3) |
|  | Service water storage tank, 03-08UGF | - | III/4 | - | - | - | 1.3 / 3) |
|  | Active drains, 01-03UGN; 84UGN | - | III/4 | - | - | - | 1.3 / 3) |
|  | Protected Waste Water Disposal Pump House for collection and pumping of domestic wastes and active drains, 04-09UGQ; 81-83UGQ  Domestic waste water sewerage pump station, 80UGQ  Rainwater waste sewerage pump station, 80UGU | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | Water metering station building, 81UYX | - | - | III/4 | - | - | 1.3 / 3) |
|  | Flexible communication of 330 kV GIS with UST (unit step-up transformer) of unit 1, 01UAP | - | II/4 | - | - | - | 1.2 / 2) |
|  | Flexible communication of 330 kV GIS with UST of unit 2, 02UAP | - | II/4 | - | - | - | 1.2 / 2) |
|  | Flexible communication from main building to open switchgear | - | - | - | - | II/4 | 1.2 / 2) |
|  | Structure for flexible communication of 220 kV standby auxiliary transformer, 1 UAM | - | - | - | II/4 | - | 1.2 / 2) |
|  | Cable communication line of 220 kV standby transformer | - | - | - | - | II/4 | 1.2 / 2) |
|  | Inspection tower for transformers with oil facilities | - | - | - | - | III/4 | 1.3 / 3) |
|  | Structure for flexible communication of 220 kV between 500 kV open switchgear and 220 kV open switchgear, 2 UAM (17) | - | - | - | II/4 | - | 1.2 / 2) |
|  | Structures for flexible communication- 500 kV UAN (16) | - | - | - | II/4 | - | 1.2 / 2) |
|  | Building of GCC at Open Switchgear, UAC (85) | - | - | - | II/4 | - | 1.2 / 2) |
|  | 330 kV overhead lines, 00UAP | - | II/4 | - | - | - | 1.2 / 2) |
|  | Common-plant power channels, 0\*UUB | - | III/4 | - | - | - | 1.3 / 3) |
|  | Fire-fighting station facilities complex, 80UYP | - | III/4 | III/4 | - | - | 1.3 / 3) |
|  | NPP vehicle fleet facilities, 80UYQ | - | III/4 | - | - | - | 1.3 / 3) |
|  | Training area 80UYP | - | III/4 | - | - | - | 1.3 / 3) |
|  | Water intake bucket with fish screen devices, 80UGZ | - | III/4 | - | - | - | 1.3 / 3) |
|  | Information centre, 80UYG | - | III/4 | - | - | - | 1.3 / 3) |
|  | Automated radiation situation monitoring system | - | - | - | - | 4/- | 1.3 / 3) |
|  | Climate station ARSMS, 80UCL | - | III/4 | - | - | - | 1.3 / 3) |
|  | ARSMS posts, 8\*UCJ | - | III/4 | - | - | - | 1.3 / 3) |
|  | Complex of water intake structures and potable water processing facilities, 80UGK | - | III/4 | - | - | - | 1.3 / 3) |
|  | UBB (3) Open installation of transformers (OTI) in the plant section including: | - | - | - | II/4 | - | 1.2 / 2) |
| 206.1 | - facility for А,В,С phases and standby phase of the unit transformer 1,2,3,4UBF | - | - | - | II/4 | - | 1.2 / 2) |
| 206.2 | - facility for normal auxiliary transformer 1,2 UBE | - | - | - | II/4 | - | 1.2 / 2) |
| 206.3 | - facility for auxiliary transformer 01, 02, 1,2 UBG | - | II/4 | - | II/4 | - | 1.2 / 2) |
|  | OTI fencing in the plant section  4 UZJ (117),5 UZJ | - | - | - | -/4 | - | 1.3 / 3) |
|  | (5) Facility for main building tanks including: | - | - | - | II/4 | - | 1.2 / 2) |
| 208.1 | - facility for clean condensate storage tanks having capacity of 3х1000м3  1-3 UGD | - | - | - | II/4 | - | 1.2 / 2) |
| 208.2 | - facility for active condensate storage tank having capacity of 1000м3  4 UGD | - | - | - | II/4 | - | 1.2 / 2) |
|  | UKS (21) Special building, including:  Set of plants for treatment of liquid radioactive wastes (LRW), 1 UKS | - | - | - | II/3 | - | 1.2 / 2) |
|  | Set of rooms of tanks for vat residue and sorbent in axes 19-23 m/r В-Е , 1 UKS | - | - | - | I/3 | - | 1.1 / 1) |
|  | Non-retrievable shielding cask storage (NRSCS), 2 UKS | - | - | - | II/3 | - | 1.2 / 2) |
|  | I-groupΙΙ solid radioactive wastes storage (SRW-2 storage) 4 UKS | - | - | - | I/3 | - | 1.1 / 1) |
|  | SRW-ΙΙΙ group storage (SRWS-3) 5 UKS | - | - | - | I/3 | - | 1.1 / 1) |
|  | SRW-ΙΙΙ group storage (SRWS-1) 6 UKS | - | - | - | II/3 | - | 1.2 / 2) |
|  | Process pipeline trestle for fire-extinguishing units of 500/220 kV coupling autotransformers (UAP), 4 URY | - | - | - | II/4 | - | 1.2 / 2) |
|  | Trestle of process pipelines of fire-extinguishing units of shunt reactors (UAQ), 5 URY | - | - | - | II/4 | - | 1.2 / 2) |
|  | Fire-fighting water supply mains SGA | - | III/4 | - | - | III/4 | 1.3 / 3) |
|  | Trestle of electrical engineering communications from electric engineering mezzanine of the turbine hall to the open transformer installation (OTI) UAY, 1 UBY | - | - | - | II/4 | - | 1.2 / 2) |
|  | Diesel fuel channels from UBQ buildings to UBS, 1 UBX, 2 UBX, 3 UBX | - | - | - | II/3 | - | 1.2 / 2) |
|  | Diesel fuel channels from UBT buildings to UBN, 4 UBX, 5 UBX | - | - | - | III/3 | - | 1.3 / 3) |
|  | Circulating water conduits in the area of UMA Dу 1600 mm (from UGA to UMA ; from UMA to adjoining well No.1) and pressurized and drainage water conduits of auxiliary service water supply system Dу 1200 mm, РАВ (123), РАС-1 | - | - | - | II/4 | - | 1.2 / 2) |
|  | Siphon Pit UQQ (116) | - | - | - | II/4 | - | 1.2 / 2) |
|  | Special building (reconstruction in axes 27-31) | - | - | - | - | II/3 | 1.2 / 2) |
|  | Storage Facilities of Production and Technology Complex Management (PTCM) | - | - | - | - | III/4 | 1.3 / 3) |
|  | Base of hydraulic works shop (HWS) | - | - | - | - | III/4 | 1.3 / 3) |
|  | Set of engineering and technical measures of civil defense and emergency situation prevention measures | - | - | - | - | III/4 | 1.3 / 3) |
|  | Civil Defense and Emergency Situation Prevention warehouses | - | - | - | - | III/4 | 1.3 / 3) |
|  | Intra-site railway tracks | - | - | - | - | III/4 | 1.3 / 3) |
|  | Access railway tracks 80 UZE | - | III/4 | - | - | - | 1.3 / 3) |
|  | Intra-site motor roads | - | - | - | - | III/4 | 1.3 / 3) |
|  | Access motor roads 80 UZA | - | III/4 | - | - | - | 1.3 / 3) |
|  | Mains and structures of household and potable water pipeline at the industrial site GKC | - | III/4 | - | - | III/4 | 1.3 / 3) |
|  | Slope drain 8\*UZN | - | 4/- | - | - | - | 1.3 / 3) |

Appendix 3

**Methodology of calculation of financial resource availability for the participants of competitive bidding**

1. **General provisions**

The methodology is based on calculation of indicators of availability of financial resources for the company which characterize the company's level of activity risk from the point of view of balance or excess of income over expenses. These indicators also allow the customer to evaluate the company's ability to fulfill its obligations under the contracts to be concluded with the customer in full and in time. For general calculation of a company's financial state, its main performance indicators specified in the accounting (financial) statements shall be used, particularly: in the Form as per OKUD (All-Russian Classifier of Management Documentation) 0710001 "Balance sheet" and in the Form as per OKUD 0710002 “Statement of profits and losses" (“Statement of financial results”).

Line codes in the accounting (financial) statements used for calculating values of the financial resources availability for a company (Table 1 of section 5 of this Methodology) shall be applied in accordance with Order No. 66n (66н) of the Ministry of Finance of the Russian Federation dated July 2, 2010 “On forms of accounting statements of companies”.

If the participant of competitive bidding makes accounting statements in accordance with the legislation of the Russian Federation under the procedure stipulated by the order of the Ministry of Finance of the Russian Federation No. 33n (33н) “On approval of the Guidelines for procedure of making and submission of annual and quarter accounting statements of state (municipal) budgetary and autonomous institutions” dated 25.03.2011, then figures related to its activities shall be applied for calculation of values of provision with financial resources, according to the Forms as per OKUD 0503730 and 0503721, using peculiarities and procedure for comparison of values (lines) of accounting (financial) statements according to subsection 4.1 of this Methodology.

If the participants of competitive bidding prepare their accounting statements in accordance with the rules of accounting standards other than the Russian accounting standards (hereinafter referred to as RAS), the participant’s figures found in the IFRS (International Financial Reporting Standards) financial statements, particularly: in the form “Statement of Financial Position” and in the form “Income statement”, shall be used in order to calculate its financial resources availability. If the participant of competitive bidding (non-resident of the Russian Federation) prepares the statements in accordance with the rules of accounting standards other than RAS or IFRS, then its figures found in the accounting statements and are similar to the figures found in RAS or IFRS according to Table 3 (subsection 4.2 of this Methodology) to be filled by the participant of competitive bidding shall be used.

1. **Calculation methodology.**

For general calculation of a company's financial resources availability, its main performance indicators shall be used, such as:

* Equity-assets ratio;
* Current assets coverage ratio;
* Factor of comparability of annual revenue from the main activity with price of the contract;
* Interest coverage factor.

The indicators shall be calculated for the expired financial year and for the expired period of a financial year (6 months of the current financial year/9 months of the current financial year). The financial year means a legally established one-year period which shall be represented in the statement of performance results of business entities having different legal forms of incorporation in accordance with accounting (financial) standards.

When using the accounting (financial) statements prepared in accordance with RAS to calculate the financial resources availability for the participants of competitive bidding, the following shall be used:

statements for the expired financial year on the basis of the accounting (financial) statements with an acceptance note made in a tax office, or in case of submission of an electronic version of the statement to a tax office, then with attached receipt of acceptance or entry confirmation, which is also certified by auditors (if certification of the statements of a company by external auditors is required),

statements for the expired period of a financial year (6 months of the current financial year/9 months of the current financial year) on the basis of the interim accounting (financial) statements signed by the manager of a company.

If the deadline for application submission falls within the period from January 1 to March 31 of the current year, then the statements for the previous expired financial year and statements for 9 months of expired financial year, for which the annual statements preparation is in progress, shall be used for calculation of the level of financial resources availability.

When using IFRS financial statements and/or other accounting (financial) statements to be prepared by the participants of competitive bidding (non-residents of the Russian Federation) in order to calculate the financial resources availability for the participants of competitive bidding, the following shall be used:

statements for the expired financial year signed by the manager of a company and certified by auditors (if certification of the statements of a company by external auditors is required),

statements for the expired period of a financial year (6 months of the current financial year/9 months of the current financial year) signed by the manager of a company.

If applications are submitted in the quarter following the financial year, then the statements for the previous expired financial year and statements for 9 months of expired financial year, for which the annual statements preparation is in progress, shall be used for calculation of the level of provision with financial resources.

If the last expired period is 3 months of the current financial year, then calculation of the indicators shall be carried out only on the basis of the expired financial year.

The accounting (financial) statements for the expired period of current financial year prepared in accordance with RAS:

* shall not be submitted if the deadline for application submission is on or before July 30 of the current year;
* shall be submitted for 6 months, if the deadline for application submission is between July 30 and October 30 of the current year, inclusively;
* shall be submitted for 9 months if the deadline for application submission is after October 30 of the current year.

If the participant of competitive bidding in accordance with the legislation of the Russian Federation makes accounting statements as per OKUD 0503730 and 0503721 its accounting statement include no breakdown for the lines 210 and 290 by short-term and long-term investments, then such participant of competitive bidding shall additionally submit the reference with analysis of this lines as per the form of table 2 of subsection 4.1 of this Methodology, signed by the head of the participant of competitive bidding organization, as a part of the application.

The accounting (financial) statements for the expired period of current financial year prepared in accordance with IFRS standards and/or with other accounting (financial) statements to be prepared by the participants of competitive bidding (non-residents of the Russian Federation):

* shall not be submitted if the deadline for application submission is earlier than the expiration of the first six months of a financial year;
* shall be submitted for 6 months if the deadline for application submission is later than 60 days following the end of the first six months of a financial year;
* shall be submitted for 9 months if the deadline for application submission is later than 60 days following the end of the first nine months of a financial year.

If the participant of competitive bidding (non-resident of the Russian Federation) prepares the statements in accordance with the rules of accounting standards other than RAS or IFRS, then such participant of competitive bidding shall additionally submit as a part of its application a statement as per the form of table 3 of subsection 4.2 of this Methodology, signed by the head of the participant of competitive bidding organization.

1. **Equity-assets ratio.**

It shows, to what extent the company's assets are formed by its equity capital and to what extent the company is independent from external funding sources. It is calculated on the basis of data given in the form as per OKUD (All-Russia Classifier of Management Documentation) 710001 or the form “Statement of Financial Position”, according to the following formula:

EAR = 

where:

СК means equity capital (line 1300 “Total capital” (OKUD 0710001) or line “Total equity” (form “Statement of Financial Position”)),

ВБ means balance currency (total result) (line 1600 “Balance (assets)” (OKUD 0710001), or line “Total assets” (form “Statement of Financial Position”)).

line 1300 = line 1310 + line 1320 + line 1340 + line 1350 + line 1360 + + line 1370.

The data according to the lines of the accounting forms in round brackets shall be introduced to the calculation as negative values.

Considering that the accounting (financial) statements for certain types of companies (small business entities, non-commercial organizations, companies using simplified taxation system) may contain consolidated indicators, which include several figures (without their detailed specification) with specification of the line code for the value having the highest specific weight as part of the consolidated indicator, or have partially detailed specification, then only lines included into the accounting (financial) statements of such company shall be used to calculate capital indicators (line 1300) for such companies. The empty lines shall have the value equal to 0.

1. **Current assets coverage ratio.**

It shows, to what extent the current assets are formed by the equity capital. It is calculated on the basis of data given in the form as per OKUD (All-Russia Classifier of Management Documentation) 710001 or the form “Statement of Financial Position”, according to the following formula:

CACR = ,

where:

СК means equity capital (line 1300 “Total capital” (OKUD 0710001) or line “Total equity” (form “Statement of Financial Position”)),

*ВнОбА* means non-current assets (line 1100 “Total non-current assets” (OKUD 0710001) or line “Total non-current assets” (form “Statement of Financial Position”)),

*ВнОбА* means current assets (line 1200 “Total current assets” (OKUD 0710001) or line “Total current assets” (form “Statement of Financial Position”)),

line 1100 = line 1110 + line 1120 + line 1130 + line 1140 + line 1150 + line 1160 +line 1170 + line 1180 + line 1190,

line 1200 = line 1210 + line 1220 + line 1230 + line 1240 + line 1250 + line 1260,

line 1300 = line 1310 + line 1320 + line 1340 + line 1350 + line 1360 + line 1370.

The data according to the lines of the accounting forms in round brackets shall be introduced to the calculation as negative values.

Considering that the accounting (financial) statements for certain types of companies (small business entities, non-commercial organizations, companies using simplified taxation system) may contain consolidated indicators, which include several figures (without their detailed specification) with specification of the line code for the value having the highest specific weight as part of the consolidated indicator, or have partially detailed specification, then only lines included into the accounting (financial) statements of such company shall be used to calculate non-current assets indicators (line 1100), current assets indicators (line 1200) and capital indicators (line 1300) for such companies. The empty lines shall have the value equal to 0.

1. **Annual core activity revenue to contract price ratio.**

It characterizes the comparability of the price of the contract to be concluded according to the results of the competitive bidding process with the amount of revenue from the main activity for the corresponding period. It is calculated on the basis of data given in the form as per OKUD (All-Russia Classifier of Management Documentation) 0710002 or the form “Income Statement”, according to the following formula:

RCR = ,

where:

Revenue - (line 2110 “Revenue” (OKUD 0710002) or line “Revenue” (form “Income Statement”)) - sum of revenue figures for the expired financial year and for the expired period of a financial year (6 months of the current financial year/9 months of the current financial year) is used.

P is a period of fulfilling contractual obligations, excluding warranty obligations, designer supervision, adjustment supervision (in months),

А – number of months corresponding to expired financial year (12 months). If organization of the participant of competitive bidding has been established in this expired financial year, then A = number of months, starting from the month of establishment of the participant of competitive bidding organization, until December (inclusive),

B is a number of months corresponding to the expired period of a financial year (6 or 9 months). If calculation is carried out only for the expired financial year, then B = 0. If organization of the participant of competitive bidding has been established in this expired period of the financial year, then B = number of months, starting from the month of establishment of the participant of competitive bidding organization, until the last month of expired period of the financial year (inclusive).

S is the initial contract price offered by the participant of competitive bidding, excluding VAT.

Ксв is calculated on the basis of data about total revenue: revenue gained for the expired financial year and for the expired period (6 months of the current financial year/9 months of the current financial year). Thus, calculation of RCR is carried out once, for 2 periods at the same time.

1. **Interest coverage factor.**

It measures the company’s ability to pay annual interest for its obligations. It is calculated on the basis of data given in the form as per OKUD (All-Russia Classifier of Management Documentation) 0710002 or the form “Income Statement”, according to the following formula:

ICF = 

where:

П means profit (loss) before taxation (line 2300 “Profit (loss) before taxation” (OKUD 0710002) or line “Profit/(loss) before income tax” (form “Income Statement”)),

ПУ means interests payable (line 2330 “Interest payable” (OKUD 0710002) or line “Finance costs” (form “Income Statement”)).

line 2300 = (line 2110 + line 2310 + line 2320 + line 2340) – (line 2120 +line 2210 +line 2220 + line 2330 +line 2350)

the figure stated in line 2330 / “Finance costs” shall always be taken at absolute value.

when calculating the value of row 2300 manually, figures stated at rows 2120, 2210, 2220, 2350 shall also be taken at absolute value.

If value of line 2330 / “Finance costs” is 0 and value of line 2300 / “Profit/(loss) before income tax” is positive, then the indicator weights 10 points.

If value of line 2330 / “Finance costs” is 0 and value of line 2300 / “Profit/(loss) before income tax” is negative or equal to “0”, then the indicator weights 0 points.

Considering that the accounting (financial) statements for certain types of companies (small business entities, non-commercial organizations, companies using simplified taxation system) may contain consolidated indicators, which include several figures (without their detailed specification) with specification of the line code for the value having the highest specific weight as part of the consolidated indicator, or have partially detailed specification, then only lines included into the accounting (financial) statements of such company shall be used to calculate profit (loss) before taxation (line 2300) for such companies. The empty lines shall have the value equal to 0.

1. **Indicators calculation criteria**

The following system is used for calculation of financial resource availability for the participants of competitive bidding:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Ratio** | **IMP of contract, mln. rub including VAT** | **Ratio value and score used in the assessment** | | | |
| Equity-assets ratio (EAR) | IMP ≤ 500 | more than 0.20  30 points | 0.20-0.10  20 points | 0.09-0.06  10 points | less than 0.06  0 points |
| IMP > 500 | more than 0.25  30 points | 0.25-0.15  20 points | 0.14-0.08  10 points | less than 0.08  0 points |
| Current assets coverage ratio (CACR) | IMP ≤ 500 | more than 0.08  25 points | 0.08– 0.05  20 points | 0.04 – 0.02  10 points | less than 0.02  0 points |
| IMP > 500 | more than 0.10  25 points | 0.10 – 0.06  20 points | 0.05 – 0.03  10 points | less than 0.03  0 points |
| Annual core activity revenue to contract price ratio (RCR) | IMP ≤ 500 | more than 1.50  25 points | 1.50 – 1.20  15 points | 1.19 – 0.50  10 points | less than 0.50  0 points |
| IMP > 500 | more than 1.50  25 points | 1.50 – 1.20  15 points | 1.19 – 0.50  10 points | less than 0.50  0 points |
| Interest coverage factor (ICF) | IMP ≤ 500 | more than 2.00  20 points | 2.00-1.50  10 points | 1.49-1.00  5 points | less than 1.00  0 points |
| IMP > 500 | more than 3.00  20 points | 3.00-2.00  10 points | 1.99-1.00  5 points | less than 1.00  0 points |

The score (a number of points) assigned to all financial indicators shall be summed up.

However the score (summed up score) based on the indicators EAR, CACR and ICF for the expired financial year shall have specific weight of 0.6, and the score based on EAR, CACR and ICF for the expired period (6 months of the current financial year / 9 months of the current financial year) shall have specific weight of 0.4.

If it is necessary to evaluate the availability of financial resources for the expired financial year and for 3 months of the current financial year, then the score assigned to the participant on the basis of EAR, CACR and ICF shall have specific weight of 1.0, and values for 3 months of the current financial year shall not be considered in calculation.

No adjustment with the use of specific weights shall be applied to the Annual core activity revenue to contract price ratio (RCR). When calculating the integral indicator of the availability of financial resources, RCR based evaluation shall be carried out separately.

Total value of the indicator of the competitive bidding participant’s financial resources availability is the sum of EAR, CACR and ICF adjusted for specific weight and the scores based on RCR.

In order to assign the score, the resulted financial indicators of a company shall be rounded according to the general rules of rounding to two decimal places.

An example of calculation of the integral indicator of the availability of financial resources Zi:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Participant of competitive bidding | Expired period | Sum of scores of the participant of competitive bidding for the expired year based on EAR, CACR and ICF | Sum of scores of the participant of competitive bidding for the expired period based on EAR, CACR and ICF | Score of the participant of competitive bidding based on RCR | Financial resources availability indicator |
| *I* | financial year | X | - | W | Zi= X\*1.0 + W |
| *I* | 3 months of the current financial year | X | shall not be calculated | W | Zi= X\*1.0 + W |
| *I* | 6 months of the current financial year | X | Y | W | Zi= X\*0.6 + Y\*0.4+ W |
| *I* | 9 months of the current financial year | X | Y | W | Zi= X\*0.6 + Y\*0.4+ W |

The higher the value of Zi indicator, the more stable the financial standing of the company. Risks of the company’s failure to fulfill its obligations under the contracts to be concluded with the customer in full and in time due to insufficient financial resources are reduced as value of this indicator grows.

The limit value of Zi indicator (with value below it the participant of competitive bidding will be denied of participation in the competitive bidding) for procurement of products with the initial (maximum) price of the contract amounting to 10 million rubles with VAT and more shall be equal 30 points.

At the Customer’s discretion, due to weak competition, when competitive bidding procedures are held in respect of goods with IMP at 10 million rubles or over, including VAT, except special biddings, the procurement documentation shall stipulate that a competitive bidding participant, in the event the contract is awarded to it, shall provide a higher amount of contract performance security if such participant’s financial resources are insufficient (Zi threshold value within 20 to 30 points, inclusive); such higher contract performance security shall be determined as follows:

For competitive bidding procedures with initial (maximum) price between 10 million rubles including VAT (inclusive) up to 100 million rubles including VAT, if the procurement documentation for such competitive bidding procedure sets forth no requirement regarding provision of contract performance security:

OДi = (30 - Zi) \* 100%

For competitive bidding procedures with initial (maximum) price at 10 million rubles including VAT (inclusive) or over, if a requirement regarding provision of contract performance security is set forth:

ОДi = ОДд \*(1 + 0.1\*(30 – Zi)),

where ОДi is contract performance security (in % of the contract price) to be provided by the competitive bidding participant to which the contract is awarded;

Zi is the indicator of this participant’s financial resources (between 20 and 30 points, inclusive),

ОДд is the required amount of contract security, %.

1. **Peculiarities and procedure for comparison of values (lines) of accounting (financial) statements**
2. **Comparison of values (lines) of accounting statements submitted in the forms as per OKUD 0710001 and 0710002 and values of statements of budgetary institutions/organizations presented in forms as per OKUD 0503730 and 0503721**

In calculation of values of availability of financial resources of budgetary institutions/organizations the formulas in accordance with section 2 of this Methodology shall be used. Whereas instead of the lines of accounting (financial) statement as per OKUD 0710001 and 0710002 the relevant codes of lines (sums of lines) of accounting statements as per OKUD 0503730 and 0503721 according to Table 1 of this section shall be used.

|  |  |  |  |
| --- | --- | --- | --- |
| Accounting (financial) statements  (OKUD 0710001 and 0710002) | | Accounting statements of budgetary institutions/organizations  (OKUD 0503730 and 0503721) | |
| Form of statements | Code of line of the statements form | Form of statements | Code of line of the statements form |
| 1 | 2 | 3 | 4 |
| OKUD 0710001 | 1100 | OKUD 0503730 | Sum of lines (030 + 060 + 070 + 090 + 100 + 210 (in the part of long-term investments\*) + 290 (in the part of long-term investments\*)) |
| OKUD 0710001 | 1200 | OKUD 0503730 | Sum of lines (080 + 140 + 170 + 210 (in the part of short-term investments\*) + 230 + 260 + 290 (in the part of short-term investments\*) + 310 + 320 + 330 + 370 + 380) |
| OKUD 0710001 | 1300 | OKUD 0503730 | 620» |
| OKUD 0710001 | 1600 | OKUD 0503730 | 410 |
| OKUD 0710002 | 2110 | OKUD 0503721 | Sum of lines (030 + 040) |
| OKUD 0710002 | 2300 | OKUD 0503721 | 301 |
| OKUD 0710002 | 2330 | OKUD 0503721 | 190 |

\* if no breakdown of the lines 210 and 290 in the statements of budgetary organization/institution by short-term and long-term investments, then Table 2 of this section to be filled and submitted additionally by such organization / institution shall be used in calculation.

Table 2

Reference for types of investments by financial indicators of accounting (financial) statements to be made in accordance with RAS (OKUD 0503730)

|  |  |  |
| --- | --- | --- |
| **Lines of accounting (financial) statements (OKUD 0503730)** | **Types of investments** | **Values, thous. roub.** |
| 210 “Financial investments” | long-term investments |  |
| short-term investments |  |
| 290 “Settlements with respect to loans, borrowings” | long-term investments |  |
| short-term investments |  |

* 1. **Comparison of finance results of the participant of competitive bidding (non-resident of the Russian Federation)**

Table 3

Form of comparison of finance results of the participant of competitive bidding (non-resident of the Russian Federation) with figures given in the financial statements in accordance with RAS or IFRS

| **Lines of accounting (financial) statements (OKUD 0710001 and 0710002)** | **Name of lines of accounting (financial) statements in compliance with IFRS** | **Item (line) of the accounting (financial) statements of the participant of competitive bidding (non-resident of the Russian Federation) who prepares the statements different from the RAS or IFRS financial statements** |
| --- | --- | --- |
| 1100 | Total non-current assets |  |
| 1200 | Total current assets |  |
| 1300 | Total equity |  |
| 1600 | Total assets |  |
| 2110 | Revenue |  |
| 2300 | Profit/(loss) before income tax |  |
| 2330 | Finance costs |  |

**5. Row codes in the accounting statements forms**

Table 1

Row codes in the accounting statements forms of RAS (OKUD 0710001 and 0710002)

| **Row name** | **Code** |
| --- | --- |
| **OKUD 0710001:** | |
| BALANCE SHEET | 1000 |
| Total non-current assets | 1100 |
| Intangible assets | 1110 |
| Results of Research and Development | 1120 |
| Intangible development assets | 1130 |
| Tangible development assets | 1140 |
| Fixed assets | 1150 |
| Income-bearing investments in tangible assets | 1160 |
| Financial investments | 1170 |
| Deferred tax assets | 1180 |
| Other non-current assets | 1190 |
| Total current assets | 1200 |
| Reserves | 1210 |
| Value-added tax on acquired values | 1220 |
| Accounts receivable | 1230 |
| Financial investments (excluding cash equivalents) | 1240 |
| Cash and cash equivalents | 1250 |
| Other current assets | 1260 |
| BALANCE (assets) | 1600 |
| TOTAL capital | 1300 |
| Authorized capital (joint-stock capital, authorized fund, contributions of partners) | 1310 |
| Own shares purchased from other shareholders | 1320 |
| Revaluation of non-current assets | 1340 |
| Capital surplus (without revaluation) | 1350 |
| Reserve capital | 1360 |
| Unallocated profits (uncovered loss) | 1370 |
| Long-term borrowings | 1410 |
| Deferred tax liabilities | 1420 |
| Estimated liabilities | 1430 |
| Other long-term liabilities | 1450 |
| TOTAL long-term liabilities | 1400 |
| Short-term borrowings | 1510 |
| Short-term accounts payable | 1520 |
| Deferred income | 1530 |
| Estimated liabilities | 1540 |
| Other short-term liabilities | 1550 |
| TOTAL short-term liabilities | 1500 |
| BALANCE (liabilities) | 1700 |
| **OKUD 0710001:** | |
| STATEMENT OF PROFIT AND LOSS | 2000 |
| Revenues | 2110 |
| Cost of sales | 2120 |
| Gross profit (loss) | 2100 |
| Commercial expenses | 2210 |
| Administrative expenses | 2220 |
| Profit (loss) from sales | 2200 |
| Participation capital | 2310 |
| Interest receivable | 2320 |
| Interest payable | 2330 |
| Other income | 2340 |
| Other expenses | 2350 |
| Profit (loss) before taxation | 2300 |
| Current profit tax | 2410 |
| Permanent tax liabilities (assets) | 2421 |
| Changes in deferred tax liabilities | 2430 |
| Changes in deferred tax assets | 2450 |
| Other | 2460 |
| Net profit (loss) | 2400 |
| Surplus on revaluation of non-current assets exclusive of net profit (loss) | 2510 |
| Surplus on other transactions exclusive of net profit (loss) for the period | 2520 |
| Total profit/loss for the period | 2500 |
| Base profit (loss) per share | 2900 |
| Diluted profit (loss) per share | 2910 |

Table 2

Row codes in RAS accounting statements forms (OKUD 0503730 and 0503721)

| **Row name** | **Code** |
| --- | --- |
| **OKUD 0503730:** | |
| Fixed assets (residual cost) | 030 |
| Intangible assets (residual cost) | 060 |
| Non-produced assets | 070 |
| Inventory stock | 080 |
| Investments into non-financial assets | 090 |
| Non-financial assets in transit | 100 |
| Costs related to manufacture of finished products, performance of work, rendering services | 140 |
| Funds of the institution | 170 |
| Financial investments | 210 |
| Expenses by income | 230 |
| Settlements related to advances paid | 260 |
| Settlements with respect to loans, borrowings | 290 |
| Settlements with subsidiary persons | 310 |
| Settlements related to damage and other income | 320 |
| Other settlements with debtors | 330 |
| Investments to financial assets | 370 |
| Settlements related to payments for budgets | 380 |
| Balance | 410 |
| Financial result of the economic entity | 620 |
| **OKUD 0503721:** | |
| STATEMENTS OF FINANCIAL RESULTS OF ACTIVITIES OF THE INSTITUTION |  |
| Income related to property | 030 |
| Income related to provision of commercial services (work) | 040 |
| Servicing of debentures | 190 |
| Operations result before taxation | 301 |